



Article type: Advanced Review

Article title: Integrated Modelling in Urban Hydrology: Reviewing the role of monitoring technology in overcoming the issue of 'big data' requirements

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Abstract

Increasingly, the application of models in urban hydrology has undergone a shift toward integrated structures that recognise the interconnected nature of the urban landscape and both the natural and engineered water cycles. Improvements in computational processing during the past few decades have enabled the application of multiple, connected model structures that link previously disparate systems together, incorporating feedbacks and connections. Many applications of integrated models look to

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3 assess the impacts of environmental change on physical dynamics and quality of landscapes. Whilst
4 these integrated structures provide a more robust representation of natural dynamics, they often place
5 considerable data requirements on the user, whereby data is required at contrasting spatial and
6 temporal scales which can often transcend multiple disciplines. Concomitantly, our ability to observe
7 complex, natural phenomena at contrasting scales has improved considerably with the advent of
8 increasingly novel monitoring technologies. This has provided a pathway for reducing model uncertainty
9 and improving our confidence in modelled outputs by implementing suitable monitoring regimes. This
10 commentary assesses how component models of an exemplar integrated model have advanced over the
11 past few decades, with a critical focus on the role of monitoring technologies that have enabled better
12 identification of the key physical process. This reduces the uncertainty of processes at contrasting spatial
13 and temporal scales, through a better characterisation of feedbacks which then enhances the utility of
14 integrated model applications.
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20 21 1. Introduction

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23 Urbanisation is a global trend with more than 55% of the current world population living in urban areas.
24 There are now 394 cities home to over 1 million inhabitants, an increase which represents a doubling of
25 the urban population in a 50 year period^{1,2}. As the urban population continues to grow and spread
26 laterally into previously undeveloped areas, a significant stress is placed on natural resources and
27 environmental quality, having a demonstrable impact on atmospheric and hydrological processes as well
28 as the quality of the surrounding environments^{3,4,5,6}. The presence of the urban heat island; alterations
29 to air currents and increased particulate matter above urban space result in the emergence of
30 microclimates, which impact on input precipitation and evapotranspiration rates. The creation of
31 impervious surfaces alters dominant runoff-generating processes, flowpaths and infiltration, which can
32 have a profound effect on the overall catchment water balance⁷. The input of freshwater supply and
33 extraction of sewage via large piped networks provide artificial inputs and outputs to the catchment
34 water balance, making it difficult to quantify the components of the water cycle⁸.
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39 Urban space also contributes to degradation of water quality, as contaminants and sediment are
40 transferred into urban waterways⁴. Sources of urban pollution fall into two main groups: (i) those
41 transported via the sewerage system and (ii) those such as roads, building sites and atmospheric
42 deposition, which arrive in receiving water bodies via stormwater drains and diffuse pathways⁶. The
43 second group poses greater concern to urban hydrologists and land managers as their pathways remain
44 relatively unknown, hence monitoring and predicting these fluxes remains a considerable challenge⁹.
45 With the emergence of new contaminants such as those found in personal care products,
46 pharmaceuticals and industrial wastes, increasingly advanced monitoring regimes are required to map
47 the spatio-temporal dynamics of their sources and pathways to formulate effective remediation
48 strategies. Novel contaminants (e.g., microbial contaminants and pharmaceuticals) enter into sewer
49 systems, where historically they would not have been targeted for treatment in wastewater treatment
50 works, often resulting in their discharge back into the water environment untreated¹⁰.
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55 Here, we introduce and reprise the development of integrated modelling techniques to assess impacts of
56 urban expansion on the aquatic environment, whilst discussing the central role that advances in
57 monitoring technology (Table 1) has played in enabling their widespread application. We introduce an
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3 example of an integrated model structure to elucidate how such models are developed to tackle real-
4 world problems and assess how the components, as well as the underpinning monitoring technologies
5 have advanced in recent years. We conclude by addressing some of the remaining limitations and assess
6 future priorities for the continued development of urban systems modelling.
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10 **2. Integrated Modelling and “big data”**

11 **2.1. Rationale, and definitions of integration**

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15 Historically, efforts to model the expansion and impacts of the urban environment on hydrological
16 dynamics and aquatic integrity have relied on a fragmented network of independent model structures
17 that simulate specific processes, often overlooking any connections or feedbacks between linked
18 systems^{11,12}. Increasingly, modelling of urban systems has started to adopt an integrated approach
19 whereby complex systems are treated as interconnected rather than disparate, placing an emphasis on
20 the interactions and feedbacks to enable sufficient understanding of the entire system^{8,13,14}. Over the
21 past 40 years, integrated modelling has grown concomitantly with improvements in computational
22 power, which has traditionally been a barrier to high-level simulation¹⁵. In addition, expansive data
23 requirements across multiple model structures have also traditionally precluded widespread
24 application¹⁵.
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29 The role of modelling in urban hydrology has expanded with improvements in computational hardware
30 to become a frequently utilised tool. Models of the urban water environment serve two primary
31 functions: (i) elucidating some of the ‘black box’ processes that occur within the urban system, such as
32 dominant flow pathways at both the surface and in pipe-systems and their connections, and (ii)
33 predicting future environmental changes (both land-use expansion and climate change) and their
34 subsequent impact on the hydrological cycle. Bach et al. highlight the 1982 study of the Glatt Valley in
35 Switzerland, which sought a combined understanding of multiple components of an urban water system,
36 as the pioneering study into integrated model applications in urban water studies¹⁶. This study also
37 highlighted a key difficulty in early integrated modelling applications, as it required over 40 people to
38 detect and track contaminants through the wastewater treatment system from rainfall input to the
39 receiving water during a storm. It identified the impacts of stormflow on secondary wastewater
40 treatment dynamics, whilst also tracking the temporal patterns of pollutants within the drainage system,
41 treatment processes and receiving water bodies.
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46 Integrated models are developed at contrasting scales, often to address very specific research questions.
47 These range from assessing the impacts of development on receiving water bodies^{17,18}, to optimising the
48 treatment steps and processes within a wastewater treatment plant^{19,20}, to large-scale modelling efforts
49 that are used in decision and policy applications and utilise social and economic variables^{21,22,23}. The
50 differing scale and range of research questions posed of models can be viewed as defining the type and
51 extent of “integration”. A comprehensive review of model integration in urban environments¹² identifies
52 four tiers of integration which are described graphically (Figure 1) illustrating the increasing breadth of
53 disciplinary coverage and spatial extent from component based models within individual sub-systems
54 (Tier 1) to holistic integrated urban water systems (Tier 4). Historically, integrating activity has
55 predominantly focussed on integrating components of drainage systems (Tier 2); examples of which
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3 enable multi-constituent modelling and the consideration of both acute and chronic impacts at a range
4 of spatial scales.
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7 Increasingly, integrated models have been applied as environmental decision support systems (EDSS),
8 enabling officials and planners to assimilate sufficient information to assess the impacts of particular
9 scenarios or policy implementations accordingly²⁴. As with the integrated models themselves, examples
10 of use of EDSS to assess impacts of urban areas cover a wide contextual spectrum, from the urban water
11 cycle itself (UWOT)²⁵ to basin scale management (e.g. the Elbe-DSS)²⁶ to appraisal across wider domains
12 as part of ecosystem services assessments (e.g. Envision)²⁷. As a consequence of the contrasting spatial
13 and temporal scales, integrated models often operate as a balance between parsimony and pragmatism,
14 whereby representation of the overall system is a key outcome, often achieved by representing
15 complexity at local scales¹². Increasingly, there is an array of 'off-the-shelf' model packages available
16 (e.g., SIMBA²⁸; Aquacycle²⁹ and MIKE toolbox), however these are often limited by their proprietary
17 source-code, meaning users have little flexibility to modify how such models operate, though an
18 increasing number of open-source integrated models are becoming available (e.g., CityDRAIN³⁰ and
19 TyndallCities/ARCADIA USM³¹).
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24 *2.2. Integrated Modelling: a generic example and review of the development of component models*

