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2 **Environmental sustainability analysis of UK whole wheat bioethanol and CHP systems**

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7 **Abstract**

8 The UK whole-wheat bioethanol and straw and DDGS based combined heat and power
9 (CHP) generation systems were assessed for environmental sustainability using a range of
10 impact categories or characterisations (IC): cumulative primary fossil energy (CPE), land use,
11 life cycle global warming potential over 100 years (GWP₁₀₀), acidification potential (AP),
12 eutrophication potential (EP) and abiotic resources use (ARU). The European Union (EU)
13 Renewable Energy Directive's target of greenhouse gas (GHG) emission saving of 60% in
14 comparison to an equivalent fossil based system by 2020 seems to be very challenging for
15 stand-alone wheat bioethanol system. However, the whole-wheat integrated system, wherein
16 the CHP from the excess straw grown in the same season and from the same land is utilised
17 in the wheat bioethanol plant, can be demonstrated for potential sustainability improvement,
18 achieving 85% emission reduction and 97% CPE saving compared to reference fossil
19 systems. The net bioenergy from this system and from 172370 ha of grade 3 land is 12.1 PJ
20 y⁻¹ providing land to energy yield of 70 GJ ha⁻¹ y⁻¹. The use of DDGS as an animal feed
21 replacing soy meal incurs environmental emission credit, whilst its use in heat or CHP
22 generation saves CPE. The hot spots in whole-system identified under each impact category
23 are as follows: bioethanol plant and wheat cultivation for CPE (50% and 48%), as well as for

24 ARU (46% and 52%). EP and GWP₁₀₀ are distributed among wheat cultivation (49% and
25 37%), CHP plant (26% and 30%) and bioethanol plant (25%, and 33%), respectively.

26 *Keywords:* bioethanol, biorefinery, polygeneration, CHP, sustainability, LCA

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29 **1. Introduction**

30 In 2008/9, UK surplus wheat (dry basis) was around 3900000 Mg available for the
31 production of bioethanol [1]. Alongside, 3500 kg ha⁻¹ of accompanying wheat straws (dry
32 basis) were produced, 60% of which, after incorporating the rest into the soil for retaining the
33 soil nutrients, could have been made available for the generation of combined heat and power
34 (CHP) [2]. In the UK, there is around 5000000 Mg y⁻¹ of straw available for bioenergy
35 purposes, 54% coming from wheat, after accounting for its other current uses [3]. Transport
36 is the main energy consuming sector in the UK, accounting for a quarter of the UK domestic
37 energy use and GHG emissions. 93% of those emissions come from road vehicles [4]. In
38 order to reduce emissions and energy imports, the target is to introduce 5.26% of renewable
39 fuels into the transport fuel by 2013/14 [5]. To meet this bioethanol demand, a production
40 capacity of 1 billion L will be required in the UK by the year indicated. The amount of excess
41 wheat available in the UK could provide 1.62 billion L y⁻¹ of bioethanol (according to
42 bioethanol process studies in [6]). The target fraction for electricity from renewable resources
43 is set at 10% by 2010 and 30% by 2020 (2% from small-scale generation) [7]. This implies
44 that 48.2 PJ y⁻¹ of electricity is required from biomass by 2010 [8]. The excess straw
45 available in the UK (at 14.6 MJ kg⁻¹) can supply 29.2 PJ y⁻¹ of electricity.

46 The EU Renewable Energy Directive has imposed a constraint on biofuel systems that
47 only those saving 60% GHG emissions in comparison to the fuel they replace will be eligible
48 for consideration for the 2020 target of 10% renewable energy in transport [9]. Whilst
49 achieving this target from the bioethanol production alone can be an important consideration,

50 integrated energy systems have greater potential in improving overall sustainability. The
51 utilisation of rape seed to produce a range of products, biodiesel from the oil, heat from
52 straw, heat and gas from glycerol and rape cake as animal feed has been investigated to
53 achieve 60% emission reduction target [10]. Their studies have demonstrated the
54 sustainability of indigenous biofuels in Ireland in comparison to equivalent biofuel imports
55 from other resources [10–12]. A comprehensive comparison amongst various potential
56 renewable energy systems in the UK has been shown by a streamlined LCA approach [13].
57 An excellent overview of environmental impact analysis of the large scale deployment of
58 dedicated bioenergy crops (e.g. short rotation coppice (SRC) willow and poplar, miscanthus)
59 and biomass for biofuels (e.g. wheat, sugar beet, oilseed rape) in the UK has also been
60 reported [14]. A range of GWP_{100} (as CO_2 -eq) values between 41 g MJ^{-1} and 80 g MJ^{-1}
61 from bioethanol plants using different feedstock (sugar cane, sugar beet, wheat, corn), with
62 corresponding potential GHG reductions in a range of 10% – 53% was shown [15]. With a
63 similar approach, the life cycle assessment (LCA) of bioethanol and CHP production systems
64 from wheat straw, considering additional aspects like crop residue removal and decrease in
65 grain yields has also been presented [16]. The calculations showed that the use of crop
66 residues in a biorefinery reduced GHG emissions by about 50% and fossil energy demand by
67 more than 80%. The effect of the processing scale and different allocation methods
68 (economic, physical and by system expansion) have been analysed within the Sweden context
69 [17]. The results showed that the differences between various scales are small and suggested
70 system expansion as an appropriate allocation method. A GWP_{100} (as CO_2 -eq) of 43.5 g MJ^{-1}
71 from a wheat-based large scale bioethanol facility based on economic allocation was
72 presented.

73 The above studies mainly focus on the analysis of key contributing factors in LCA of
74 bioenergy systems from different feedstock [10–18]. However, the trend is to make

75 comparisons among them but give less attention to the improvement of environmental
 76 performance on a specific system from their current status as in the case of bioethanol plants.
 77 Additionally, the LCA of integrated energy systems in the UK is under-explored. It is also
 78 imperative to undertake such studies in the appropriate context and present the assumptions,
 79 results and validations in the most transparent and coherent way.

80 The current work explores, through the life cycle methodologies, the improvement in
 81 environmental sustainability from wheat bioethanol to the whole wheat bioethanol plants, in
 82 which the DDGS and the wheat straws are also used to generate CHP, thereby enhancing the
 83 renewable energy mix into the system. Building upon bioenergy system overviews presented
 84 in literature [10–29], a detailed evaluation of the UK wheat bioethanol and lignocellulosic
 85 CHP plants was performed in the context of environmental sustainability. The life cycle
 86 impact (LCI) methods were used with impact factors from individual substances extracted
 87 from various sources [19–29], amongst which the more relevant ones are presented in **Table**
 88 **1**. For various energy carriers, e.g. natural gas, electricity, diesel, etc., the factors from [19]
 89 were used.

90 **Table 1** Common substances and characterisation factors for impact categories used in this
 91 study (per kg).

SUBSTANCE	GWP ₁₀₀ (CO ₂ -eq) kg [20]	AP (SO ₂ -eq) kg [21]	EP (PO ₄ ³⁻ -eq) kg [21]
CO ₂	1		
CH ₄	25		
N ₂ O	298		
CO	1.9		
NO _x (not N ₂ O)		0.7	0.13
SO _x		1	
H ₂ SO ₄		0.65	
NH ₃		1.88	0.33
NO ₃ ⁻			0.42
PO ₄ ³⁻			1

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93 The specific objectives of this study include:

- 94 1) Assess the environmental impact of the UK wheat bioethanol plant [6] as a stand-alone
95 system as well as a whole wheat system integrated with wheat straw CHP plant [30] using
96 cumulative primary (fossil) energy (CPE), land use, global warming potential in a horizon
97 of 100 years (GWP_{100}), acidification potential (AP), eutrophication potential (EP) and
98 abiotic resources use (ARU) as IC.
- 99 2) Establish the marginal benefits in terms of GWP_{100} and primary energy savings,
100 compared to the fossil resources to be replaced, e.g. natural gas for heat and electricity
101 and gasoline for bioethanol.
- 102 3) Study the relative LCI of DDGS as a commodity to the production of heat and CHP,
103 compared to its usage as animal feed.

104 2. System definition

105 **Fig. 1** depicts the following alternatives evaluated through life cycle methodologies:

- 106 1) Stand-alone bioethanol plant; wheat bioethanol and straw CHP to grid system.
- 107 2) DDGS as a source of heat for wheat bioethanol plant.
- 108 3) DDGS as a source of CHP for wheat bioethanol plant.
- 109 4) Straw based CHP plant supplying energy to bioethanol plant and DDGS as an animal feed.

110 Additionally, alternative 5) is a combination of the cases in 2 and 4, wherein selling of
111 DDGS is also considered.

112 The basis of the conversion plants is $12000000 \text{ Mg y}^{-1}$ of wheat grain and the
113 corresponding amount of excess straw available, 360000 Mg y^{-1} (after assuming retention of
114 the straws cultivated in the soil of 40% to maintain the soil's nutritional value). The yields
115 and energy contents of products are reported in **Table 2**.

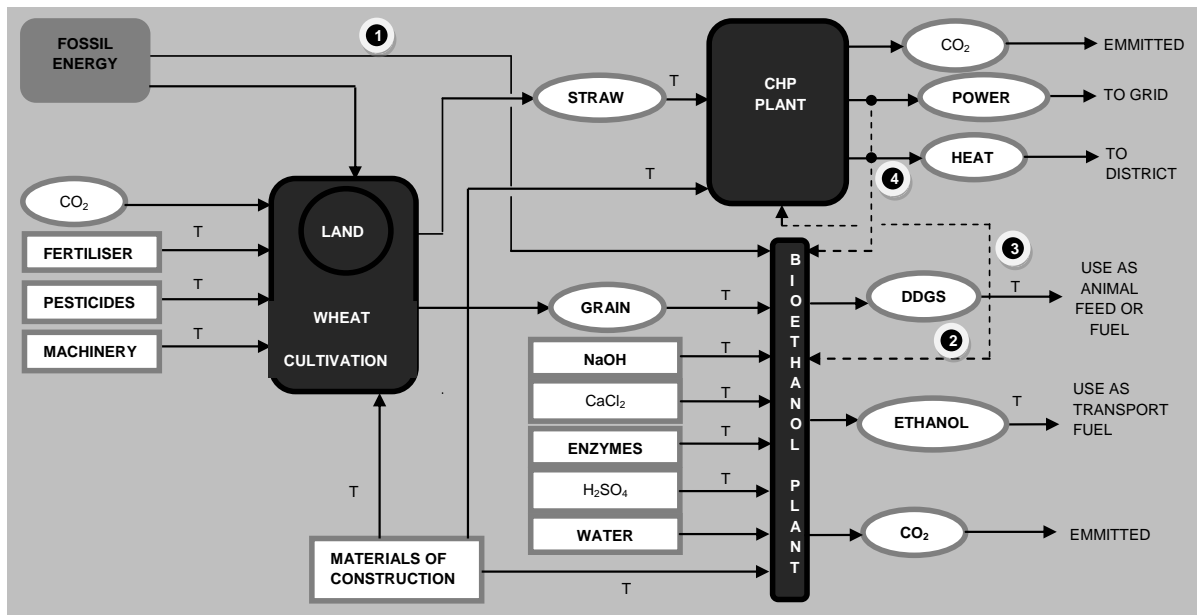


Fig. 1 The system evaluated. T: indicates transportation of materials. Dashed lines indicate the integration alternatives explored.

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The boundaries of each subsystem include the farm and the plant gates for LCA. The allocation between the grain and the straw is by their economic values. For the conversion subsystems, three activities were separately evaluated and combined: LCI of materials of construction, plant operation, and transportation. The spreadsheet-based wheat bioethanol process model developed for technical analysis and economic feasibility [6] has been adopted. The results of simulation of biomass integrated gasification combined cycle (IGCC) plant for CHP generation in Aspen Plus were extracted for LCA [30]. The end use of ethanol is combustion as transportation fuel and of DDGS is in animal food processing in the base case, or as fuel for energy production in other cases. The electricity and heat generated from either the straw or from the DDGS were used within the bioethanol plant and added to adjacent grid connection and district heating system. Complementary energy such as electricity and natural gas is taken from the grid where necessary.

The LCI of individual co-production was allocated based on associated activities and operations. For shared facilities, this allocation was done by economic values. Different

131 common functional units (unit mass of dry matter (DM) of product, unit energy, year and in
 132 the case of wheat cultivation, ha) were used for comparisons.

133 **Table 2** Summary of yields (in dry matter basis) of wheat cultivation and bioethanol and
 134 CHP generation plants.

Subsystem	Product	Yield	Unit	LHV ^a MJ kg ⁻¹
Wheat cultivation [19]	Wheat	6960	kg ha ⁻¹	18.6
	Straw	3490	kg ha ⁻¹	14.6
Bioethanol plant [6]	Ethanol	0.34	kg kg ⁻¹ (wheat basis)	26.7 [13]
	DDGS	0.25	kg kg ⁻¹ (wheat basis)	18.2
Straw CHP plant [30]	Electricity	1.06	kWh kg ⁻¹ (straw basis)	
	Heat	0.567	kWh kg ⁻¹ (straw basis)	
	Efficiency	40	%	

135 a. LHV: lower heating value.

136 3. Life cycle assessment

137 3.1 Wheat cultivation

138 The LCI data of wheat cultivation in the UK (**Fig. 2**) were extracted from [19].

139 Various applications of nitrogen fertiliser are generally made followed by sprayings of

140 pesticides (2 doses assumed). The grain (with moisture content of 15% – 18%) once

141 harvested is dried to avoid deterioration during storage and then is transported to the grain

142 store. A mass fraction of the straws of about 40% are chopped and ploughed back to the soil

143 to retain and improve the nutrient balance, soil fertility and organic carbon content. The rest

144 is baled and used within the farm or is sold for other purposes [2]. The wheat yield of 6960

145 kg ha⁻¹ and the corresponding straw yield of 3500 kg ha⁻¹ were determined (in dry basis)

146 using 200 kg ha⁻¹ of nitrogen fertiliser. Urea mass fraction of 20% and the rest ammonium

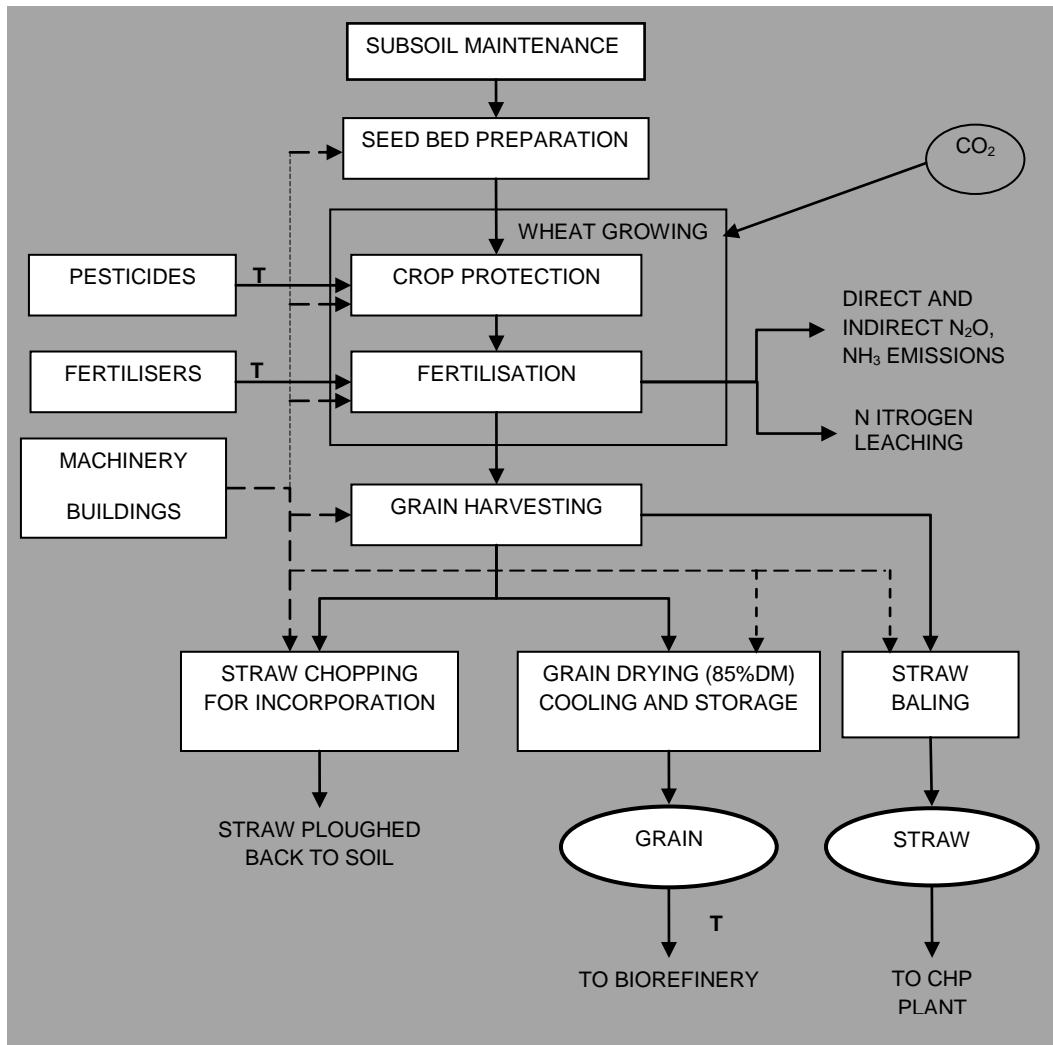
147 nitrate were assumed as fertilisers [19]. A total CO₂ binding of 10.5 Mg ha⁻¹ by

148 photosynthesis by the wheat plant was used [24].

149 The GHG emissions during the field operations are primarily from the usage of energy

150 and fertilisers. N₂O emissions from the nitrogen fertiliser and organic matter decomposition

151 in soil produce impacts [15]. Direct field emissions from nitrogen fertiliser and organic
 152 matter decomposition in soil and indirect field emissions from nitrogen volatilisation, and
 153 deposition of nitrogen volatilised as $\text{NH}_3 + \text{NO}_x$, were determined based on the estimated
 154 factors by IPCC, Tier 1 [22].



155
 156 Fig. 2 The main activities in the UK cultivation system. Every activity implies machinery
 157 operations with its inherent energy use and environmental impact for manufacturing, housing
 158 and transportation. Transportation is denoted by T. DM: dry matter.

159 The total CPE and allocation of impacts to grain and straw were predicted following
 160 the default relative economic value factor of straw to grain of 0.05 [19] and depending on
 161 40% of straws incorporated in the soil in this study. The activities up to grain harvesting were

162 common since the straw production (baling) occurs only after grain harvesting (Fig. 2). The
 163 resulting allocation values in different functional units are given in Table 3.

164 **Table 3** Allocation of CPE and environmental impacts from wheat cultivation.

Product	Functional unit	CPE MJ	GWP ₁₀₀ (CO ₂ -eq) kg	EP (PO ₄ ³⁻ -eq) kg	AP (SO ₂ -eq) kg	ARU (Sb-eq) kg
Grain	ha	18335	3426	16.1	15.8	10.8
Straw	ha	632	77	0.2	0.2	0.30
Total	ha	18967	3503	16.3	16.0	11.1
Grain	Mg	2634	492	2.3	2.3	1.5
Straw	Mg	181	22	0.1	0.1	0.1
Grain	y	3.16 PJ	591000 Mg	2770 Mg	2720 Mg	1850 Mg
Straw	y	0.109 PJ	13300 Mg	41.7 Mg	42.5 Mg	52.6 Mg
Total	y	3.27 PJ	604000 Mg	2810 Mg	2760 Mg	1910 Mg
Land use		Grade 2 Ha	Grade 3a ha	Grade 3b ha	Grade 4 ha	
Total	y	151685	172370	186159	193054	
Grain	Mg	0.13	0.14	0.16	0.16	

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166 The results are assimilated for a range of activities, Fertilisers and Pesticides (F&P),
 167 Field Operations (FO), Grain Conditioning (GC), and Direct and Indirect Field Emissions
 168 (FE) in Fig. 3. The CPE and GWP₁₀₀ impacts from various wheat production activities are
 169 compiled in Table 3. The output raw energy available in the form of grain and straw
 170 (calculated from LHV in Table 2) gives a land productivity of 129.5 GJ ha⁻¹ from an input
 171 CPE of 18.967 GJ ha⁻¹ (Table 3). This leads to an energy ratio, $E_{ratio} = \text{output LHV} / \text{input}$
 172 CPE, of 6.82 from the wheat cultivation system.

173 The GWP₁₀₀ impact from the field is essentially due to N₂O releases. The field
 174 emissions are also the most important factor to eutrophication potential (99.9%, not shown in
 175 Fig. 3) due to NO₃⁻ leaching and NH₃ emissions. Regarding acidification potential, it is
 176 dominated by NH₃ emissions.

177 As illustrated, FE and fertiliser production are the hot spots in the LCA of wheat
 178 production. Both are related to the nutrient balance in the soil which is still an issue to
 179 address in agricultural systems. Decreases in the application of nitrogen fertilisers can
 180 improve the overall environmental performance of wheat production and subsequent
 181 processing.

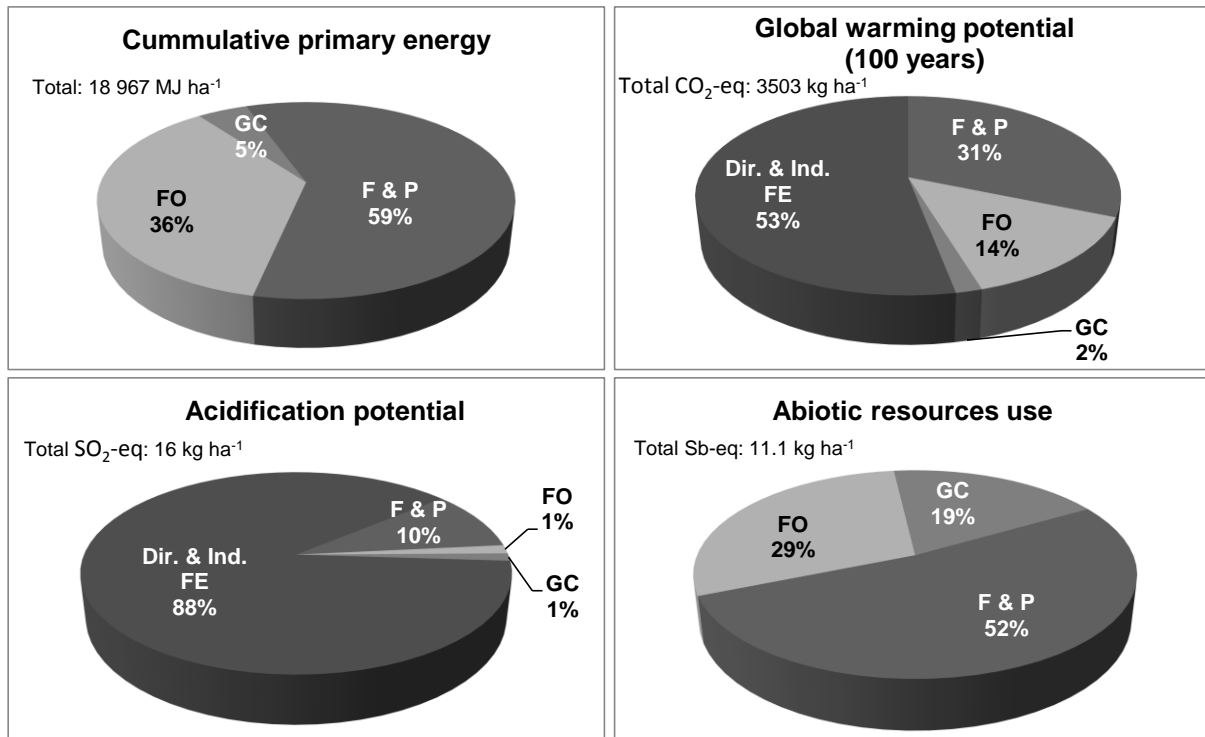


Fig. 3 Distribution of CPE and main environmental impact categories from wheat cultivation. FO: Field Operations, F&P: Fertilisers and Pesticides, Dir. & Ind. FE: Direct and Indirect Field Emissions, GC: Grain Conditioning.

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183 3.2. Wheat bioethanol system

184 The wheat to bioethanol process model comprising hammer milling, liquefaction,
 185 saccharification, fermentation, centrifugation, ethanol recovery and drying as the main units
 186 [6] was used for the generation of mass and energy balance presented in **Fig. 4**. The
 187 simulation is based on the processing of the total wheat grain produced from cultivation into
 188 404000 Mg y⁻¹ of ethanol, 361000 Mg y⁻¹ of CO₂ (emitted to atmosphere), and 295000 Mg y⁻¹
 189 of DDGS. The plant operates for 330 days a year and the plant life was assumed to be 10

190 years. Water recovered from the distillation columns is recycled into the process. For the base
 191 case, natural gas is used to supply the heat required by the fermentation, distillation and
 192 drying.

193 The various substances consumed, α -amylase, CaCl_2 (liquefaction), glucoamylase,
 194 H_2SO_4 conc. (saccharification) and yeast (fermentation) in the bioethanol production are
 195 presented in **Table 4**. NaOH is also required; a detailed inventory of its production was
 196 included [27]. Yeast was assumed to have equivalent LCI as glucoamylase. Additional
 197 emissions were accounted for the transportation of the substances from their production gate
 198 to the bioethanol plant assuming a distance of 120 km. Wheat is assumed to be transported
 199 from the farm gate to the bioethanol plant located within a radius of 25 km estimated from
 200 the total land use (grade 3a in **Table 3**). A mass fraction of 30% of all materials transported
 201 by lorry and the rest by rail [19] was assumed to determine the energy requirements and
 202 environmental impact from transportation.

203 **Table 4** Substances and environmental impacts data (per kg).

Substance	CPE MJ	GWP ₁₀₀ (CO ₂ -eq) kg	EP (PO ₄ ³⁻ -eq) kg	AP (SO ₂ -eq) kg	Ref.
α – amylase	15	1	0.0015	0.005	[28]
Glucoamylase	90	7.7	0.0215	0.023	[28]
CaCl_2	8.40				[29]
H_2SO_4 Conc.	-3	0.004		0.005	[29]

204

205 The main materials of plant construction considered were steel and concrete assuming
 206 mass fractions of 70% and 30%, respectively. The steel requirement was determined from the
 207 preliminary sizing of the key equipment made up of tanks and columns, and other vessels
 208 according to [31 –33]. Residence time used in the calculations and amounts of steel are
 209 presented in **Table 5**. A detailed inventory for stainless steel grade 316 [25] was used to
 210 determine the environmental impact from the amounts in **Table 5**. At the end of the life of the

211 plant the steel can be recycled, thus considered a credit within the inventory. The emissions
 212 from concrete production were estimated from that of cement [26]. The LCI was increased by
 213 20% and 10% for buildings and general structural elements in the facility and for the
 214 decommissioning of the plant at the end of life, respectively. A distance of 120 km was
 215 assumed for the transportation of materials of construction, as before.

216 **Table 5** Main equipment and amount of steel required assuming cylindrical shapes.

Unit	RT ^a [31] h	Capacity m ³	Steel ^b kg
Liquefaction	1	364	10779 (1%)
Saccharification	5	2020	33810 (4%)
Fermentation (10 tanks)	68	27475	420294 (52%)
Centrifugation	1	1083	22312 (3%)
Ethanol recovery	3 columns	5188	123154 (15%)
Rotary dryer	1	1083	22312 (3%)
Condensate tank	6	14100	123479 (15%)
Ethanol tank	24	4369	56541 (7%)
TOTAL			812682

217 a. RT: Residence time.

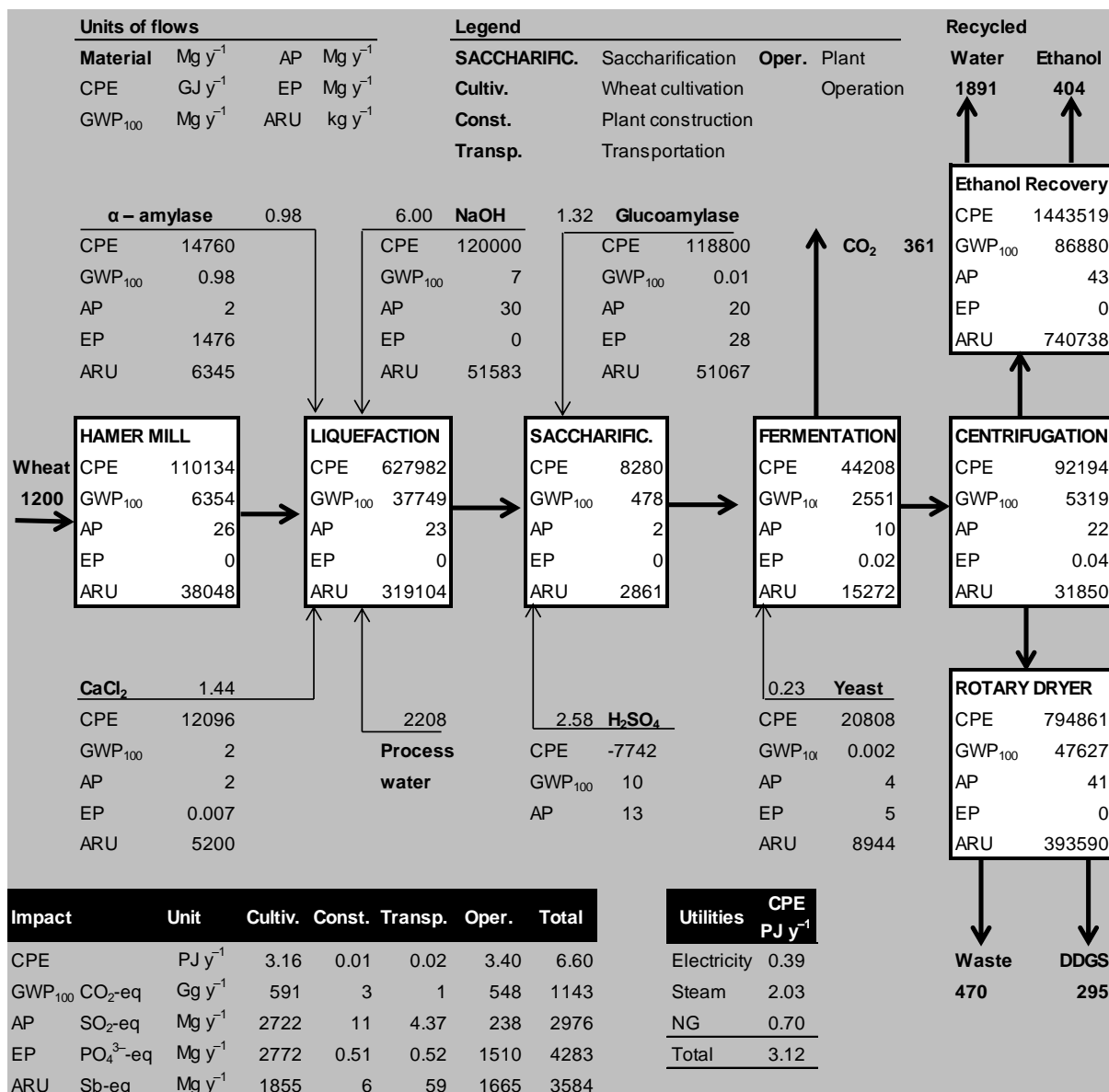
218 b. Numbers in brackets are mass fractions of the total amount.

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220 **Fig. 4** depicts the environmental impact and CPE flows for the cradle to the
 221 bioethanol plant gate subsystem including wheat cultivation, transportation and plant
 222 operation and construction. The construction stage represents only a small fraction (1% or
 223 less) of the total environmental impact of the plant.

224 The impact from wheat grain production is the maximum, followed by bioethanol
 225 process operation, transportation and materials of construction in all the IC. The GHG
 226 emission from the process is caused from the use of energy and fermentation. The GWP₁₀₀
 227 (as CO₂-eq) from cradle to the bioethanol plant gate represented a value of 0.95 kg kg⁻¹ for
 228 the UK wheat grain processed, or 6.78 Mg ha⁻¹ (based on grade 3a in **Table 3**).

229 Based on the LHV and yields of ethanol and wheat in **Table 2**, an annual energy
 230 production (*E*) of 10.8 PJ y⁻¹ through ethanol is obtained from 22.3 PJ y⁻¹ of cumulative
 231 primary energy. In terms of the land use, the energy conversion from wheat to bioethanol
 232 translates to a land productivity of 62.7 GJ ha⁻¹ y⁻¹ (based on land grade 3a in **Table 3**). The
 233 ethanol distillation columns and rotary dryer are the most energy consuming processes within
 234 the bioethanol plant, indicating points for potential improvement. Replacing natural gas-
 235 based energy and electricity from the grid with renewable CHP using integrated systems can
 236 further enhance the environmental sustainability of bioethanol production and usage,
 237 discussed later.



239 Fig. 4 Material and energy balances and environmental impacts associated with every stream
 240 and unit operation in the wheat bioethanol plant.

241 The economic values assigned in the study [6] have been taken as a basis for the
 242 allocation of LCI to ethanol and DDGS. The total environmental impact under each category
 243 for each product was determined by the allocation factor of the product (*AF*) multiplied by
 244 the total environmental impact from common unit operations (*CEI*), plus the environmental
 245 impact from the unit operations used in the final recovery of that particular product (*REI*);
 246 i.e.: $AF \times CEI + REI$. The allocation factor was calculated from the ratio of the value flow of
 247 the product (mass flow multiplied by economic value) divided by the sum of value flow of all
 248 products. This results in the factors of 0.962 and 0.038 for ethanol and DDGS, respectively.
 249 The LCI allocation to bioethanol and DDGS by economic values is presented in **Table 6**.

250 **Table 6** LCI allocation to ethanol and DDGS by economic value (from cradle to the plant
 251 gate).

Product	Functional unit	CPE	GWP ₁₀₀ (CO ₂ -eq)	AP (SO ₂ -eq)	EP (PO ₄ ³⁻ -eq)	ARU (Sb-eq)
		PJ	Mg	Mg	Mg	Mg
Ethanol	y	5.64	1057711	2826	4122	3098
DDGS	y	0.96	85598	150	161	486
Total	y	6.60	1143309	2976	4283	3584
Ethanol	Mg	0.00001394	2.62	0.01	0.01	0.0077
DDGS	Mg	0.00000325	0.29	0.001	0.001	0.0016

252

253 **Table 7** presents cradle-to-grave GWP₁₀₀ of wheat bioethanol production, including
 254 combustion, CO₂ binding and product transportation. By considering the impact allocation to
 255 ethanol and DDGS by their economic values, the reduction in GWP₁₀₀ impact of 39% is
 256 obtained in comparison to gasoline system. From the corresponding CPE (13.94 GJ Mg⁻¹)
 257 and the energy produced from ethanol (**Table 2**), the energy ratio for bioethanol production is
 258 $E_{ratio} = 1.92$. This ratio reported for the production of gasoline is 0.84 [14]. Thus, energy
 259 saving of 56% can be estimated. On the other hand, considering that 1 kg of DDGS can

260 replace 0.8 kg of soy meal for animal feed [34] and the corresponding GWP₁₀₀ of 0.726 kg
 261 kg⁻¹ soy meal [35], 72% of GHG emissions can be avoided by the replacement of soy meal in
 262 animal feed. A potential energy saving of 21% was estimated from the use of DDGS as
 263 animal feed.

264 The GWP₁₀₀ (as CO₂-eq) from the production of bioethanol from the UK wheat
 265 determined (51.6 g MJ⁻¹) fits within the range of (40.8 – 79.6) g MJ⁻¹ given in [15] with
 266 potential GWP₁₀₀ reduction by 10% – 53%. The resulting value is also comparable with 43.5
 267 g MJ⁻¹ from bioethanol production in [17] and 44 g MJ⁻¹ reported in [14], based on similar
 268 system definition and economic allocation.

269 **Table 7** Results of GWP₁₀₀ (CO₂-eq) and corresponding savings from the use of bioethanol
 270 as transport fuel and DDGS as animal feed, respectively.

	Unit	Ethanol	DDGS
Allocation from production	kg kg ⁻¹	2.62	0.29
Ethanol combustion		1.91	–
Transportation		0.01	0.001
Total GWP₁₀₀		4.5	0.29
CO ₂ binding by wheat		–3.16	–0.12
Net GWP₁₀₀		1.38	0.17
	g MJ⁻¹	51.6	–
Energy produced	MJ kg ⁻¹	26.72	–
Total CPE		13.94	3.25
E_{ratio}	–	1.92	–
Reference values	–	gasoline	soy meal
GWP ₁₀₀	g MJ ⁻¹	84.6 [14]	–
	kg kg ⁻¹	–	0.726 [35]
E _{ratio}	MJ MJ ⁻¹	0.84 [14]	–
CPE	MJ kg ⁻¹	–	4.13 [34]
GWP₁₀₀ reduction	%	39	72
CPE savings	%	56	21

271

272 3.2.1 Sensitivity analysis

273 Sensitivity analysis was carried out by variation of the percentage of renewable
 274 electricity in the electricity mix, percentage of renewable fuel in transportation fuels and

275 nitrogen fertilisation rates. The impact sources affected by these parameters are:
276 transportation, field operation and drying. The biogenic carbon capture is not affected by
277 these parameters and therefore CO₂ binding and carbon emissions from end use of products
278 are not changed. The initial UK electricity mix is 43.3% from natural gas, 32.9% from coal,
279 2.6% from fuel oil, 18.2% from nuclear energy and 3% from renewable energy [19]. It was
280 assumed that the biofuels replace an equivalent amount of fossil fuels and that the use of
281 biofuel results in at least 50% reduction in CPE and GWP₁₀₀ with respect to fossil fuels. The
282 results of sensitivity analysis are shown as follows.

- 283 • 10% increase in renewable electricity in the electricity mix increases CPE by 0.02 PJ y⁻¹
284 and reduces GWP₁₀₀ by 1144 Mg y⁻¹, EP by 0.005 Mg y⁻¹, AP by 2.07 Mg y⁻¹ and ARU
285 by 17.7 Mg y⁻¹.
- 286 • 10% biofuel in transportation fuels increases CPE by 0.07 PJ y⁻¹ and reduces GWP₁₀₀ by
287 3382 Mg y⁻¹, EP by 0.10 Mg y⁻¹, AP by 11.2 Mg y⁻¹ and ARU by 5.86 Mg y⁻¹.
- 288 • 10% reduction in nitrogen fertilisation rates increases CPE by 0.14 PJ y⁻¹ and reduces
289 GWP₁₀₀ by 33351 Mg y⁻¹, EP by 142 Mg y⁻¹, AP by 346 Mg y⁻¹ and ARU by 0.08 Mg y⁻¹.

290 Major changes came from the variation in nitrogen fertilisation rates. The estimated
291 field emissions using IPCC guidelines are another source of uncertainty.

292 **3.3. Wheat bioethanol and straw CHP to grid system**

293 The biomass IGCC-based CHP system has been techno-economically proven to be
294 competitive and environmentally superior to equivalent fossil-based (e.g. natural gas-based)
295 CHP systems [30] and their implementation seems to be a reality [36]. The LCA of a process
296 simulated in Aspen Plus by Sadhukhan et al. for the processing of 5.44 Mg d⁻¹ straw (with
297 moisture and ash mass fractions of 8.5% and 8.61%, respectively, and LHV of 14.6 MJ kg⁻¹)

298 into the production of 241 kW of electricity and 129 kW of waste heat, was undertaken [30].
299 The scaled up LCA results in terms of CPE and GWP₁₀₀ corresponding to 361000 Mg y⁻¹ of
300 straw processing into 1.38 PJ y⁻¹ of electricity and 0.737 PJ y⁻¹ of heat generation, and
301 thereby whole system LCI results under all five IC are presented for the base case (alternative
302 1 in **Fig. 1**).

303 The GWP₁₀₀ from the straw-based CHP plant is mainly from the operation (98%)
304 and the rest from the straw production and transportation and the plant construction. This
305 impact mainly results from the emissions of the exhaust gas from the combustion of the
306 syngas from straw gasification, made up of CO₂ (molar fraction of 25%), nitrogen (molar
307 fraction of 62%) and steam (molar fraction of 13%) in the cases under consideration.
308 However, by considering CO₂ fixation by straw (Carbon mass content of 36.6%) there is a
309 credit of 1.34 Mg Mg⁻¹. Then, the net GWP₁₀₀ (as CO₂-eq) is reduced to 18 g kWh⁻¹. Thus,
310 around 94% reduction in GHG emissions can be achieved by the CHP generation from wheat
311 straw with respect to equivalent production of CHP from natural gas [19]. Alternative to
312 gasification is the combustion of straw to produce heat and/or electricity. The electricity-only
313 system has been analysed with the plant gate as system boundary and taking into account the
314 CO₂ balance between that released by the processing and combustion and that fixed by the
315 wheat plant [13]. Another conversion alternative is the wheat straw-based bioethanol and
316 acetic acid system with lignin supplying CHP. However, wheat straw can be interchangeably
317 used between CHP and bioethanol production, without any difference in environmental
318 impacts.

319 Unlike the bioethanol plant, the construction stage in wheat straw CHP system
320 becomes important in the case of CPE (28%) and AP (25%), respectively. Transportation also
321 has impact towards ARU (35%). For the other IC, the wheat straw cultivation incurs the

322 maximum impacts, contributing by 66% to CPE, 68% to AP and 65% to ARU, respectively.

323 Plant operation contributes the most to EP (98%).

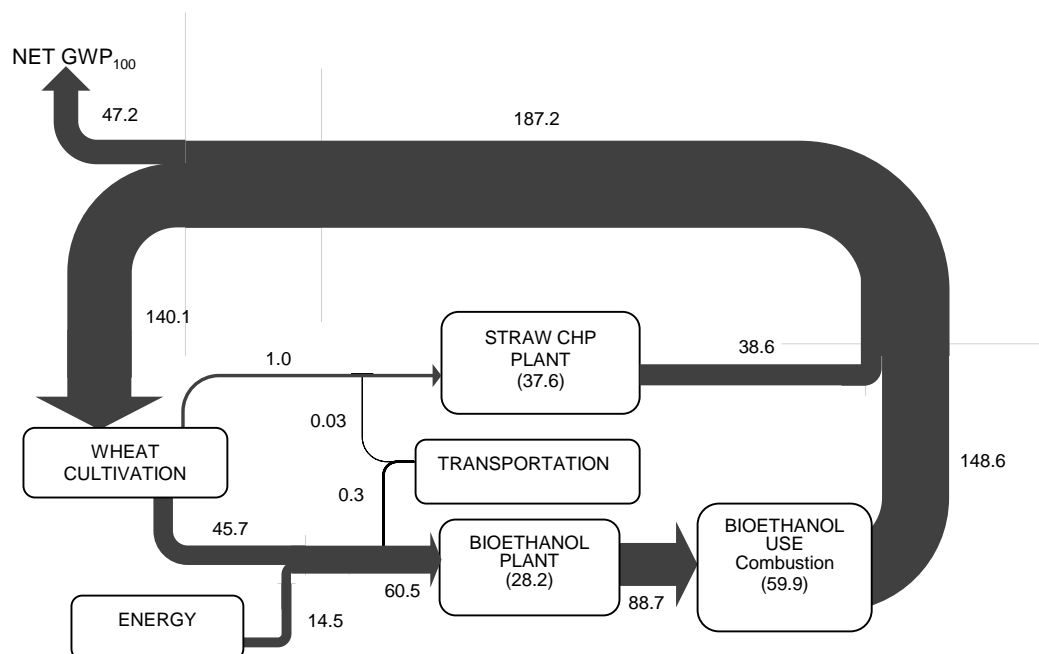
324 **Table 8** compiles the results of bioenergy production from the base case system
 325 (alternative 1), wheat bioethanol and DDGS as animal feed; and straw-based CHP to grid.
 326 The following analysis is done for the system as a whole without any allocation of impacts.
 327 The net bioenergy produced is 12.9 PJ y^{-1} , 84% of which in the form of ethanol and the rest
 328 in the form of CHP. Therefore, the bioenergy harvested from the land cultivated after
 329 conversion is $74.9 \text{ GJ ha}^{-1} \text{ y}^{-1}$. The E_{ratio} obtained is 1.91. The CPE requirements by the
 330 whole wheat cultivation, bioethanol plant operation and transportation and construction of the
 331 wheat bioethanol and CHP system are 3.27 PJ y^{-1} (**Table 3**), 3.40 PJ y^{-1} (**Fig. 4**), 0.067 PJ y^{-1}
 332 and 0.041 PJ y^{-1} , respectively. The CPE to produce the equivalent amount of energy and soy
 333 meal corresponds to 12.9 PJ y^{-1} from gasoline (E_{ratio} 0.84 [14].), 1.1 PJ y^{-1} from heat
 334 generation from natural gas boiler ($E_{ratio} = 0.7$, assumed), 4.4 PJ y^{-1} from electricity mix (3%
 335 of renewable mix in the current UK context), and 0.97 PJ y^{-1} from soy meal production
 336 (**Table 7** and **Table 8**). This gives a total CPE of 19.37 PJ y^{-1} with an overall $E_{ratio} = 0.66$.
 337 Therefore, about 65% energy savings can be achieved from the whole bioenergy system.

338 **Table 8** Annual products and bioenergy generated from the overall system (alternative 1).

Subsystem	Feedstock/ Product	Flow rate Gg	Bioenergy PJ	Soy meal equivalent Gg
Cultivation	Wheat grain	1200	22.3	–
Bioethanol Plant	Ethanol	404	10.8	–
	DDGS	295	–	236
Cultivation	Wheat straw	361	5.27	–
Straw CHP Plant	Electricity	–	1.38	–
	Heat	–	0.737	–
Total bioenergy equivalent to gasoline and fossil CHP Systems			12.9	–

339

340 In the cradle to product utilisation (ethanol combustion) analysis of the whole base
341 case (wheat bioethanol and straw CHP to grid) system, the total GWP₁₀₀ (as CO₂-eq) is
342 calculated as the sum of that from the whole wheat cultivation (**Table 3**), wheat bioethanol
343 plant operation (**Fig. 4**), straw CHP plant operation, total transportation (4110 Mg y⁻¹), plant
344 construction (4910 Mg y⁻¹) and ethanol combustion (at 1.9 Mg Mg⁻¹). To get the combined
345 net GWP₁₀₀, the total CO₂ binding by the whole wheat plant is credited (1810000 Mg y⁻¹).
346 **Fig. 5** shows a systems diagram that represents the GWP₁₀₀ flows from the different sources
347 throughout the system life cycle per unit of total bioenergy produced (**Table 8**). This results
348 in 57% reduction in GWP₁₀₀ from stand-alone wheat bioethanol and straw CHP systems
349 compared to gasoline production and combustion system [14], natural gas based heat
350 generation system at 70% thermal efficiency [19] and soy meal production system [35]
351 together.

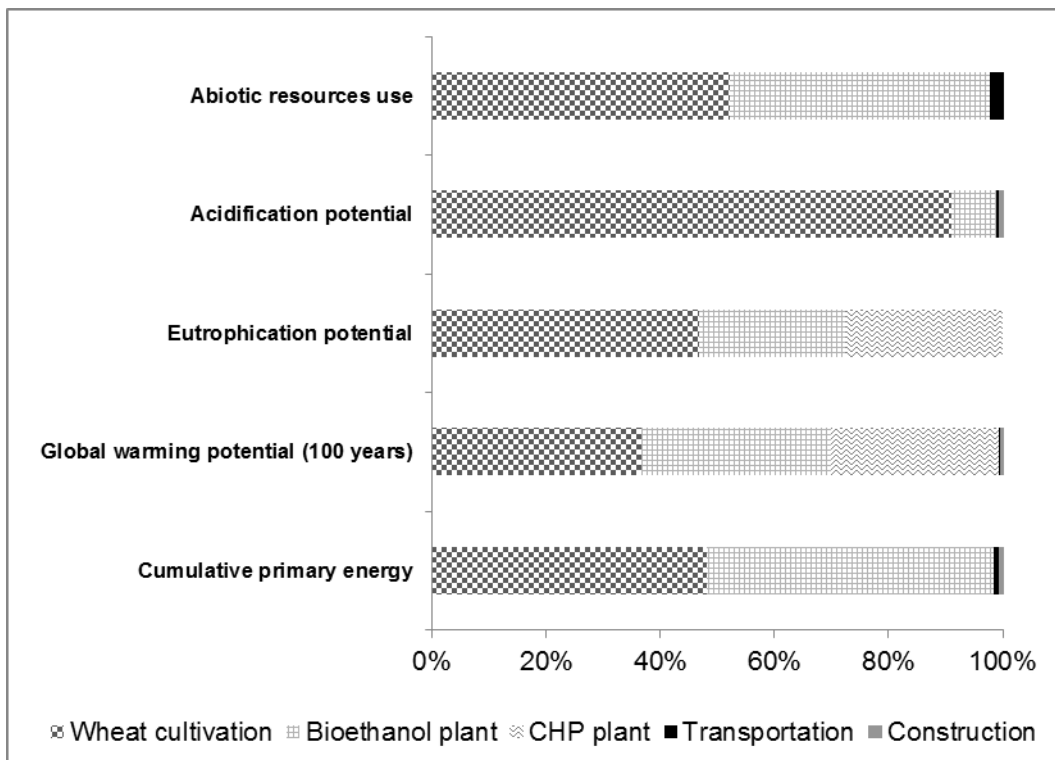


352

353

354 Fig. 5 Life cycle GWP₁₀₀ (CO₂-eq), in g MJ⁻¹, of the production of bioenergy (as ethanol,
 355 heat and electricity) and DDGS in a whole wheat-based system. Values for CHP and
 356 bioethanol plant include GWP₁₀₀ from other raw materials, process emissions and
 357 construction.

358 **Fig. 6** shows the percentage distribution of CPE, EP, AP and ARU for various
 359 subsystems included in the wheat bioethanol and straw CHP to grid system: whole wheat
 360 cultivation, wheat bioethanol plant operation, straw CHP plant operation, transportation and
 361 construction. The hot spots in the system are wheat cultivation and bioethanol plant for CPE
 362 (48% and 50% respectively), as well as for ARU (52% and 46% respectively). EP and
 363 GWP₁₀₀ are distributed among the three subsystems: wheat cultivation (47% and 37%
 364 respectively), plant (27% and 30% respectively) and bioethanol plant (26%, and 33%
 365 respectively). