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## **The effectiveness of smoking cessation interventions prior to surgery: A Systematic Review**

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## ABSTRACT

Stopping smoking prior to inpatient surgery is associated with a reduced risk of postoperative complications and subsequent morbidity. The objective of this review was to evaluate the effectiveness of smoking cessation interventions prior to surgery. The Cochrane Library Database, PsycINFO, EMBASE, Medline, and Cinahl databases were searched using the terms: 'smok\$', 'smoking cessation', 'tobacco', 'cigar\$', 'preop\$', 'operati\$', 'surg\$', 'randomi\*ed control\$ trial', 'intervention', 'program\$', 'cessation', 'abstinen\$', 'quit'. Further articles were obtained from reference lists. The search was limited to articles on adults, written in English and published up to December 2006. Only randomised control trials (RCTs) that incorporated smoking cessation interventions to help patients awaiting elective surgery were included. Nine studies met the inclusion criteria. Methodological quality was assessed by all reviewers to verify that only genuine RCTs were reviewed. The findings revealed that quit rates ranged from 18% - 93% in patients receiving a smoking intervention (mean 55%), compared to a range of 2% - 65% of controls (mean = 26.5%). Two studies examined smoking status at six months but these revealed no significant difference in abstinent rates between patients who had received an intervention and those that had not. It is concluded that smoking cessation interventions prior to surgery, are effective in helping patients to 'quit' smoking. However such effects appear to be short-lived. Future research needs to examine intervention and patient factors to see whether tailoring the smoking cessation intervention specifically to the patient improves overall abstinent rates.

**240 Words**

## **Introduction**

Regular smokers have an increased risk of postoperative complications compared to individuals who have never smoked, or have recently quit smoking (Moller, & Villebro, 2006; Theadom & Cropley, 2006). Complications vary, and are dependent upon the diagnosis, but can include heightened blood pressure (Ratner et al., 2004) wound infection (Sorensen, & Jorgensen, 2003) and increased mortality (Ashraf, Mortasawi, Grayson, & Oo, 2004). Thus smoking cessation prior to surgery has a direct effect on health postoperatively and has been deemed advisable by many health professionals (Fiore, Bailey, Cohen, & Dorfman, 2000; Moller, Villebro, Pedersen, & Tonnesen, 2002; Theadom & Cropley, 2006).

Smokers constitute approximately 30% of patients undergoing surgery and the experience of hospitalization is believed to make patients more amenable to health behaviour change interventions, smoking cessation in particular (Wewers, Bowen, Stanislaw, & Desimone, 1994). Patients awaiting surgery may be more motivated to change their smoking habits, especially if they believe that their need for surgery was partly caused by smoking. In addition, patients will be mindful that their recovery could be adversely affected if they continue to smoke pre or postsurgery. Therefore the issues and motivations for stopping smoking may be different for patients who smoke within this context since they have a specific event to work towards.

Many health care environments, including hospitals, have implemented no smoking policies. Thus inpatients are ideally placed to receive smoking cessation advice and counselling. Wolfenden et al (2005) identified three characteristics that make preoperative clinics appealing for delivering smoking cessation interventions: 1) smoking behaviour forms part of the normal preoperative assessment, 2) during these assessments patients come into contact with many different health professionals and each one has the

opportunity to provide smoking cessation advice, 3) contacts with health professionals continue long after surgery, thereby additional support can be delivered routinely. Smoking cessation interventions vary widely in their nature and although systematic reviews on the effect of preoperative smoking cessation on postoperative complications are available (Moller, & Villebro, 2006; Theadom & Cropley, 2006) the effectiveness of interventions to support smoking cessation before surgery needs to be established to inform preoperative clinical practice.

## **Objectives**

The main objective of this review is to evaluate the effectiveness of preoperative smoking cessation interventions prior to surgery within a hospital setting.

## **Criteria for inclusion**

### Study Design

Randomised Controlled Trials

### Participants

Smokers awaiting elective surgery classified as smokers either by self-report, carbon monoxide (CO) readings or cotinine. The studies included patients who were over 18 years old. Studies in which smoking cessation programmes were only offered postsurgery were not included.

