

## The Weight Hierarchy of Product Codes

Hans Georg Schaathun

**Abstract**—The weight of a code is the number of coordinate positions where no codeword is zero. The  $r$ th minimum weight  $d_r$  is the least weight of an  $r$ -dimensional subcode. Wei and Yang gave a conjecture about the minimum weights for some product codes. In this correspondence, we will find a relation between product codes and the Segre embedding of a pair of projective systems, and we use this to prove the conjecture.

**Index Terms**—Product code, projective system (projective multiset), Segre embedding, weight hierarchy.

### I. INTRODUCTION

An  $[n, k]$  code is a  $k$ -dimensional subspace  $C \subseteq \mathbb{V}$  of some  $n$ -dimensional vector space  $\mathbb{V}$ . It can be defined by a  $k \times n$  matrix  $G$ , called the generator matrix. The message space  $\mathbb{M}$  is a  $k$ -dimensional vector space, and  $G$  gives a linear transformation  $\mathbb{M} \rightarrow \mathbb{V}$ .

The rows of  $G$  are a basis for  $C$ . The columns can be viewed as linear forms, i.e., vectors in  $\mathbb{M}^*$ , the dual space of  $\mathbb{M}$ . This means that if  $\mathbf{a} = (a_1, \dots, a_k)$  is the  $r$ th column in  $G$ , then  $a = a_1x_1 + \dots + a_kx_k$  is a linear form. If  $\mathbf{m} \in \mathbb{M}$  is a message word, then  $a(\mathbf{m})$  is the  $r$ th coordinate in the corresponding codeword.

We can now see that a linear code may be described by either a basis or a system of linear forms. By a system we will in this correspondence mean a collection with possible repetition of elements. Codes are considered to be equivalent if one can be obtained from the other by permuting coordinate positions, multiplying certain coordinates by a nonzero scalar, or deleting zero positions. This corresponds to reordering the vector system, replacing linear forms by proportional forms, and deleting zero forms. We conclude that the linear forms may be represented by projective points, and in this case we talk about a projective system (or projective multiset [1]) rather than a vector system.

Given a projective system  $X \subseteq \mathbb{P}^{k-1}$ , the value  $\nu(\mathbf{x})$  of  $\mathbf{x} \in \mathbb{P}^{k-1}$  is the number of occurrences of  $\mathbf{x}$  in  $X$ . This gives a map  $\nu: \mathbb{P}^{k-1} \rightarrow \{0, 1, 2, \dots\}$ , called the value assignment describing  $X$ . If  $S \subseteq \mathbb{P}^{k-1}$ , let  $\nu(S) = \sum_{\mathbf{x} \in S} \nu(\mathbf{x})$ .

The weight  $w(C)$  of a code  $C$  is the number of coordinate positions where some codeword is nonzero. The  $r$ th minimum weight  $d_r(C)$  is the least weight of an  $r$ -dimensional subcode. Clearly,  $d_0 = 0$ , and  $d_1 = d$  is the usual minimum distance. The sequence  $(d_1, d_2, \dots, d_k)$  is known as the weight hierarchy, and equivalent codes have the same weight hierarchy. Since every code is equivalent to a code without zero positions, we assume that  $d_k = n$  for all encountered codes.

The weight hierarchy  $(d_1, d_2, \dots, d_k)$  is also defined for a projective system  $X \subseteq \mathbb{P}^{k-1}$  described by  $\nu$  in that

$$d_r := \nu(\mathbb{P}^{k-1}) - \max\{\nu(\Pi) \mid \Pi \subseteq \mathbb{P}^{k-1}, \text{codim } \Pi = r\}.$$

The correspondence between projective systems and linear codes preserves weight hierarchies [2], [3].

A product code  $A \otimes B$  is the tensor product of two linear codes  $A$  and  $B$ . The tensor product is generated by the vectors of the form

$$\mathbf{x} \otimes \mathbf{y} := (x_i y_j \mid 1 \leq i \leq n, 1 \leq j \leq m)$$

where  $\mathbf{x} = (x_1, \dots, x_n) \in A$  and  $\mathbf{y} = (y_1, \dots, y_m) \in B$ . Since a linear form can be viewed as a vector, we will also write  $g \otimes h$  for two linear forms  $g$  and  $h$ . When  $A$  and  $B$  are  $[n_A, k_A]$  and  $[n_B, k_B]$  linear codes,  $A \otimes B$  is an  $[n_A n_B, k_A k_B]$  code.

