Restricting Access to Thesis Form

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A number of possible exemptions may apply:

i. The material is due for publication, or the author is actively seeking to publish this material.

ii. The material includes information that was obtained under a promise of confidentiality and disclosure would constitute an actionable breach of confidence.

iii. The material contains personal information about you or a third party for which disclosure would breach the Data Protection Act or otherwise endanger the health and safety of you or a third party.

iv. Release of the material would substantially prejudice the commercial interests of the University or any person.

v. Release of the material would substantially prejudice filing a patent or a patent is associated to a thesis.

If you believe that there may be a valid reason why you need to restrict access to your thesis, you should complete the Restricting Access Form and talk to your principal supervisor who will be required to sign this form to indicate that the supervisory team agree with your decision on restricting access to your thesis. You must indicate in the space provided in the form which exemption you are claiming, and why you feel an exemption is applicable. However, if a specific request for your thesis is received prior to the expiration of any applicable embargo; the University is still obligated to consider the request ad act in compliance with the Act. Compliance may include disclosure of your thesis.

The form should be submitted to the principal supervisor along with a completed Entry Form for Examination. It is important that this form is submitted with your Higher Degree Entry form before your viva so that there is time for your request to be considered.

All requests will be considered by the principal supervisor and, in special circumstances, by the Director of Technology Transfer.

Restrictions are usually granted for a limited period of time normally up to a maximum of three years but can be considered for renewal on request.

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Version 18th August 2014
Candidate's details:
Degree for which thesis is being submitted: Choose an item.

Student ID: 6204211
Surname: BERGER
First name: BARBARA
Department: Psychology
Faculty: Arts and Human Sciences

Personal email address: Barbara.berger@psy.lmu.de

Candidate declaration:
I wish to restrict public access to my Thesis on the grounds of exemption(s) allowed under the Freedom of Information Act 2000.

The following exemptions may apply to my material (please provide details):

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<th>Item</th>
<th>Description</th>
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<tr>
<td>☐</td>
<td>The material is due for publication or I am actively seeking to publish this material (Section 22).</td>
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Embargo period: 1 year

Major parts of the thesis are due to be published in tier one journals and are either in revision or in preparation. These are not part of the Elsevier group and will only accept original documents that are not available elsewhere. Naturally it is fine that they are part of a doctoral thesis but not if that thesis is open access available online.

Some of the co-authors on some of the chapters also expressed their concern about the open access availability of our research prior to publication and if this embargo will not be accepted you will receive request from my co-authors to take it down permanently.

Prof Paul Sauseng explicitly does not want certain parts of my PhD thesis being published on-line. He argues that chapter 2 is already published in an open access journal anyway - and therefore there would not be any additional benefit of putting this chapter online. Prof Sauseng does not want two slightly different versions of this paper being online available.

Chapters 4 and 5 are either in preparation for publication or already in submission at high impact journals. For one of them (Nature Neuroscience) the editorial decision is that the paper can be considered for publication after revision given (and this is essential) no comparable findings have been published anywhere else before. If the UoS puts the thesis online we will not be able to submit a revision of the paper to Nature Neuroscience. This is why Prof Sauseng does not wish any part of the thesis to be published by the UoS. If there are any further questions on this issue I would ask you to contact Prof Sauseng directly (Paul.Sauseng@lmu.de).

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Embargo period: 3 years

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Principal Supervisor’s name: Prof. Paul Sauseng

Signature: [Signature]

Date: 01.06.2015

Director of Technology Transfer’s endorsement:

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Director’s name: Martyn Buxton-Hoare

Signature:

Date:

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