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26 We consider an example whereby the role of urban growth on hydrological dynamics and water quality
27 within a large basin is addressed using integrated modelling. Here, the overarching objective is to
28 provide knowledge to inform future development policy that supports sustainable water resources (the
29 POLLCURB project focussing on the Thames basin (southern UK): www.pollcurb.ceh.ac.uk). Such an
30 endeavour requires research teams with diverse modelling and data collection skills. To achieve
31 objectives coverage of the following domains with an array of interconnected models is essential: (i)
32 Land-use change (ii) Urban drainage (iii) Rainfall-runoff (iv) Water quality. In this example modelling the
33 impacts of climate change is also required and in addition, other features, notably population growth
34 and wastewater treatment processes, must be represented. Pragmatism excluded explicit consideration
35 of other relevant domains (e.g. urban air quality, aquatic ecology). The rationale involves identifying key
36 relationships between land-use, flow and water quality derived at the local scale (< 100 km²) from case-
37 study sub-catchments that have experienced rapid urbanisation in recent decades. By way of upscaling,
38 these relationships would then be employed for predictive purposes at basin scale (> 5000 km²).
39 Therefore, in terms of integration concepts (Figure 1) the overall model philosophy sits in Tier 4.
40 Developments of modelling techniques covering the four key domains are charted below.
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46 *2.2.1. Land-Use Change Modelling (LUCM)*

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48 Urban growth modelling is primarily concerned with how growing populations and economic
49 development lead to changes in land use. Classical urban models are based on equilibrium theory and
50 the notion that land use will develop to an optimal spatial distribution of activities in terms of access to
51 jobs, markets and labour. The Lowry model³² is an early and highly influential model of this type whereby
52 for a given employment in the basic sector it estimates the distribution of the population supported by
53 these jobs, as well as the further retail and service jobs meeting local demand. The spatial distributions
54 are based on distance-frequency relationships, known as gravity models. To date, equilibrium-based
55 modelling has developed into two main classes of urban model: land-use transport interaction modelling
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3 (LUTI) and Computational General Equilibrium modelling (CGE). CGE are used for macro-level economic
4 modelling with strong theoretical rigor and minimal assumptions³³, at the cost of remaining relatively
5 abstract in its conclusions. In contrast, LUTI models use a bottom-up approach, taking the behaviour of
6 micro-economic agents as a starting point; these models typically represent the transport network in
7 detail and consider the interactions between industrial sectors and socio-economic groups in spatially
8 refined geographical regions. Being more pragmatic than CGE, LUTI models tend to include some ad-hoc
9 assumptions at the interface between more-or-less established sectorial- or discipline-specific models³⁴.
10 In recent years the approaches have converged, with LUTI models adopting more theoretical rigour³⁵
11 and CGE models becoming more spatially explicit³⁶. Both LUTI and CGE models are primarily economic
12 models and whilst they are suited and used for integrated analysis, it is through the mechanisms of
13 markets. The data required are typically collected by national statistical agencies and census bureaus
14 (information on population and employment detailed by socio-economic groups, industrial section and,
15 importantly, geographical regions). LUTI models in particular rely on fine resolution geographical detail,
16 to realistically incorporate the role of the transport system.
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22 In the late 1970s an important new paradigm was introduced to urban modelling as it was increasingly
23 recognized that the development of urban systems is lagging behind the drivers of change, and urban
24 systems are therefore chronically out of equilibrium. The growth of cities is chaotic, path-dependent and
25 complex mathematically^{37,38}. Early models based on this paradigm were no longer finding equilibrium
26 solutions of urban configurations but were simulation models that explored possible trajectories of
27 change³⁹. A second development in urban growth modelling that occurred over the same time was the
28 development of 'cellular worlds'^{40,41}. These abandoned the use of regions or zone-systems and the
29 thereby implied assumptions about urban boundaries and structure in favour of dynamics based on
30 neutral, fine scale, regular grids. These models simulated growth of urban systems as a bottom-up
31 process, in a petri-dish kind of environment, very well aligned with mathematical⁴², and economic⁴³
32 understanding of the macro-level manifestations of micro-level processes. These developments gave rise
33 to the cellular automata land use change model^{44,45} the dominant form of urban model today which has
34 a more pronounced physical and geographical basis than LUTI or CGE. In addition to transport
35 accessibility, factors determining where urban growth occurs include slope, soil and other factors of
36 physical suitability, zoning (spatial planning) status, and the land uses found in the direct neighbourhood.
37 As such the cellular automata models are highly appropriate for integration with other socio-economical
38 and physical models^{46,47}.
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44 *2.2.2. Urban Drainage Modelling*

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46 The expansion of urban areas results in extension to subsurface urban drainage infrastructure alongside
47 increased influence of flood storage areas and road drainage. A growing number of urban drainage
48 model packages now exist, and with improvements in computational efficiency these incorporate
49 increasing levels of spatial and temporal detail (Table 2). A common drawback in the early days was that
50 urban models often operated in isolation from the surrounding landscape^{14,48}. Urban drainage modelling
51 has evolved markedly in recent decades in response to advances in computing power and an increasing
52 interest from a planning, hazard and management perspective. Whilst underpinning mathematical
53 functions have remained largely unchanged, modelling has been buoyed by the assimilation of GIS
54 software, enabling rapid determination of complex drainage networks, runoff pathways and sewerage
55 catchment areas⁴⁹. The release of CHI's PCSWMM program (an enhanced version of the US EPA SWMM
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3 model) combines GIS, hydraulic modelling and hydrological modelling in one platform. PCSWMM
4 contains a GIS interface that supports Open Street Maps, Bing Maps, Google Maps (including a Google
5 Earth interface) and ESRI OS[®] mapping products (Table 2) enabling easier identification of subcatchment
6 boundaries, pipe networks and catchment characteristics.
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9 In a perspicacious review, Bach et al., track the move towards integration in urban drainage modelling
10 over the past three decades. Historically considered separately, Wastewater Treatment Plants (WWTPs)
11 have increasingly become recognised as integral parts of urban water systems, particularly in relation to
12 water quality and baseline chemistry⁵. Furthermore, if WWTPs receive large quantities of water from
13 outside catchment boundaries, water balance is no longer explicable in terms of natural rainfall-runoff
14 dynamics⁵⁰. As a result, there has been increasing effort to link natural hydrological dynamics and urban
15 drainage systems with WWTP processes. Sequential treatment processes within WWTPs are increasingly
16 being represented intrinsically to give a 'plant-wide' model of both water fluxes and water quality¹².
17 Rates of urbanisation typically outstrip increase in WWTP capacity, often resulting in a reduction in the
18 efficiency of some processes⁵¹. The 'plant-wide' approach to modelling WWTP simulations is successfully
19 used to quantify impacts of urban growth on wastewater loads and thereby on effluent water quality.
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23 24 *2.2.3. Rainfall-runoff Modelling*

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26 Hydrological models of varying complexity are routinely used for predicting storm runoff volume and
27 peak flow magnitude in urban areas. Several comprehensive reviews of urban runoff modelling have
28 recently been published^{52,53,54}. However, most of the recent advances are related to the hydraulic
29 components, routing storm water across urban surfaces to and through sewer systems, and used to
30 predict inundation^{55,56}. These advances have capitalised on increasing processing power combined with
31 the emergence of new spatial datasets allowing a more detailed hydraulic description of the urban
32 geometry (e.g. high resolution topographical data such as LiDAR)⁵⁷. When modelling infiltration in urban
33 catchments, land is divided into two portions, one overlain by impervious surfaces (e.g. roads, roofs,
34 pavements) the other characterised as pervious, suggesting soils covered by vegetation. The pervious
35 areas comprise rural land outside the urban area or green-field sites within it, such as parks or gardens.
36 Impervious areas are commonly subdivided into those directly connected to the man-made drainage
37 system (also known as effective urban areas), and those lacking direct hydraulic connection⁵⁸. Using this
38 simplified representation, a number of hydrological models treat runoff generation as two separate
39 systems, which when combined form the total runoff response^{59,60,61,62}.
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45 A key consideration for urban impacts on runoff response is scale. For example, at very local level,
46 particular features such as local storage ponds and the layout of the sewer system layout are likely to
47 significantly affect runoff. However, further downstream the same urban area might only represent a
48 small fraction of the total catchment, and the localised effects become relatively less important. In terms
49 of land use data there is a strong case for using a scale-dependent level of detail. For example, at large
50 scales a straightforward distinction between urban and non-urban may suffice, whereas in specific cases
51 a detailed classification (e.g. suburban, peri-urban, effective impervious areas, or even down to roofs,
52 roads, gardens, drive ways, pavements etc.) may be necessary. Several studies assess the impact of
53 urban development on catchment runoff across scales, from small⁶³ to large^{64,54}. A coherent view from
54 the literature of the best means to represent urban areas at different scales to ensure appropriate
55 representation of processes is lacking.
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2.2.4. Urban Water Quality Modelling