The weight hierarchy has been studied by several researchers during the last decade, and there have been attempts to give a formula to express the weight hierarchy of a product code in terms of the weight hierarchies of the component codes. Wei and Yang [5] gave a conjecture for the weight hierarchy of chained codes.

**Definition 1 (Chain Condition):** A code  $C$  is *chained* if there is a chain of subcodes

$$\{0\} = D_0 \subseteq D_1 \subseteq \dots \subseteq D_k = C$$

such that  $\dim D_r = r$  and  $w(D_r) = d_r$  for all  $r$ .

**Definition 2:** Given two linear codes  $A$  and  $B$ , let

$$d_r^*(A \otimes B) = \min \left\{ \sum_{i=1}^s (d_i(A) - d_{i-1}(A)) d_{t_i}(B) \mid 1 \leq t_s \leq \dots \leq t_1 \leq k_B, s \leq k_A, \sum_{i=1}^s t_i = r \right\}.$$

Wei and Yang conjectured that  $d_r = d_r^*$  for the product of chained codes. Barbero and Tena [6] proved this for  $r \leq 4$ . The main result of this correspondence is the following theorem, which implies the conjecture.

**Theorem 1:** For any two linear codes  $A$  and  $B$

$$d_r(A \otimes B) \geq d_r^*(A \otimes B), \quad \text{for } 0 \leq r \leq k_A k_B.$$

If  $A$  and  $B$  are chained codes, then equality holds for all  $r$ .

### II. PROOF OF THE MAIN RESULT

We will prove Theorem 1 in terms of projective systems. Given two codes  $A$  and  $B$ , and the corresponding projective systems, we have to find the projective system corresponding to  $A \otimes B$ . This will be the first step in the proof.

**Lemma 1 (Basis Lemma):** If  $\{\mathbf{x}_i \mid i = 1, \dots, k_A\}$  and  $\{\mathbf{y}_i \mid i = 1, \dots, k_B\}$  are bases for  $A$  and  $B$ , then

$$\{\mathbf{x}_i \otimes \mathbf{y}_j \mid 1 \leq i \leq k_A, 1 \leq j \leq k_B\}$$

is a basis for  $A \otimes B$ .

This is a well-known fact, so we omit the proof. With regard to product codes, it basically says that we can form a generator matrix for  $A \otimes B$  by taking as rows all possible products  $\mathbf{x} \otimes \mathbf{y}$ , where  $\mathbf{x}$  is a row in a generator matrix of  $A$ , and  $\mathbf{y}$  is a row in a generator matrix of  $B$ .

The following proposition says that we can equivalently form the generator matrix by taking products of columns.

**Proposition 1:** If  $A$  and  $B$  are linear codes defined by the vector systems  $Y_A$  and  $Y_B$ , then the vector system defining  $C := A \otimes B$  is

$$Y_C = Y_A \odot Y_B := \{\mathbf{x} \otimes \mathbf{y} \mid \mathbf{x} \in Y_A, \mathbf{y} \in Y_B\}.$$

**Proof:** For any vector  $\mathbf{x}$  we write  $\mathbf{x}[i]$  for its  $i$ th coordinate. Let  $\{\mathbf{a}_i\}$  and  $\{\mathbf{b}_j\}$  be bases for  $A$  and  $B$ , respectively, and  $\{\mathbf{c}_{ij} = \mathbf{a}_i \otimes \mathbf{b}_j\}$  the induced basis for  $C$ . Let the code parameters be  $[n_A, k_A]$  for  $A$ ,  $[n_B, k_B]$  for  $B$ , and  $[n_C, k_C]$  for  $C$ .

Manuscript received October 26, 1999; revised April 25, 2000.

The author is with Department of Informatics, University of Bergen, N-5020 Bergen, Norway (e-mail: georg@ii.uib.no).

Communicated by A. Barg, Associate Editor for Coding Theory.

Publisher Item Identifier S 0018-9448(00)09655-3.

Now, a codeword  $c \in C$  is written as

$$c = \sum_{i=1}^{k_A} \sum_{j=1}^{k_B} m[i, j] c_{ij}$$

where  $m$  is a message word, i.e., a  $k_C$ -dimensional vector over the base field.

The coordinates are given as

$$\begin{aligned} c[a, b] &= \sum_{i=1}^{k_A} \sum_{j=1}^{k_B} m[i, j] c_{ij}[a, b] \\ &= \sum_{i=1}^{k_A} \sum_{j=1}^{k_B} m[i, j] a_i[a] b_j[b] = g_{ab}(m) \end{aligned}$$

where  $g_{ab}$  is a linear form in  $k_C$  variables. In fact,  $g_{ab} = g_a^A \otimes g_b^B$ , where  $g_a^A = \sum x_i a_i[a]$  is the  $a$ th column of the generator matrix of  $A$ , and  $g_b^B = \sum x_i b_i[b]$  is the  $b$ th column of the generator matrix of  $B$ .  $\square$

*Corollary 1:* If  $A$  and  $B$  are linear codes defined by the projective systems  $X_A$  and  $X_B$ , then  $C := A \otimes B$  is defined by  $X_C = \sigma(X_A, X_B)$ , where

$$\sigma : \mathbb{P}^{k_A-1} \times \mathbb{P}^{k_B-1} \rightarrow \mathbb{P}^{k_A k_B - 1}$$

is the Segre embedding.

The Segre embedding is defined by  $(a, b) \mapsto a \otimes b$ , and it is well known that it is bijective on its image, which is called a Segre variety  $Y$ . In other words, a point  $c \in \mathbb{P}^{k_A k_B - 1}$  can be decomposed as  $c = a \otimes b$ ,  $a \in \mathbb{P}^{k_A-1}$  and  $b \in \mathbb{P}^{k_B-1}$ , if and only if  $c \in Y$ . The decomposition is unique when it exists.

*Corollary 2:* Let  $\nu_A$ ,  $\nu_B$ , and  $\nu_C$  be the value assignments describing  $X_A \subseteq \mathbb{P}^{k_A-1}$ ,  $X_B \subseteq \mathbb{P}^{k_B-1}$ , and  $X_C \subseteq \mathbb{P}^{k_A k_B - 1}$  respectively. We have

$$\begin{aligned} \nu_C(a \otimes b) &= \nu_A(a) \cdot \nu_B(b) \quad \forall a \in \mathbb{P}^{k_A-1}, \quad \forall b \in \mathbb{P}^{k_B-1} \quad (1) \\ \nu_C(c) &= 0 \quad \forall c \notin Y. \end{aligned}$$

We define the difference sequence of a linear code or projective system to be  $(\delta_0, \delta_1, \dots, \delta_{k-1})$ , where

$$\delta_i := d_{k-i} - d_{k-i-1}.$$

We note that in the projective system corresponding to  $C$ , the maximum value of an  $r$ -space is

$$\Delta_r(C) := \sum_{i=0}^r \delta_i(C) = d_k(C) - d_{k-r-1}(C). \quad (2)$$

We reformulate the expression for  $d_r^*$ . First we note that we can fix  $s = k_A$  and allow the  $t_i$  to be zero, thus we have

$$d_r^*(A \otimes B) = \min \left\{ \sum_{i=1}^{k_A} (d_i(A) - d_{i-1}(A)) d_{t_i}(B) \mid \begin{aligned} &0 \leq t_{k_A} \leq \dots \leq t_1 \leq k_B, \sum_{i=1}^{k_A} t_i = r \end{aligned} \right\}.$$

Now we write

$$\begin{aligned} d_r^*(A \otimes B) &= \min \left\{ \sum_{i=1}^{k_A} \delta_{k_A-i}(A) \left( d_k(B) - \sum_{j=0}^{k_B-t_i-1} \delta_j(B) \right) \mid \begin{aligned} &0 \leq t_{k_A} \leq \dots \leq t_1 \leq k_B, \sum_{i=1}^{k_A} t_i = r \end{aligned} \right\} \end{aligned}$$

$$d_r^*(A \otimes B)$$

$$= d_k(A) d_k(B) - \max \left\{ \sum_{i=1}^{k_A} \delta_{k_A-i}(A) \sum_{j=0}^{k_B-t_i-1} \delta_j(B) \mid \begin{aligned} &0 \leq t_{k_A} \leq \dots \leq t_1 \leq k_B, \sum_{i=1}^{k_A} t_i = r \end{aligned} \right\}.$$

We define  $\Delta_r^*$  from  $d_r^*$ , just as  $\Delta_r$  is defined from  $d_r$ :

$$\Delta_r^*(A \otimes B) := d_k(A) d_k(B) - d_{k_C-r-1}^*(A \otimes B). \quad (3)$$

We get

$$\begin{aligned} \Delta_r^*(A \otimes B) &= \max \left\{ \sum_{i=0}^{k_A-1} \delta_i(A) \sum_{j=0}^{k_B-t'_i-1} \delta_j(B) \mid \begin{aligned} &0 \leq t'_0 \leq \dots \leq t'_{k_A-1} \leq k_B, \\ &\sum_{i=0}^{k_A-1} t'_i = k_C - r - 1 \end{aligned} \right\} \end{aligned}$$

where  $t'_i = t_{k_A-i}$ . We rearrange the expression to get

$$\begin{aligned} \Delta_r^*(A \otimes B) &= \max \left\{ \sum_{i=0}^{k_A-1} \delta_i(A) \Delta_{t''_{i-1}}(B) \mid \begin{aligned} &0 \leq t''_{k_A-1} \leq \dots \leq t''_0 \leq k_B, \\ &\sum_{i=0}^{k_A-1} t''_i = r + 1 \end{aligned} \right\} \quad (4) \end{aligned}$$

where  $t''_i = k_B - t'_i$ . Note that  $\Delta_i = 0$  for  $i < 0$ , and  $\Delta_i^*(A \otimes B) > \Delta_{i-1}^*(A \otimes B)$  for  $0 \leq i \leq k_C - 1$ .

*Lemma 2:* For any two linear codes  $A$  and  $B$ , the following are equivalent for  $r' = 0, 1, \dots, k_A k_B - 1$ :

$$\Delta_r(A \otimes B) \leq \Delta_r^*(A \otimes B), \quad r = r' \quad (5)$$

$$d_r(A \otimes B) \geq d_r^*(A \otimes B), \quad r = k_A k_B - r' - 1. \quad (6)$$

Equality in (5) is equivalent with equality in (6).

*Proof:* This is obvious from the definitions in (2) and (3).  $\square$

*Proof of Theorem 1:* First we prove that  $\Delta_r(A \otimes B) \leq \Delta_r^*(A \otimes B)$  for  $r = 0, 1, \dots, k_A k_B - 1$ .

We consider the projective systems  $X_A \subseteq \mathbb{P}^{k_A-1}$ ,  $X_B \subseteq \mathbb{P}^{k_B-1}$ , and  $X_C := X_A \odot X_B \subseteq \mathbb{P}^{k_A k_B - 1}$  corresponding to the codes  $A$ ,  $B$ , and  $C := A \otimes B$ . Let  $\nu_A, \nu_B$ , and  $\nu = \nu_C$  be the corresponding value assignments.

Let  $\Pi \subseteq \mathbb{P}^{k_A k_B - 1}$  be a subspace of dimension  $r$  and value  $\nu(\Pi) = \Delta_r(C)$ . Choose  $p_i \in \mathbb{P}^{k_A-1}$  for  $0 \leq i \leq k_A - 1$  such that  $p_i$  is projectively independent of  $\{p_j \mid j < i\}$ , and maximizing the dimension of the set of points in  $\Pi$  with  $p_i$  as the left-hand factor, for  $0 \leq i < k_A$ . Note that for sufficiently large  $i$ ,  $p_i$  may not occur as a factor of any point in  $\Pi$ .

Let  $T_i \subseteq \mathbb{P}^{k_B-1}$  be the largest set such that  $p_i \otimes T_i \subseteq \Pi$ . Due to the bilinearity of the Segre embedding, the  $T_i$  are subspaces. Write  $t_i := \dim \lim T_i = \dim T_i + 1$ , where  $\dim \lim$  denotes the linear dimension. By the definition of the  $p_i$ , we have  $t_i \geq t_{i+1}$ . Let  $S_i \subseteq \Pi$  be the set of points whose first factor is in  $\{p_j \mid 0 \leq j \leq i\}$ .

Clearly,

$$\nu(S_0) = \nu_A(p_0) \nu_B(T_0) \leq \delta_0(A) \Delta_{t_0-1}(B)$$

from Corollary 2 (1). Now look at  $\mathfrak{S}_i := S_i \setminus S_{i-1} \subseteq \Pi$ . For any point  $a \otimes b \in \mathfrak{S}_i$ , we have

$$a \in \mathfrak{A}_i := \{p_j \mid 0 \leq j \leq i\} \setminus \{p_j \mid 0 \leq j \leq i-1\}. \quad (7)$$

Let  $R(a) \subseteq \Pi$  be the subspace of points with  $a$  as the left-hand factor. Note that  $R(p_i) = p_i \otimes T_i$ . For any  $a \in \mathfrak{A}_i$ , we have

$$\dim R(a) \leq \dim R(p_i) = t_i - 1$$

by the definition of the  $p_i$ . Therefore,  $\nu(R(a)) \leq \nu_A(a)\Delta_{t_i-1}(B)$ , and

$$\nu(\mathfrak{S}_i) = \sum_{a \in \mathfrak{A}_i} \nu(R(a)) \leq \nu_A(\mathfrak{A}_i)\Delta_{t_i-1}(B). \quad (8)$$

Obviously,

$$\nu(\Pi) = \sum_{i=0}^{k_A-1} \nu(\mathfrak{S}_i) \leq \sum_{i=0}^{k_A-1} \nu_A(\mathfrak{A}_i)\Delta_{t_i-1}(B). \quad (9)$$

Now consider the sum

$$\tau := \sum_{i=0}^{k_A-1} t_i = \sum_{i=0}^{k_A-1} \dim \text{lin } R(p_i).$$

All the  $R(p_i)$  are disjoint, so their join  $\Pi'$  has linear dimension  $\tau$ . Since  $\Pi' \subseteq \Pi$ , we have  $\tau \leq \dim \text{lin } \Pi = r + 1$ .

Note that the  $\Delta_{t_i-1}(B)$  is monotonically nonincreasing in  $i$ , and that

$$\nu_A\left(\bigcup_{j=0}^i \mathfrak{A}_j\right) \leq \Delta_i(A).$$

Hence the highest possible value is obtained if  $\nu_A(\mathfrak{A}_i) = \delta_i(A)$ , in which case the right-hand side of (9) is one of the expressions eligible for the maximization in (4). The  $t_i''$  in (4) are given by the  $t_i$  in this proof. In other words,

$$\nu(\Pi) \leq \Delta_{r-1}^*(A \otimes B) \leq \Delta_r^*(A \otimes B).$$

It remains to show that if  $A$  and  $B$  are chained codes, equality is obtained. In fact, we know this from [5], because  $d_r^*$  was proved to give an upper bound on  $d_r$ , but we give a direct proof for completeness.

Consider a set  $\{t_i = t_i''\}$  attaining maximum in the definition of  $\Delta_r^*(A \otimes B)$ . Since  $A$  is chained, we can take a set  $\{p_i\}$  such that  $\nu_A(\{p_j \mid j \leq i\}) = \Delta_i(A)$ . Because  $B$  is chained, we can find sets  $T_i$  such that  $\nu_B(T_i) = \Delta_{t_i-1}$ , for  $0 \leq i \leq k_A-1$ , and  $T_0 \supseteq T_1 \supseteq \dots \supseteq T_{k_A-1}$ . Also, let  $R(a) = a \otimes T_i$  for all  $a \in \mathfrak{A}_i$ , as defined in (7). We see that the join  $\Pi'$  of all the  $R(p_i)$  has dimension

$$\dim \Pi' = r := \sum_{i=0}^{k_A-1} t_i - 1$$

where  $t_i := \dim \text{lin } T_i$ . Since the  $T_i$  form a chain of inclusions, all  $R(a) \subseteq \Pi'$  by the bilinearity of the Segre embedding.

Now we must find the value of  $\Pi'$ . By definition  $\nu_B(T_i) = \Delta_{t_i-1}(B)$  and  $\nu_A(\mathfrak{A}_i) = \delta_i(A)$ . Hence we have equality in (8) and  $\nu(\Pi') = \Delta_r^*(A \otimes B)$  from (9).  $\square$

### III. FURTHER RESULTS

*Theorem 2:* For any two codes  $A$  and  $B$ ,  $d_r(A \otimes B) = d_r^*(A \otimes B)$  for  $r \in \{0, 1, 2, k-2, k-1, k\}$ .

For  $r = 0$  this is trivial, and for  $r = 1$  and  $r = k$  it is well known. Wei and Yang [5] proved it for  $r = 2$ . We prove it for  $r = k-1$  and  $r = k-2$  below, but first we need some basic properties of the Segre variety.

A Segre variety  $Y$  is the intersection of hypersurfaces of degree two. Hence any line meeting  $Y$  in at least three points is entirely contained in  $Y$ .

*Lemma 3:* Let  $Y$  be a Segre variety, and let  $\ell \subseteq \mathbb{P}^{k_A k_B - 1}$  be a line. Then the line  $\ell$  factors into a point  $\varphi$  in one component, and a line  $\ell'$  in the other component; that is,  $\ell = \varphi \otimes \ell'$  or  $\ell = \ell' \otimes \varphi$ .

The converse, that a product  $\ell' \otimes \varphi$  or  $\varphi \otimes \ell'$  is a line  $\ell \in Y$ , is obviously true by bilinearity.

We believe that Lemma 3 is obvious from known results in algebraic geometry (e.g., [7, Example 8.4.2]). We include the following simple proof for the benefit of those who are not familiar with algebraic geometry.

*Proof:* Consider a line  $\ell$  meeting  $Y$  in at least three distinct points:  $a \otimes b$ ,  $c \otimes d$ , and  $e \otimes f$ . If the component points are not distinct, say  $a = c$ , then we get a line, say  $a \otimes \langle b, d \rangle \subseteq Y$ , by bilinearity. Hence we assume that the six component points are distinct.

Consider the nine points

$$\begin{array}{cccccc} a \otimes b, & a \otimes d, & a \otimes f, & c \otimes b, & c \otimes d, \\ c \otimes f, & e \otimes b, & e \otimes d, & e \otimes f. \end{array}$$

They are all linearly independent, unless either  $a, c$ , and  $e$ , or  $b, d$ , and  $f$  are linearly dependent. By symmetry, we can assume without loss of generality that  $a, c$ , and  $e$  are collinear. It follows that any three points with the same right-hand component must be linearly dependent, by bilinearity.

This gives three disjoint lines; all of which meet  $\ell$ . The linear span of such a configuration can have dimension at most 4. If  $b, d$ , and  $f$  are linearly independent, the dimension is 5 by Lemma 1, since  $a$  and  $c$  are distinct. The contradiction shows that  $b, d$ , and  $f$  are collinear, and hence that any three points with the same first component are collinear.

Since all points with a common component are collinear, we can visualize them as a  $3 \times 3$  grid of points. There is also a diagonal line in this grid,  $\ell$ . It is easily verified that this configuration is contained in a plane, and hence any pair of lines intersect. The line with  $a$  as first component cannot intersect the line with  $c$  as first component unless  $a = c$ , so this is a contradiction.  $\square$

*Proof of Theorem 2:* We prove that for two linear codes  $A$  and  $B$

$$\Delta_0(A \otimes B) = \Delta_0^*(A \otimes B) = \delta_0(A)\delta_0(B) \quad (10)$$

$$\Delta_1(A \otimes B) = \Delta_1^*(A \otimes B). \quad (11)$$

We consider the projective systems  $X_A \subseteq \mathbb{P}^{k_A-1}$ ,  $X_B \subseteq \mathbb{P}^{k_B-1}$ , and  $X_C \subseteq \mathbb{P}^{k_A k_B - 1}$  corresponding to  $A, B$ , and  $C := A \otimes B$ , and the describing value assignments  $\nu_A, \nu_B$ , and  $\nu_C$ . Equation (10) is obvious from Corollary 2.

Now consider a line  $\ell \subseteq \mathbb{P}^{k_A k_B - 1}$  such that  $\nu_C(\ell) = \Delta_1(C)$ .

If  $\ell$  meets the Segre variety in at most two points, we have

$$\begin{aligned} \nu_C(\ell) &= \Delta_1(C) \\ &\leq \max\{\delta_0(A)(\delta_0(B) + \delta'_0(B)), (\delta_0(A) + \delta'_0(A))\delta_0(B)\} \end{aligned}$$

where  $\delta'_0$  is the second highest value of any point. Clearly,  $\delta'_0 \leq \delta_1$ , so this gives

$$\Delta_1(C) \leq \Delta_r^*(A \otimes B).$$

Otherwise,  $\ell$  is entirely contained in the Segre variety, and we can write  $\ell = a \otimes \ell_1$  or  $\ell = \ell_2 \otimes b$ . Clearly, the highest possible value in each case is obtained if  $\nu_A(a) = \delta_0(A)$ ,  $\nu_B(b) = \delta_0(B)$ ,  $\nu_A(\ell_2) = \Delta_1(A)$ , and  $\nu_B(\ell_1) = \Delta_1(B)$ . Then

$$\nu_C(a \otimes \ell_1) = \delta_0(A)\Delta_1(B)$$

and

$$\nu_C(\ell_2 \otimes b) = \Delta_1(A)\delta_0(B)$$

and the maximum of these is  $\Delta_1^*(A \otimes B)$ . Equation (11) follows.  $\square$

*Corollary 3:* For any product code  $A \otimes B$  of dimension at most 5,  $d_r(A \otimes B) = d_r^*(A \otimes B)$ ,  $0 \leq r \leq k_A k_B$ .

The following examples show that for a six-dimensional product code this may or may not hold for  $r = 3 = k - 3$ .

*Example 1:* Consider the binary  $[4, 3]$  code  $A$  given by a value assignment  $\nu_A$ . Let  $a \in \mathbb{P}^2$  be a point and  $\ell_A \not\ni a$  a line, such that the describing value assignment is given by  $\nu_A(p) = 1$  for  $p \in \ell_A$  or  $p = a$ , and  $\nu_A(p) = 0$  otherwise. This is a chained code with difference sequence is  $(1, 2, 1)$ .

Then take the binary  $[17, 3]$  code  $B$  given by a value assignment  $\nu_B$ . Let  $b \in \mathbb{P}^2$  be a point and  $\ell_B \not\ni b$  a line, such that the describing value assignment is given by  $\nu_B(b) = 5$ ,  $\nu_B(p) = 4$  for  $p \in \ell_B$ , and  $\nu_B(p) = 0$  otherwise. This is a nonchain code with difference sequence  $(5, 7, 5)$ .

Now consider  $C := A \otimes B$ . To find  $\Delta_2^*(C)$  we consider the possible choices for  $\{t_i''\}$  in (4):

$$\begin{aligned} \{3, 0, 0\} &: \delta_0(A)\Delta_2(B) = 17 \\ \{2, 1, 0\} &: \delta_0(A)\Delta_1(B) + \delta_1(A)\Delta_0(B) = 22 \\ \{1, 1, 1\} &: \Delta_2(A)\Delta_0(B) = 20. \end{aligned}$$

The maximum is  $\Delta_2^*(C) = 22$ , and we conclude that  $d_3^* = 4 \cdot 17 - 22 = 46$ .

The construction to obtain a plane  $P$  of value 22 assumes that all points that can be factored in  $P$  are contained in the union of two lines. The best we can do with this approach is to take  $P := \langle a' \otimes \ell_B \cup \ell_A \otimes b' \rangle$  where  $a' \in \ell_A$  and  $b' \in \ell_B$ . This gives  $\Delta_2(C) = \nu(P) = 20 < 22$ . Hence  $d_3(C) = 48 > 46$ . To get a value of  $\Delta_2^*(C) = 22$ , we should have had  $\nu_B(b') = 6$ , i.e., that  $\ell_B$  contains a point of maximum value.

*Example 2:* Take the previous example and reduce the length of  $B$  by setting  $\nu_B(b) = 3$  and  $\nu_B(p) = 2$  for  $p \in \ell_B$ . Now  $B$  is a  $[9, 3]$  nonchain code with difference sequence  $(3, 3, 3)$ . This gives the following choices for the maximization of  $\Delta_2^*(C)$ :

$$\begin{aligned} \{3, 0, 0\} &: \delta_0(A)\Delta_2(B) = 9 \\ \{2, 1, 0\} &: \delta_0(A)\Delta_1(B) + \delta_1(A)\Delta_0(B) = 12 \\ \{1, 1, 1\} &: \Delta_2(A)\Delta_0(B) = 12. \end{aligned}$$

The maximum is  $\Delta_2^*(C) = 12$ , and this is realized by the plane  $a \otimes \mathbb{P}^2$ . Hence we get  $d_3(C) = d_3^*(C) = 4 \cdot 9 - 12 = 24$ .

*Remark 1:* Even if  $A$  and  $B$  are chained codes,  $A \otimes B$  may be nonchain.

We give an example to show this remark.

*Example 3:* Define two value assignments  $\nu_A$  and  $\nu_B$  on  $\mathbb{P}^2$ , defining two binary, chained codes  $A$  and  $B$ . Let  $a, b, c \in \mathbb{P}^2$  be projectively independent points, and define the value assignments as follows:

$$\begin{aligned} \nu_A(a) &= \nu_A(b) = 3 \\ \nu_A(c) &= 1 \\ \nu_A(p) &= 0 \quad \forall p \notin \{a, b, c\} \\ \nu_B(a) &= 3 \\ \nu_B(p) &= 1 \quad \forall p \neq a. \end{aligned}$$

The product  $C = A \otimes B$  corresponds to a value assignment  $\nu$  on  $\mathbb{P}^8$ . All points of positive value in  $\mathbb{P}^8$  are located in three disjoint planes:  $\Pi_a$ ,  $\Pi_b$ , and  $\Pi_c$ , consisting of the points with  $a$ ,  $b$ , or  $c$ , respectively, as the first factor. We have

$$\begin{aligned} \nu(a \otimes a) &= \nu(b \otimes a) = 9 \\ \nu(a \otimes p) &= \nu(b \otimes p) = 3 \quad \forall p \neq a \end{aligned}$$

$$\begin{aligned} \nu(c \otimes a) &= 3 \\ \nu(c \otimes p) &= 1 \quad \forall p \neq a. \end{aligned}$$

We see that the only line of maximum value is  $\ell := \langle a \otimes a, b \otimes a \rangle$ , and the planes of maximum value are  $\Pi_a$  and  $\Pi_b$ , neither of which contains  $\ell$ . Hence  $C$  is nonchain.

#### ACKNOWLEDGMENT

The author wishes to thank Prof. Trygve Johnsen and Prof. Torleiv Kløve for comments and hints.

#### REFERENCES

- [1] S. Dodunekov and J. Simonis, "Codes and projective multisets," *Electron. J. Combin.*, vol. 5, no. 1, 1998, Research Paper 37.
- [2] T. Helleseth, T. Kløve, and Ø. Ytrehus, "Generalized Hamming weights of linear codes," *IEEE Trans. Inform. Theory*, vol. 38, pp. 1133–1140, May 1992.
- [3] M. A. Tsfasman and S. G. Vlăduț, "Geometric approach to higher weights," *IEEE Trans. Inform. Theory*, pt. I (Special Issue on Algebraic-Geometry Codes), vol. 41, pp. 1564–1588, Nov. 1995.
- [4] T. Helleseth and T. Kløve, "The weight hierarchies of some product codes," *IEEE Trans. Inform. Theory*, vol. 42, pp. 1029–1034, May 1996.
- [5] V. K. Wei and K. Yang, "On the generalized Hamming weights of product codes," *IEEE Trans. Inform. Theory*, vol. 39, pp. 1709–1713, Sept. 1993.
- [6] A. I. Barbero and J. G. Tena, "Weight hierarchy of a product code," *IEEE Trans. Inform. Theory*, vol. 41, pp. 1475–1479, Sept. 1995.
- [7] W. Fulton, *Intersection Theory*, *Ergebnisse der Mathematik und ihrer Grenzgebiete*, 2nd ed. Berlin, Germany: Springer-Verlag, 1998.
- [8] W. Chen and T. Kløve, "Bounds on the weight hierarchies of extremal nonchain codes of dimension 4," *Applicable Alg. Eng., Commun. Comput.*, vol. 8, pp. 379–386, 1997.
- [9] H. G. Schaathun, "Upper bounds on weight hierarchies of extremal nonchain codes," Dept. Informatics, Univ. Bergen, Bergen, Norway, Tech. Rep. 171, 1999.

#### New Rate-Compatible Repetition Convolutional Codes

Zihuai Lin, *Student Member, IEEE*, and  
Arne Svensson, *Senior Member, IEEE*

**Abstract**—The optimum rate-compatible repetition convolutional (RCRC) codes with different parent encoder rates and different constraint lengths are presented in this correspondence. They are constructed according to the optimum distance spectrum (ODS) criterion. The obtained codes provide, e.g., significant throughput gains compared to simple repetition codes, when applied in hybrid type II automatic repeat request (ARQ) schemes.

**Index Terms**—Optimum distance spectrum, rate-compatible repetition convolutional (RCRC) codes, rate-compatible punctured convolutional (RCPC) codes, unequal error protection.

Manuscript received March 8, 1999; revised January 1, 2000.

Z. Lin was with the Communication Systems Group, Department of Signals and Systems, Chalmers University of Technology, SE-412 96 Göteborg, Sweden. He is now with Ericsson Business Networks AB, SE-164 80 Stockholm, Sweden (e-mail: zihuai.lin@ebc.ericsson.se).

A. Svensson is with the Communication Systems Group, Department of Signals and Systems, Chalmers University of Technology, SE-412 96 Göteborg, Sweden (e-mail: arne.svensson@s2.chalmers.se).

Communicated by E. Soljanin, Associate Editor for Coding Techniques.  
Publisher Item Identifier S 0018-9448(00)09652-8.