## **Search strategy for identification of studies**

Electronic databases were searched using the Knowledge Access 24 hours a day internet based resource (KA24). Medline (1950-date), EMBASE (1974-date), PsycINFO (1806-date) and Cinahl (1982-date)

databases were searched using the search terms: 1, 'smok\$'; 2, 'smoking cessation'; 3, 'tobacco'; 4, 'cigar\$'; 5, 'preop\$'; 6, 'operati\$'; 7, 'surg\$'; 8, 'randomi\*ed control\$ trial'; 9, 'intervention'; 10, 'program\$'; 11, 'cessation'; 12, 'abstinen\$'; 13, 'quit'. The search was refined by combining participant, context and research design search terms to ensure the elicited articles were relevant to the research question as suggested by Glasziou and colleagues (Glasziou, Irwig, Bain, & Colditz, 2001) Step one, '1 OR 2 OR 3 OR 4 OR 9 OR 10 OR 11 OR 12 OR 13'; Step two '5 OR 6 OR 7'; Step three, '14 AND 15 AND 8'. The Cochrane Library database and reference lists were also searched to identify any further articles relevant to the aims of the review.

## **Methods and Results**

The initial search elicited 1130 abstracts, each of which was read by the reviewers for possible inclusion. Lack of agreement was resolved by discussion and consensus. Based on the inclusion criteria, 51 full text articles were obtained but following further scrutiny by the reviewers 42 of these were excluded. Nine full text articles were retained in this review (Andrews, Bale, Chu, Crame, & Aveyard, 2006; McHugh et al., 2001; Moller, et al., 2002; Myles et al., 1996; Myles, Leslie, Angliss, Mezzavia, & Lee, 2004; Molyneux et al., 2003; Ratner et al., 2004; Sorensen, & Jorgensen, 2003; Wolfenden et al., 2005). Studies were excluded if the smoking cessation intervention was delivered postsurgery, or they were not genuine RCTs.

## **Description of studies**

Table 1 summarises the studies included in this review. Of the nine studies, three were conducted the UK, (Andrews et al., 2006; McHugh et al., 2001; Molyneux et al., 2003) three in Australia, (Myles et al., 1996; Myles et al., 2004; Wolfenden et al., 2005) two in Denmark (Moller, et al., 2002; Sorensen, & Jorgensen,

2003) and one in the USA (Ratner et al., 2004). In total 1507 patients participated and the number of participants in the RCTs ranged from 47 to 363.

Table 1. Characteristics of the studies included in the review

Authors	Country	No. of patients	Type of surgery	Types of intervention	Pre-operative period	Follow-up period	% Abstinent before surgery	% Abstinent 6 months post surgery	Smoking status verification methods	Tobacco types included	Brief summary of results
<i>Andrews et al., (2006)</i>	UK	101	Elective	Consultant 'stopping smoking' letter	1-2 weeks	None (day of surgery)	18% Exp; 8% Con, (sig.)	Not studied	Self-report	Not specified	Patients receiving the 'stopping smoking' letter had a higher relative risk of smoking cessation compared to those who did not
<i>McHugh et al., (2001)</i>	UK	98	CABG	Health education sessions based on readiness to change and discussion of behavioural risk factors	Approx. 8.5 months	Not specified	25% Exp; 2% Con, (sig.)	Not studied	Self-report	Cigarettes	Patients receiving the nurse-led intervention were significantly more likely to stop smoking than patients receiving usual care
<i>Moller et al., (2002)</i>	Denmark	120	Hip or knee replacement therapy	Counselling plus NRT	6-8 weeks	10 days post-surgery	60% Exp 7% Con (10 days postsurgery), (sig.)	Not studied	CO reading	Not specified	Patients receiving the intervention 6-8 weeks prior to surgery were significantly more likely to stop smoking than controls
<i>Molyneux et al. (2003)</i>	UK	274	Medical and surgical patients	Counselling alone, or counselling plus NRT	2 days	Discharge, 3 & 12 months post-surgery	55% NRT + Counselling 43% Counselling alone, 37% con, (sig.)	Not studied	CO reading	Cigarettes	Patients in the NRT and counselling groups were significantly more likely to stop smoking than patients in the usual care or counselling group at discharge
<i>Myles et al., (1996)</i>	Australia	363	Elective or semi-elective surgery	3 minute intra-operative tape	Delivered during surgery	2 & 6 months post-surgery	9.5% Exp, 7.9% Con, (n.s)	Not studied	Self-report CO reading	Cigarettes > 2 per day	Intra-operative tapes did not significantly affect smoking cessation
<i>Myles et al., (2004)</i>	Australia	47	Elective surgery	Bupropion	On waiting list	3 weeks & 6 months post-surgery	38% Exp 9% Con, (sig.)	13% Exp 5% Con (n.s.)	Self-report CO reading	Cigarettes > 10 per day	Smokers awaiting elective surgery are more likely to have stopped smoking when treated with bupropion compared to controls



Table 1. Continued...

<i>Ratner et al., (2004)</i>	USA	237	Elective surgery	Counselling & NRT	1-3 weeks	24 hrs prior to surgery, 6 & 12 months post surgery	73% Exp 53% Con, (sig.)	31.2% Exp 20.2% Con (n.s.)	Self-report, CO reading & urinary cotinine	Cigarettes	Patients in the intervention group were significantly more likely to be abstinent 24 hrs prior to surgery compared to controls
<i>Sorensen &amp; Jorgensen (2002)</i>	Denmark	57	Open colonic or rectal	Counselling & NRT	2-3 weeks	30 days post-surgery	*93% Exp, 50% Con, (sig.)	Not studied	Self-report, CO reading & cotinine	Unclear	Patients receiving the intervention 2-3 weeks before surgery either quit smoking or smoked significantly less tobacco post-surgery compared to controls
<i>Wolfenden et al., (2005)</i>	Australia	210	Non-cardiac elective surgery	Counselling, tailored self-help material & NRT	1-2 weeks	24 hrs prior to surgery & 3 months post-surgery	78% Exp, 65% Con, (sig.)	Not studied	Self-report	Cigarettes	Provision of preoperative smoking cessation intervention increased abstinence >24 hours before surgery

Key: CABG = Coronary Artery Bypass Graft surgery; Exp = Experimental Group; Con = Control Group; n.s. = non significant; sig. = statistically significant

\* = post-operatively abstinence or reduction by more than half or normal daily rate

A number of different intervention methods were used in the papers: six studies used some form of counselling (McHugh et al., 2001; Moller, et al., 2002; Molyneux et al., 2003; Ratner et al., 2004; Sorensen, & Jorgensen, 2003; Wolfenden et al., 2005), five studies used counselling together with Nicotine Replacement Therapy (NRT) (Moller, et al., 2002; Molyneux et al., 2003; Ratner et al., 2004; Sorensen, & Jorgensen, 2003; Wolfenden et al., 2005), one study used only NRT (bupropion) (Myles et al., 2004), one study used a letter offering smoking cessation advice (Andrews et al., 2006), one study delivered smoking cessation advice via an audio cassette (Myles et al., 1996) and one study used counselling, NRT and offered self-help material tailored to individual needs (Wolfenden et al., 2005). Thus most interventions used a multifaceted behavioural approach.

Three studies used self-report as the sole method of eliciting smoking status (Andrews et al., 2006; McHugh et al., 2001; Wolfenden et al., 2005) four used self-report together with CO readings (Myles et al., 1996; Myles et al., 2004; Ratner et al., 2004; Sorensen, & Jorgensen, 2003), with two of these also using cotinine to verify smoking status, (Ratner et al., 2004; Sorensen, & Jorgensen, 2003), and two studies used CO readings only (Moller, et al., 2002; Molyneux et al., 2003). The preoperative period varied between studies and in one study this was not reported (Myles et al., 2004), but in the remainder time ranged from during surgery (Myles et al., 1996) to 8.5 months.(McHugh et al., 2001) The follow-up period ranged from 1 day before surgery (Wolfenden et al., 2005), to 12 months after surgery (Ratner et al., 2004; Molyneux et al., 2003). The majority of studies reported cigarette use as the tobacco type identified (McHugh et al., 2001; Molyneux et al., 2003; Myles et al., 1996; Myles et al., 2004; Ratner et al., 2004; Wolfenden et al., 2005), but in three studies this was unclear or not specified (Andrews et al., 2006; Moller, et al., 2002; Sorensen, & Jorgensen, 2003).

The overwhelming majority, approximately 89%, of the studies reviewed revealed that the smoking cessation intervention offered was effective (Andrews et al., 2006; McHugh et al., 2001; Moller, et al., 2002; Molyneux et al., 2003; Myles et al., 2004; Ratner et al., 2004; Sorensen, & Jorgensen, 2003; Wolfenden et al., 2005). Success rates varied between studies, from 18% (Andrews et al., 2006) to 93% (Sorensen, & Jorgensen, 2003), with a mean success rate of 55%. Success rates for individuals allocated to the control condition (those receiving usual care) ranged from 2% (McHugh et al., 2001) to 65% (Wolfenden et al., 2005) with a mean of 26.5%. The follow-up periods varied from immediately after surgery to 12 months post-surgery. However, of the two studies that examined follow-up rates at 6 months (Myles et al., 2004; Ratner et al., 2004), neither revealed a significant difference in smoking cessation between intervention and control participants.

### **Methodological Quality**

The quality criterion for assessment of experimental studies by the Centre for Research and Dissemination (2001) was used to assess the methodological quality of the studies identified. This explored the randomisation procedure, the eligibility criteria and blinding of researchers to the treatment condition, in addition to the description of the intervention delivered. Eight of the nine studies included in the review had clearly outlined eligibility criteria and all reported comparison data for the two experimental groups. The randomisation procedures were clearly specified in eight of the nine articles (Andrews et al., 2006; Moller, et al., 2002; Molyneux et al., 2003; Myles et al., 1996; Myles et al., 2004; Ratner et al., 2004; Sorensen, & Jorgensen, 2003; Wolfenden et al., 2005). Two studies used computer generated random number tables (Ratner et al., 2004; Wolfenden et al., 2005), three used sealed opaque envelopes or opaque bags (Andrews et al., 2006; Moller, et al., 2002; Sorensen, & Jorgensen, 2003) and three studies used

random number lists (Molyneux et al., 2003; Myles et al., 1996; Myles et al., 2004). One study reported that patient allocation was random but did not provide details of the procedure (McHugh et al., 2001).

## **Discussion**

There is clearly a shortage of RCTs that have investigated the effects of smoking cessation interventions prior to hospitalised surgery. However, from the results of this review, the general conclusion drawn is that preoperative smoking cessation interventions delivered in a hospital setting are effective in helping patients to 'quit' smoking prior to surgery. The success rate of 55% for the preoperative interventions is slightly higher than smoking cessation rates at 4 week follow up (45%) observed in the wider community (Department of Health, 2001). It needs to be acknowledged that many smokers will be aware of, or have been advised of the risks smoking has on surgical complications, which may explain the higher than expected quit rates for the controls (26.5%). Furthermore postoperative discomfort may also influence smoking habits irrespective of any smoking cessation intervention.

It was not possible to make salient comparisons between studies as each differed with respect to type of surgery, type of intervention, and follow-up period. In addition, it is not known how effective such interventions are long-term, as only two of the nine studies examined cessation rates at 6 months (Myles et al., 1996; Myles et al., 2004). Both studies reported no difference in abstinence rates at 6 months between those who received the intervention prior to surgery and those that did not. Such findings, if supported by further research, would suggest that follow-up smoking cessation support should be made available for longer as this may improve cessation rates long-term (Wewers et al., 1994). The effectiveness of the smoking cessation interventions did not appear to be related to the type of surgery since smoking cessation interventions were found to be effective across surgical procedures. Future research needs to identify if

there are any intervention or patient factors that are associated with greater success as there were too few studies to definitively answer this question within this review. Nonetheless, the overall conclusion that can be taken from this review is that smoking cessation interventions are effective within hospital settings for reducing smoking rates prior to surgery.

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