A progression has been apparent in water-quality model development, from pathogens and DO^{66,67,68}; to nutrients and eutrophication^{69,70,71}; to industrial pollution legacies (e.g., toxic organics and metals)^{72,73,74,75} and on to the present day where focus is increasingly on emerging contaminants (e.g., synthetic chemicals, pharmaceuticals and microbial contaminants) and nanoparticles, where models are at less advanced stages and remain active fields of research (Table 3). Many of the advances in water quality modelling have been pioneered by increasing access to high quality data, used for both model calibration and parameterisation. Monitoring of water quality is often fuelled by sporadic and seasonally motivated sampling, often driven by events or policies that address specific contaminant problems in a responsive manner. Consequently, datasets are rarely comprehensive, only providing a snapshot in many areas⁷⁶. As novel contaminants continue to emerge, our monitoring ability must keep pace prior to simulating their dynamics in aquatic environments. In addition, the boundary conditions of water quality models for specific contaminants often remain understudied or poorly understood, where a dearth of observed data is available for calibration or parameterisation. For example, a better understanding of contaminant fluxes and interactions from landscapes or facilities (e.g., WWTPs) that input into urban areas is required, to support our understanding of how such dynamics will emerge in urban streams. As technology enhances the resolution and quality of data captured in the field, development of more robust and reliable models that provide a holistic representation of the urban water system become increasingly possible.

In summary, prerequisites for a successful water quality model stem from three key factors: (i) good water quality data for calibration and parameterisation of model structures, (ii) accurately capturing the hydrological dynamics and (iii) ability to determine the sources of pollutants from contrasting landscapes. As a result, efforts to model urban water quality are increasingly turning to an ensemble approach, whereby model structures are coupled with hydraulic, WWTP, surface and atmospheric models, to represent sources and sinks of sediment and contaminants. In terms of biological parameters, representation of autotroph photosynthesis and respiration is commonplace in water quality models as they are fundamental in influencing dissolved oxygen levels. Higher trophic levels, however, are rarely considered beyond their role in acting as a grazing control on autotrophs. Simulation of biological response has largely remained detached from integrated model systems.

2.3. Integrated Modelling and big data requirements: the monitoring context

To be effective, integrated models such as POLLCURB require a significant and diverse collection of (often high-resolution) data. In this case the key sources of information are long-term datasets of climate, land use and river water resources (hydrology and water quality). Our ability to access widespread sources of data has expanded considerably in recent decades. High-resolution data (both spatial and temporal) has become an invaluable tool for aiding our understanding and, accordingly, for reduction of predictive uncertainty. Whilst data availability and our scope to access it has improved greatly in recent years, a key barrier that remains to widespread implementation of integrated models is the considerable requirements that such “big-data” impose¹⁵. Furthermore, it is essential that datasets can be effective in identifying interactions and in the process of calibrating component models within the integrated framework. Consequently, capturing not only the physical processes but also their

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3 connections and feedbacks places considerable strain on modelling practitioners¹². Taking each of the
4 four key domains in turn, the remainder of this article will critically review how advances in monitoring
5 techniques (summarised in Table 1) have improved integrated model development and applications
6 (Section 3). In particular, we will explore how these advances enable feedbacks and interactions to be
7 better represented. To conclude (in Section 4) we will reflect on how advances in monitoring frame the
8 development of the POLLCURB model application, in particular pinpointing the main aspects needing
9 strong integration.
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12 13 **3. A review of advances in monitoring to support integrated model components**

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15 Our example of quantifying impacts of urban growth illustrates unique demands imposed on integrated
16 modelling by issues of interconnections, Urbanisation is driven by regional economic development,
17 emergence of new industry, and housing accordingly; a linkage that has been long recognised dating
18 back to Malthus³⁰. Planning policy combined with economic growth models can yield detailed insight
19 into how urban areas will expand into the future, both spatially and temporally. Calibration of such
20 growth (to enable future predictions) requires considerable data from multiple sources including
21 economic indices, population metrics, planning policy documents and remotely sensed urban imagery to
22 track urban growth at contrasting spatial and temporal scales¹¹. Furthermore, given the iterative nature
23 of development in urban areas within developed countries, changes of land use typically occur across
24 multiple years and in small spatial zones, thereby a reliance on long-term, high-resolution monitoring
25 (often limited in its availability and prohibitively expensive¹⁵) serves to restrict the skill and utility of land-
26 use change models. As urban growth occurs, infrastructure such as road networks, public transport
27 infrastructure and urban drainage grows concomitantly. Assessing the impacts of growth on rainfall-
28 runoff and urban drainage dynamics requires access to detailed network maps of existing drainage to
29 assess how surface drainage dynamics will change accordingly. Such data can be sensitive and difficult to
30 acquire as water management authorities can often be resistant to disseminate such large spatial
31 datasets. However, accurate representation of urban hydrological dynamics is dependent upon
32 knowledge of water routing, and so acquisition of drainage infrastructure maps is critical in integrated
33 urban modelling¹¹. Within urban areas, wastewater treatment facilities contribute considerable artificial
34 inputs to catchment water balances and water quality. Quantifying the role of these plants is crucial to
35 the success of integrated model applications, and as such, acquiring volumetric discharge and effluent
36 water quality is important. Finally, representing the dominant rainfall-runoff dynamics and associated
37 water quality from contrasting landscapes requires high spatial- and temporal-resolution monitoring
38 regimes to connect component models, thereby enabling a sufficiently robust basis for calibration and
39 reduction of uncertainty.
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48 **3.1. Data Requirements and Monitoring Advances in LUCM**

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50 Data informing cellular automata models are often, and increasingly, based on remote sensing, i.e.
51 satellite imagery. Current developments are prominently geared towards containing and unravelling the
52 inherent complexity of cellular automata, through sensitivity analysis and validation⁷⁷, and the
53 development of methods for estimation/calibration^{78,79,80}. Calibrating land-use change models requires
54 reasonable baseline data, against which model outputs can be trained. The advance in urban remote
55 sensing technologies and post-capture processing computing has enabled the rapid proliferation of land-
56 use data at increasingly high resolutions. The earliest urban remote sensing for quantifying impervious
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3 surfaces made use of aerial photography^{81,82}. Archives of aerial photography stretch back over 60 years
4 in some places, however their coverage is often temporally and spatially sparse. Despite this limitation,
5 aerial photographs provide a valuable resource for quantifying urbanisation in selected areas⁸³. The
6 upgrade to high-resolution imagery enabled increased accuracy for detecting urban spread⁸⁴.
7 Commercial high-resolution sensors have traditionally been restricted owing to international security
8 concerns. However, such limitations have since been relaxed and high-resolution satellite imagery (e.g.,
9 IKONOS-2, ORBIMAGE Inc and Quickbird-2) are now widely available, providing scope to track real-time
10 changes within urban areas^{85, 86, 87, 88}.
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14 Most urban applications of remote sensing (RS) have used optical data, although radar and LiDAR are
15 becoming increasingly common. For urban hydrology there are three key types of data set derived from
16 RS, specifically land cover classifications, impervious surface and topography. Land cover classifications
17 provide maps of land cover that typically include at least one urban class. Examples include urban extent
18 from land cover maps which are widely available at a range of scales from country-wide, such as the US
19 National Land Cover Database (NLCD)⁸⁹ and the 2007 UK Land Cover Map (LCM2007)⁹⁰, to continental
20 and global-level with the Moderate Resolution Imaging Spectroradiometer (MODIS)⁹¹ and GLOBCOVER
21 products. Currently a number of single-class (i.e., urban/non-urban) RS products are in production
22 including the European Urban Atlas and Germany's Space Agency (DLR) global classification of human
23 settlement from TanDEM-X, generating a global urban extent dataset with a spatial resolution of around
24 30m⁹². Impervious surface mapping contains a number of methods that have been developed for
25 capturing the percentage impervious area from satellite data^{87,93,94}. An impervious surface product
26 derived from Landsat data is now part of the United States national land cover data based (NLCD)
27 products⁶⁵, including a procedure to update it⁹⁶. Finally, topographical information can be derived from
28 both LiDAR and InSAR data and have an important role to play in urban flood modelling studies⁹⁷. Less
29 research has been conducted on deriving 'effective' or 'directly connected impervious area' (EIA/DCIA),
30 and how impervious such surfaces are in reality⁸⁷. Early efforts to derive EIA/DCIA focused upon using
31 empirical hydrological data or field surveys⁹⁸ while more recent research has utilized technology such as
32 RS and GIS to estimate the effective impervious area⁹⁹. With modern urban developments increasingly
33 containing sustainable drainage systems (SuDS) such as permeable paving (that are difficult to
34 distinguish via remote sensing from impervious paving), there is a requirement for more detailed
35 mapping of urban land-use to reflect the diversity of hydrologic-footprint affected by contemporary
36 urban land-use, for which high-resolution sensors provide scope.
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44 **3.2. Urban Drainage Data and Observation**

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46 Given careful processing of remotely sensed imagery or land-use data, GIS techniques permit
47 connections to be made between changes in land-use and extension of drainage networks. In the United
48 Kingdom, following the Pitt review into the 2007 flooding¹⁰⁰, most local authorities and water companies
49 developed digital records of urban drainage infrastructure, enabling an increasingly accurate system-
50 based representation of city drainage dynamics. Therefore, as charted in Section 2.2.2 the increasing
51 widespread availability of digitised drainage data has enabled the development of detailed and powerful
52 urban drainage models.
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56 However, the use of such models has often been hindered by the lack of available observed data for
57 calibration. Information pertaining to the design and operation of various key elements to urban
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3 drainage infrastructure are often being subject to administrative and political barriers to access. In this
4 respect, specific information on diversion structures, treatment units, combined sewer overflows and
5 depression storage areas, important for successful applications of complex process-oriented models are
6 not always readily accessible.
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9 These limitations of data accessibility are perhaps most severely compounded by uncertainty
10 surrounding the integrity of such networks, where defective pipe systems can result in considerable
11 influx or loss of water. Leaking infrastructure has been shown to contribute significantly to groundwater
12 recharge across Europe. For example, Yang et al., estimated that 70% of groundwater recharge from the
13 UK city of Nottingham could be traced to leaking infrastructure¹⁰¹. A report by the European
14 Environment Agency demonstrated that leaking infrastructure can range from 3% in Germany to over
15 50% in Bulgaria¹⁰². Lerner identified recharge rates of 30% in Lima (Peru), and also attributed 50% of
16 total recharge in Hong Kong to leakage contributions with values ranging from 260mm to 2950mm/yr¹⁰³.
17 Contributions from leaking infrastructure to soil water, and thus groundwater recharge, have long been
18 recognised but quantifying their impacts has proven problematic, often resulting in adoption of
19 environmental tracer techniques and model applications^{104,105,106}. Whilst both approaches are valid,
20 these estimates are often time consuming and uncertain. A direct method for measuring loss has thus
21 far proved elusive.
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27 Leakage detection systems (LDS) have advanced substantially in the past few decades as utility
28 companies and governments recognise the economic and resource benefits of identifying and treating
29 inefficient infrastructure¹⁰⁷. Labour-intensive, costly traditional methods of detection are based on
30 regular schedules or specific failure events^{108,109}. Technological advances in Wireless Sensory Networks
31 (WiSN) have resulted in the emergence of portable, inexpensive equipment of low-power requirement
32 for remote real-time monitoring of pipe flow¹¹⁰. WiSNs monitor pressure, flow velocity and vibrations
33 (via acoustic sensors) in supply- or sewage-pipe networks, where a change in the respective variable is
34 recorded between sensor nodes and can be integrated into a GIS network, where wireless relays can
35 highlight emergent problems¹¹¹. Experimental applications of WiSN highlight potential to utilise this
36 technology as a method for deriving volumes of water loss from underground transfer systems^{112,113}. For
37 example, Li et al., applied a WiSN to the water supply system in Beijing and estimated that it helped
38 detect pipe defects and inform maintenance that reduced leakage volumes by $4 \times 10^6 \text{ m}^3$ of drinking
39 water between 2007 and 2009, representing 80% of China's cumulative water losses ($5 \times 10^6 \text{ m}^3$)¹¹⁴. WiSN
40 networks can provide data that quantifies water losses from infrastructure and allows utility providers to
41 repair defective pipelines. However widespread application of such networks has not yet been realised.
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46 **3.3. Data Supporting Rainfall-Runoff Modelling**

47 **3.3.1. Rainfall Monitoring**

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49 Conventional rainfall gauging techniques have increasingly been supplemented by widespread radar
50 coverage, which provides greater scope for predictive interpretation of evolving rainfall patterns,
51 although it is accompanied by significant uncertainties. An extensive overview of rainfall-radar methods
52 is beyond the scope of this review but is provided by numerous authors^{115,116,117}. Radar has obvious
53 advantages over standard gauges, providing areal averages as opposed to point measurements.
54 Advances in radar-rainfall estimations have been two-fold: (i) in radar sensory systems and (ii) the
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3 processing algorithms that correct against known sources of error¹¹⁸. However, it should be stressed that
4 prior to use in hydrological modelling, difficulties of handling large datasets and complications concerned
5 with the calibration of radar data still remain and require pre-processing^{119,120}. The recent emergence of
6 X-band technology scales spatial resolution by a factor of 10, resulting in the ability to monitor
7 precipitation data at the hectometre scale, rather than kilometre¹²¹. As a result, X-band radar has the
8 potential for important applications in the urban environment, providing local authorities with smaller
9 and more affordable infrastructure that has the capacity to generate forecasts and early warnings at the
10 very local scale (e.g. EU funded project, RainGain (<http://www.raingain.eu/en/raingain>)). The
11 development of X-band polarimetric radar instruments is an encouraging step, as they are capable of
12 detecting ground clutter and enhance the reflection to rainfall relationship through the incorporation of
13 polarimetric parameters and correction for attenuation⁵. The shift towards radar has resulted in
14 increasingly high spatial and temporal resolution rainfall data, which is useful in modelling applications,
15 particularly for the prediction and management of flood events^{122,123}.

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21 New radar developed in UK measures humidity via a refractivity index, which is then calibrated via
22 synoptic weather stations on the ground¹²⁴. This identifies areas where the outbreak of convective
23 storms is likely to occur, thus potentially improving the ability to forecast pluvial flooding in urban areas.
24 Additionally, the measurement of the emission from attenuating objects can now be determined and
25 used to correct signal uncertainty¹²⁵. This technique is currently being implemented into the UK radar
26 network and will result in the reduction of real-time estimation uncertainties. Increasingly novel
27 methods are being applied to determine rainfall estimates across urban areas, which could greatly aid in
28 calibration of more conventional monitoring techniques (Table 1). Zinevich et al., (2010) cite a relatively
29 successful means of detecting rainfall fields using microwave tomography from commercial mobile
30 phone networks¹²⁶. This approach relies on the attenuation of microwaves during precipitation events
31 and as terrestrial microwave links are normally within 10m of the ground surface, such a method
32 provides a good basis for estimation of precipitation at the near-surface area¹²⁷. Recent research by
33 Bianchi et al., demonstrated the possibility of combining this information with rain gauges and radar to
34 derive increasingly accurate precipitation estimates¹²⁸.

39 *3.3.2. Evapotranspiration*

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41 Historically, evapotranspiration in urban areas has been the focus of urban climatologists interested in
42 capturing the urban energy budget and quantifying the urban heat island^{13,129}. Large buildings and street
43 canyons create local variations in airflow and thermal regimes, which result in the transfer of energy and
44 highly variable eddy currents, affecting the dynamics of evapotranspiration¹³⁰. Furthermore, the
45 patchwork presence of impervious surfaces and vegetated areas create a complex pattern of
46 evapotranspiration, where empirical measurement can often be difficult. However, the varying height of
47 the urban roughness sublayer and inaccurate spatial and temporal scaling laws was demonstrated to
48 create major uncertainties in this method in urban areas¹³¹. Empirical studies of urban
49 evapotranspiration initially utilised eddy correlation methods, which measure the vertical velocity and
50 moisture content of air parcels¹³². Promising advances in technology, such as eddy covariance¹³³ and the
51 application of scintillometers¹³⁴, are increasingly being employed to derive accurate and reliable
52 measurements of urban heat fluxes and ET.

53 *3.3.3. Infiltration Monitoring and Estimation*

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4 It is long established that the primary impacts of increase in impervious surface are reduced infiltration
5 rates and travel times⁹⁶. Recently, evidence relating these impacts to increased surface runoff, and
6 reduced baseflow, has been extensive^{5,135,136,137,138}. However, incorporation of new knowledge in
7 hydrological models has been slow. In the past some modelling approaches have made the simplified
8 assumption that 100% of the rainfall is converted into runoff transported overland and through
9 stormwater drainage systems in impervious areas, yet this has often been found to be unrealistic. For
10 example, Hollis and Ovenden found that the percentage runoff from a road was 50%¹³⁹. Similarly, Ramier
11 et al., found that between 30%-40% of rainfall on two roads was accounted for by evaporation and
12 infiltration¹⁴⁰. In a study of runoff from roofs, Ragab et al., found that, depending on pitch and aspect
13 relative to dominant wind-direction, the percentage runoff varied from 61% to 91%¹⁴¹. Clearly, the term
14 'impervious' can be misleading, and runoff from these areas should not automatically be assumed 100%
15 of rainfall. Similar generalisations are made for pervious areas, in which soil infiltration is typically
16 assumed to occur 'naturally'. In reality, compaction and mixing of soil horizons during construction
17 results in reduction in porosity, where saturation excess is reached more quickly than in natural areas,
18 and consequently runoff contributions from pervious areas are often underestimated in model
19 applications.
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25 Assumptions concerning infiltration dynamics in urban space can remain a substantial source of model
26 error. However, increasingly advanced field and laboratory techniques to determine infiltration and
27 percent runoff estimates have been developed and can be widely applied, and thereby be potentially
28 very beneficial for modelling, reducing the reliance on generalised assumptions. Field and laboratory
29 based permeameters provide a hydraulic head to drive water into soil surfaces and directly derive
30 saturated hydraulic conductivity^{142,143}. Mini disk portable tension infiltrometers are easily transported
31 and deployed in the field, enabling multiple spatial estimates. However, some of these 'direct' methods
32 remain invariably time consuming and costly; require certain conditions to facilitate their use (e.g., flat
33 surface), and are not always representative of soil infiltration dynamics across wider areas. Electrical
34 resistivity techniques are potentially cost-effective for determining in-situ estimates of soil infiltration
35 capacity¹³². Furthermore, hyperspectral remote sensing techniques can determine soil moisture at the
36 near surface zone with a statistically significant correlation ($R^2 = 0.7$) to simultaneous observations¹⁴⁴. In
37 urban areas, the applicability of these techniques is limited to pervious zones that permit measurement,
38 often negating the inputs from infrastructure (e.g., septic tanks and ineffective infrastructure) sealed
39 beneath urban surfaces¹⁴⁵. This can be compounded by traditional assumptions that all rainfall in
40 impervious areas is immediately routed to runoff and that infiltration defaults to zero. Determining the
41 infiltration rates of pervious urban areas remains an important and active area of research which will
42 provide insights on runoff generating mechanisms. It will allow more accurate coupling in models
43 between pervious and connected impervious areas. Furthermore, a concerted effort is required to
44 validate values of infiltration rate used for impervious zones, which currently may be impairing model
45 performance.
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52 *3.3.4. Urban Runoff Observation*

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55 Conventional velocity-area measurements remain the most common technique (c. 90% of gauging sites
56 worldwide)¹⁴⁵ for determining discharge, whereby water velocity is measured at selected intervals across
57 a known channel cross-section. The 2010 World Meteorological Organization (WMO) Manual on Stream
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3 Gauging¹⁴⁵ outlines the advances made in hydrometry since the first version was published in 1980¹⁴⁶.
4 The emergence of novel techniques such as Acoustic Doppler Current Profilers (ADCPs); Acoustic
5 Doppler Velocity Meters (ADVMS); laser Doppler velocimetry (LDV); electromagnetic channel-width coil
6 and radar gauges have improved the resolution of automated monitoring. Measurements are now
7 possible in uniquely urban locations such as sewer pipes and storm drains that are difficult to access. In
8 such environments monitoring has previously been absent hindering calibration of urban drainage
9 models¹². Furthermore, the implementation of such technology onto remotely operated platforms (e.g.,
10 ARC-Boat[®], HR Wallingford) permits monitoring in particularly dangerous or contaminated environments
11 without posing risk to the user. Monitoring flow and bathymetry via remote control¹⁴⁷ enables
12 characterisation of hydrological events, making for better calibration of models. Finally, flow data
13 capture, storage and transfer has been greatly advanced through application of satellite and radio based
14 telemetry methods¹⁴⁸, giving rapid access to data that can be used to provide real-time river level
15 information for flood warnings (e.g. UK Environment Agency, NOAA). For example, Fulton and Ostrowski
16 applied hand-held radar to determine flow velocities from which real-time streamflow were derived and
17 input to existing hydraulic routing models¹⁴⁹. Furthermore, real-time hydrological data provides early
18 diagnosis of equipment error, thus maintaining continuity and reducing data loss¹⁴⁸.
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24 **3.4. Water Quality Monitoring**

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27 Pollutants found in urban environments can be divided broadly into two main types: (i) those arising
28 from natural processes as a consequence of overstimulation of production and decomposition, and (ii)
29 toxic chemicals originating from manufactured products, including metals and persistent organic
30 compounds. The group arising from natural processes is small and includes macronutrients (N and P),
31 organic waste (usually described in terms of its impact on oxygen levels: Biochemical Oxygen Demand)
32 and pathogens. The problems of these substances are historically well-documented and substantial
33 although the diversity of their sources and transport pathways in the urban environment make
34 prediction of their impacts challenging. With regards to toxic substances, little is known in terms of the
35 detailed composition in the various pathways of diffuse urban pollution. They are often poorly retained
36 in wastewater treatment works, especially the less soluble compounds, and their biotic accumulation
37 and storage in sludge are becoming of increasing concern^{150,151}. Increasingly, emerging pollutants such as
38 nanoparticles¹⁵²; pharmaceuticals and endocrine disruptors¹⁵³ and microbial bacteria and
39 pathogens^{154,155} have resulted in a shift in emphasis in water quality analyses, resulting in increasingly
40 complex monitoring strategies and technologies. The following sections assess the advances in
41 monitoring physical, chemical and emerging priority pollutants in urban river systems.
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46 **3.4.1. Geomorphic Erosion and Sediment Fluxes**

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49 Since the 1960s, substantial advances in understanding of how urbanisation affects geomorphic
50 processes¹⁵⁶ have been made, yet three major challenges remain: first, there is a need to quantify the
51 aggregate impact of urbanisation on sediment transport at spatial and temporal scales greater than
52 those of individual studies^{157,158}; second, there is a need to improve understanding of how changes to
53 the downstream river environment may subsequently alter in-stream processes and channel
54 morphology^{159,160,161}; and third there is a growing recognition that impacts of urbanisation on aquatic
55 ecology can be understood properly only when the effects of urbanisation on flow and sediment delivery
56 are also taken into account¹⁶². Establishing the origin of sediment mobilised from urban areas is
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3 particularly important. However, the dynamic nature of sediment transport results in many well-
4 recognised difficulties for field measurements in river systems. Sediment transport is typically divided
5 into three categories, based on size classifications. Of primary concern regarding fluxes of contaminants
6 from urban areas:
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9 (i) Bedload sediment - represents larger material, including gravels and pebbles which will only be
10 mobilised under elevated discharges or steep bed gradients, making for problematic
11 monitoring conditions, and often confined to more upland areas¹⁶³. Conventional
12 measurements of bedload can be separated into four categories: box/basket (sediment
13 traps), trays (or pans), pressure difference samplers (e.g., Helley-Smith) or pit samplers. In
14 addition, grab samples and cores for laboratory analyses can provide insight into the
15 physical and chemical compositions of bedload layers. Measurement entails a range of
16 problems, including capturing of suspended sediment loads in addition to bedload¹⁶⁴.
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19 (ii) Suspended sediment –Acoustic Doppler Current Profilers (ADCPs) are increasingly being explored as a
20 surrogate method for determining suspended sediment concentrations¹⁶⁵. Guerrero et al.,
21 (2011) assessed a dual-frequency ADCP in the laboratory¹⁶⁶ and concluded that acoustic
22 backscatter (ABS) techniques are a robust methodology for determining grain size
23 distribution for suspended sediment columns. Additionally, measures of optical turbidity
24 provide an indirect method of estimating SSC, where strong correlations with discharge are
25 apparent. State-of-the-art turbidity meters are increasingly deployed in conjunction with
26 electrical conductivity probes, as dissolved solids can significantly affect the conductance
27 rates¹⁶⁷. As suspended sediments provide a viable mechanism for transporting chemical and
28 biological contaminants, physical sampling for post-capture characterisation in the lab is
29 desirable.
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35 3.4.2. Chemical and Biological Water Quality Metrics

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38 Chemical and biological indicators remain the dominant focus of policy. Legacies of intensive agriculture
39 and industry resulted in elevated levels of a vast suite of contaminants in freshwater environments
40 including phosphorus, heavy metals and nitrates. As the human footprint continues to expand and
41 agricultural practices continue to intensify, chemical and biological contaminants still remain a dominant
42 threat to water quality from both point and non-point sources.
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46 Autosampling techniques were gradually introduced to enable more frequent and comprehensive
47 sampling without the need for excessive manpower (e.g., programmable ISCO 3700 or flow-triggered
48 Endress and Hauser Liquiport 2000EX samplers). Despite their undoubted utility (particularly for more
49 complex chemical and biological water quality indicators that still require laboratory analysis)
50 autosampling systems are often expensive, particularly where monitoring is spread across multiple areas
51 (Table 1). The emergence of real-time remote monitoring (RTRM) systems have been widely utilised
52 across many sectors providing cheap, accurate and dynamic data capture¹⁶⁸. RTRM systems incorporate
53 an array of sensors that capture, store and relay meteorological, hydrological and water quality data
54 (Table 1). For water quality, multi-parameter sensors are attached to a bundled device (e.g., YSI 6600ED
55 and RSHydro Manta 2 sondes) and deployed into water bodies, remotely recording and relaying data via
56 radio or satellite telemetry systems. Continual monitoring networks provide an avenue to obtain
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3 accurate and long-term data, which can be used to determine spatial and temporal changes of water
4 quality (including event response), drive policy decisions and inform modelling studies¹⁶⁸. Furthermore,
5 the introduction of alert systems provides a management tool for local and environmental authorities
6 for responsive action at sites where toxic spills occur or large contaminant concentrations arise. In terms
7 of biological monitoring, chlorophyll (a surrogate for phytoplankton biomass) and cyanobacteria are
8 nowadays routinely captured using fluorescence sensors in RTRM systems, and are also extensively
9 surveyed using airborne hyperspectral remote sensing¹⁶⁹. When used in conjunction with cell-based
10 characterisation through flow cytometry¹⁷⁰ valuable information is attained on functional composition.
11 In sharp contrast, the highly constraining expense involved in monitoring other biological groups such as
12 macro-invertebrates and fish restricts data availability to, at best, surveys at a seasonal-resolution.
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16 *3.4.3. Emerging Priority Contaminants*

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19 Increasingly complex contaminants, such as pharmaceuticals, personal care products, endocrine
20 disruptors and nanoparticles are emerging and require novel monitoring techniques. The ecological
21 threats from these contaminants (collectively referred to as Organic Wastewater Contaminants or
22 OWCs) in surface water sources have long been recognised, despite their status as 'emerging priority
23 contaminants'¹⁷¹. Their presence in wastewater is almost ubiquitous, presenting a major challenge to
24 treatment plants¹⁷².
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28 Conventional methods for detecting OWCs rely on grab sampling, restricted by both cost and availability
29 of manpower, and also by post-capture processing in the laboratory which requires tandem gas- and
30 liquid chromatography mass spectrometry (GC-MS/MS and LC-MS/MS respectively)^{172,173}. Where
31 endocrine disrupting contaminants and pharmaceuticals and personal care products (PPCPs) are present
32 only at trace levels, large volumes of water are required to facilitate sufficient detection, making regular
33 transport of samples increasingly difficult. Some of these limitations can be overcome by autosampling
34 technology, though as discussed in the previous section, this is often expensive and impractical, and such
35 systems are not widely used in extensive sampling regimes¹⁷⁵. Passive sampling techniques have been
36 successfully applied in environmental monitoring for the best part of four decades for a range of
37 pollutants^{174,175}. Continuous submergence of samplers beneath the surface allows time-integrated flow
38 of analyte molecules to pass through a receiving membrane until chemical equilibrium is reached,
39 conceptually replicating absorption rates in aquatic ecology in a given location. For example, the Polar
40 Organic Chemical Integrative Sampler (POCIS) is a highly sensitive device specifically tailored to detect
41 PPCPs and pesticides/herbicides in freshwater systems. The POCIS system samples in the dissolved
42 phase, which enables contaminant bio-availability to be estimated¹⁷⁴ and can be specifically configured
43 to detect drug residues¹⁷⁶. Passive sampling represents the state-of-the-art means to detect OWCs in
44 water systems although extensive laboratory calibration and analysis is still required to accurately
45 determine trace concentration levels (Table 1). To conclude, an in-situ method for detection that
46 bypasses the need for extensive laboratory analysis and is suitable for real-time monitoring and alert
47 systems remains lacking.
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54 Overall, the ability to monitor contaminants in the urban environment has improved dramatically over
55 the past few decades, greatly aided by the continual improvement in sensor- and communication
56 technology. RTRM technology has enabled a method for identifying and managing high concentrations
57 of contaminants and spills via an alert system. As increasingly complex contaminants such as microbial
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3 pollutants, PPCPs, endocrine disruptors and nanoparticles emerge, new challenges for monitoring fuels
4 further research¹⁷⁷.
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7 **4. Discussion and Conclusion**

9 **4.1 Summary**

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11 Despite the advances made in urban hydrology over the past few decades, a holistic understanding of
12 the complexities of the urban environment remains lacking, and is an active area of research. The
13 evolution of monitoring and modelling techniques has advanced our capacity to obtain observations and
14 predictions at increasingly high-resolution spatio-temporal intervals resulting in a paradigm shift toward
15 integrated model applications. As new technologies continue to emerge, the scope for advancing our
16 understanding of urban hydrology increases considerably. Here, we summarise findings from the
17 preceding sections and identify how these advances in monitoring and modelling technologies can be
18 used to drive the future of urban water research.
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- 22 • Improvements in remote sensing platforms have greatly aided our ability to identify urban
23 landscape at increasing spatial and temporal resolution. As sensor technology, coverage and
24 post-capture analysis techniques continue to improve, there is real scope for remote sensing
25 technologies to drive hydrological research in urban and rural areas alike.
- 26 • Whilst performance mismatch is still warranting much attention, the development of novel
27 methods for detecting the spatial and temporal patterns of rainfall (e.g., radar and microwave
28 tomography) have advanced our ability to predict and manage rainfall in urban areas. This is
29 crucial for flood management and drainage design. The move towards now-casting and real-time
30 application remains a current research priority.
- 31 • Quantifying evapotranspiration, which is historically the domain of urban meteorologists, has
32 increasingly been recognised by urban hydrologists as crucial to closing the water balance. This
33 remains an active area of research but technology such as eddy flux chambers and
34 scintillometers demonstrate potential for widespread application in networks designed to
35 measure ET for water balance assessment across contrasting urban space.
- 36 • Achieving realistic measures of infiltration in pervious and impervious areas alike has been
37 identified as a significant knowledge gap. Overcoming the assumptions that impervious areas
38 prevent any infiltration, whereas parkland areas behave ‘as natural’ are crucial to understanding
39 rainfall-runoff dynamics. Additionally, the development of wireless sensory networks (WiSN) has
40 greatly aided our ability to quantify leakage from infrastructure and determine infiltration into-
41 and out of pipes. So far, limited applications have highlighted success at reducing water losses.
42 Widespread implementation could reduce urban water loss considerably, whilst providing urban
43 hydrologists with suitable data for parameterising recharge in urban hydrological models.
- 44 • The development of novel flow measuring devices has increased our ability to monitor in
45 arduous conditions (e.g., flood events) and challenging locations (e.g., pipes), providing further
46 insights into river bathymetry and velocity mapping. As recording devices become increasingly
47 portable and inexpensive, widespread deployment across urban catchments allows us to
48 understand flows and associated fluxes in increasingly disparate parts of the system, enabling us
49 to trace sources and pathways for water and contaminants.
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- A move towards RTRM technologies has greatly improved understanding of water quality dynamics in urban areas. Rapid detection of a suite of water quality contaminants and live telemetric transfer can aid in water quality management during storm events, or detection of spillages from overflows or industrial effluent outputs.
- Efforts to model the urban water balance and water quality have advanced through the move towards an integrated approach, which considers multiple connected systems (e.g., WWTP, surface runoff, pipe flow) as boundary inputs, creating a holistic representation of the urban area. As monitoring data continues to improve and become more readily available, models are becoming increasingly complex as we transition toward spatially distributed, continuous simulation structures in a systems dynamics approach. This is perhaps the most pragmatic way of representing the urban water cycle and more widespread application will improve our ability to represent the system in a more holistic manner, providing a robust baseline for future projection in response to changes in land use, urban expansion and climate.
- The advent of GIS techniques to support for example (i) increasingly high resolution topographic data, (ii) characterisation of urban infrastructure, more detailed land-use observations which better identify contiguous impervious areas has enabled the implementation of more powerful modelling techniques to relate land-use change to water resources.

4.2 Remaining Challenges

Remote sensing provides an unparalleled tool for tracking urban growth but as new developments continue to implement sustainable urban drainage features such as permeable paving and road surfaces, and green rooftops, increasingly high-resolution sensors are required to differentiate them from well-understood and conventional land classes. Despite its importance to the urban water balance, empirical derivation of evapotranspiration remains limited and our ability to satisfactorily quantify ET rates in urban areas is lacking. As urban planners continue to restore evapotranspiration as a sustainable management technique for stormwater, an improvement to current methodology is required for deriving ET estimates in urban areas. Although the emergence of radar and microwave tomography has advanced our ability to predict spatio-temporal patterns of rainfall, an important research priority is a move towards shorter-duration prediction and 'now-casting' techniques that will greatly aid in urban flood management. Whilst integrated models provide a mechanism for distilling the wealth of data that can now be attained through monitoring regimes as well as elucidating the potential impacts of environmental and land-use change there are still limitations in their scope, particularly in terms of ecological impacts. The links between water quality and ecology are not well understood. Channel geomorphology, which has been observed to undergo systematic change during urbanisation¹⁵⁶, in particular in terms of artificial modification, is known to influence in-stream biological communities, whereby reductions in in-stream sediment budgets reduce breeding habitats for fish and macroinvertebrates^{4,178}. In this regard, taking steps to restore habitats is recognised as being of fundamental importance¹⁷⁹. In terms of modelling, ecological response is typically represented by empirical statistically-based approaches¹⁸⁰, in part a consequence of the practical restrictions imposed by data collection methods. Any mechanistic representation of ecology is largely absent from integrated models of urban systems, and should be an aspiration for future research.

In spite of these shortcomings, in order to assess urbanisation at the river basin scale, at which water resources are managed for pollution control and for mitigation against extreme events, it is necessary to

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3 distil the impacts of urbanisation into simple yet robust formulations that capture the complex dynamics
4 represented in process-based models. As a step towards achieving this, the overarching objective of the
5 POLLCURB project, we consider that quantification of various essential features must be made, notably
6 the extent of urban and suburban land-use, soil infiltration and rainfall regime, and key thresholds above
7 which sewer infrastructure capacity will be breached. For this reason, we propose that at basin level
8 description of natural water bodies is geographically detailed (an ICBM on Figure 1). Integration at the
9 IUDM level is achieved with meta-modelling approaches, whereby simplified representations of urban
10 infrastructure capture the main features of more-detailed models. In contrast, at the IUWSM level detail
11 must not be compromised in the provision of land-use and climate change drivers
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15 The improvements to monitoring technology and modelling capability present us with renewed ability to
16 assess impacts of urbanisation. Availability of high-resolution remote sensing platforms allows
17 identification of subtle spatial and temporal changes in urban and suburban land cover, hence detailed
18 networks of pervious and impervious surfaces can be supplied to hydrological models. This can be
19 combined with empirical measurements of infiltration capacity and high-resolution rainfall to better
20 determine rainfall-runoff dynamics at increasingly fine scale. In addition, digitised networks of
21 stormwater drainage infrastructure improve the accuracy of runoff routing and simulation of flows to
22 urban channels. RTRM networks can be used in conjunction with rainfall-runoff from specific land uses
23 to determine pollutant loads. This combination of high-resolution monitoring systems and integrated
24 model structures can be used to identify overflow events and quantify their potential water quality and
25 flood implications. As highlighted in Section 2.2, POLLCURB seeks to build on these advances by
26 incorporating high-resolution data into an integrated model to be tested and used to make future
27 projections. It will provide scope for assessing both continuous and event-based impacts of hydrology
28 and water quality under changing land-use, population and climate scenarios. The implementation of
29 robust data from advanced, high-resolution monitoring techniques creates scope to intrinsically link
30 landscapes to their dynamics and assess change over space and time and contrasting scales.
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36 Acknowledgements

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38 We are grateful to the Natural Environment Research Council (NERC UK) for funding this research as part
39 of the Changing Water Cycle programme (NE/K002317/1).
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44 Figure captions

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47 Figure 1: A typology of integration for urban water models (a concept adopted from Bach et al. (2014)¹²:
48 Figure 2) comprising four tiers of increasingly broad integration: 1. Integrated component based models
49 2. Integrated urban drainage models/Integrated water supply models 3. Integrated urban water cycle
50 models 4. Integrated urban water system models. The scope of the POLLCURB case-study model is
51 indicated on the diagram with grey ellipses; and the position across these tiers of some of the
52 models/EDSS cited in the text are also indicated in italics
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Tables

Table 1: Chronological evolution of monitoring techniques used within urban hydrological studies

Variable	Decade	Monitoring Advances	Examples/Specification	References
Land Cover/Land Use	1910s	Aerial photography	Sub-meter resolution maybe manually or automatically analysed – costly as obtained to request with no regular coverage	82
	1970s	Landsat 1-8 (including ETM ⁺)	Broad land cover and change detection up to 15m panchromatic (pan) resolution	181 & 182
	2000s	QUICKBIRD-2	High resolution (up to 0.64m pan resolution) land cover/change to internal urban scale	183
	2000s	WORLDVIEW-2	Highest resolution commercially available (0.5m pan resolution) land cover/change	184 & 185
Topography	Historical	Surveys and Elevation Maps		
	1980s	Airborne LiDAR	e.g., GeoDigital TerraPoint	185
Impervious Fraction (%)	1970s	Landsat 1-8 (including ETM ⁺)	% urban pixel for ~30m resolution	181; 182
	1980s	Optical and LIDAR		
	2000s	Interferometric SAR (InSAR)	e.g., TerraSar-X	186
Rainfall	Historical	Collection bucket raingauges	Lumped volumetric measurement	

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<i>Point Measurements</i>	1960s	Tipping bucket gauges	e.g., ASOS	
	2000s	Optical and acoustic gauges	e.g. HYDREON RG-11	
<i>Areal averaging</i>	1950s	Radar	Advances from C to X band	187
	2010s	Microwave tomography	Signal attenuation – mobile networks	126

Table 1 (Cont): Evolution of monitoring techniques applied within hydroclimatic studies in the urban environment

Runoff	Historical	Velocity-area discharge	Most common technique globally (c.90%)	135
	2000s	Ultrasonic and Radar flow gauges	e.g., ADCP and Vegapuls	146
	2010s	Remote ADCP monitoring	ArcBOAT® - remote controlled ADCP logger-mounted	188
Water Quality	Historical	Manual sampling and laboratory analysis	Manual Sampling and targeted laboratory analysis	
	1960s	Autosampling chambers	Auto-samplers (e.g., ISCO 4700)	135
	2000s	Passive sampling	Passive water quality samplers (e.g., POCIS)	174 & 189
	2000s	Real-time remote monitoring (RTRM)	Multiparameter sondes (e.g., YSI 6600; DataSonde IV and HydroLab) and remote telemetry	146 & 148

Table 2: Example models that are commonly applied in urban runoff analyses, including both urban drainage and rainfall-runoff models

Model	Model Type	Description	References
SCS	Simple, empirical urban rainfall-runoff model	Statistical method for calculating runoff based on soil type, antecedent soil moisture conditions and land use for a given area.	190
DR ₃ M	Distributed hydrologic and hydraulic model	Watershed model that routes water through branched sewer networks and into channels, incorporating soil moisture, overland flow and storages.	191
HSPF (aka Stanford Watershed Model)	Physically based process model	Uses continuous rainfall and associated meteorological metrics to calculate streamflow hydrographs and water quality with urban parameters.	192
Dynamic TOPMODEL	Distributed land-surface and hydrologic model	Newest version of TOPMODEL, implemented into R, encompassing land-use processes, rainfall-runoff at distributed scale.	193
USEPA SWMM5	Semi-distributed hydrologic and hydraulic model	Dynamic rainfall-runoff model that operates under continuous or event-based simulation of quantity and quality in both surface and pipe network systems.	194
MIKE-URBAN	Semi-distributed hydrologic and hydraulic model	GIS-based integrated model that incorporates SWMM and EPANET. Includes pipe-flow, rainfall-runoff and water quality transformation and transportation dynamics.	195
CITYDRAIN	Integrated hydrologic and WWTP model system	CITYDRAIN is a systems dynamic model that represents subsystems of the urban landscape, including sewers, receiving waters and WWTP processes.	28
SIMBA®6	Integrated hydrologic and WWTP model system	Complex model that simulates interactions between runoff, WWTP, receiving water quality – includes detailed representation of WWTP.	26
PCSWMM	Semi-distributed hydrologic and hydraulic model	Couples the powerful USEPA SWMM5 model with GIS software to enable streamlined GUI operation of hydrological, hydraulic and water quality simulation.	196

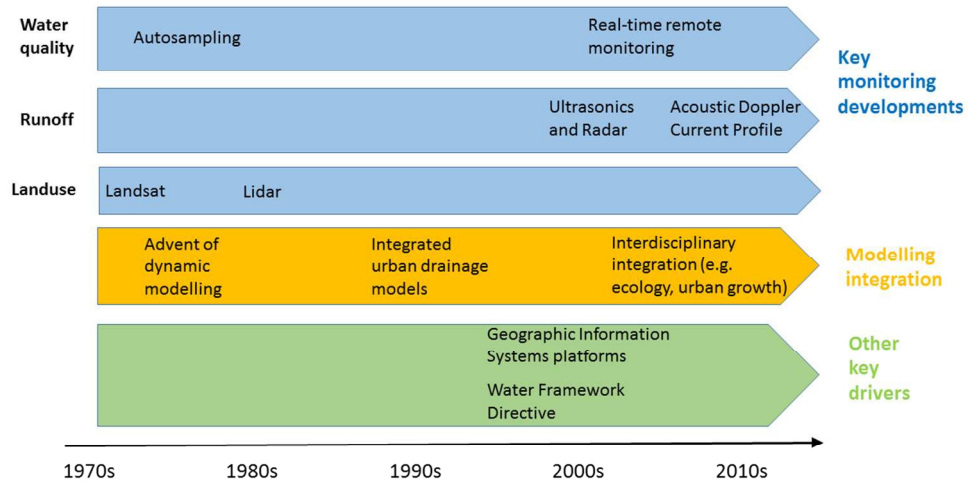
Table 3: Chronological evolution of water quality models commonly applied in the urban landscape

Model	Model Type	Description	References
Streeter-Phelps Model	BOD/DO	1D model for simulation of untreated effluent in riverine and estuarine environments. Owing to non-computer operation, the S-P model relied on linear kinetics and was applied to steady-state receiving waters.	197
Thomann's Expanded Streeter-Phelps Model	BOD/DO	Expansion of Streeter-Phelps model to incorporate multi-segment river systems with multiple contributions to oxygen development and use, including photosynthesis and sludge aeration.	198
DRAINMOD	In-stream Nutrient (N) Transport	An early nutrient model that sought to simulate transport and transformation of nitrogen in both shallow soils and in-stream environments.	199
WASP7	Dynamic in-stream flow and water quality model	Up to 3-D modelling of a suite of contaminants in the river, including metals, nutrients, VOCs, PCBs and sediment.	200
SHE	In-stream and groundwater flow and water quality	A physically-based, distributed catchment scale model which functions as a valuable decision support tool for a suite of hydrological contaminants.	201
QUESTOR	In-stream flow and water quality model	A flexible framework that can represent both flow and water quality within river reaches, incorporating multiple point sources and tributaries within a stream network.	202
QUAL2K	In-stream flow and water quality model	Building on the earlier QUAL2E model, this is a 1D model that simulates nitrogenous and carbonaceous BOD speciation, pH, sediment fluxes and pathogen dynamics.	203

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Peer Review

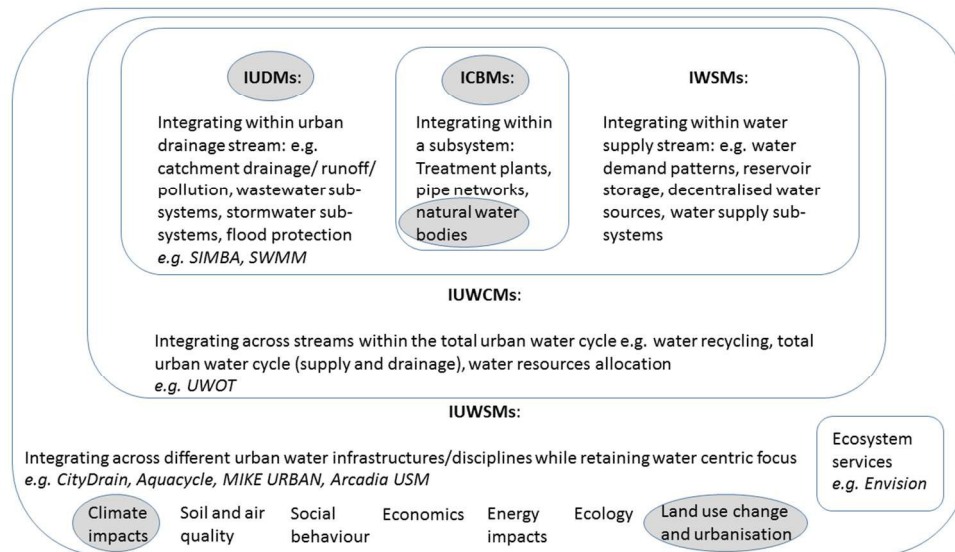


Figure 1: A typology of integration for urban water models (a concept adopted from Bach et al. (2014): Figure 2) comprising four tiers of increasingly broad integration: 1. Integrated component based models 2. Integrated urban drainage models/Integrated water supply models 3. Integrated urban water cycle models 4. Integrated urban water system models. The scope of the POLLCURB case-study model is indicated on the diagram with grey ellipses; and the position across these tiers of some of the models/EDSS cited in the text are also indicated in italics

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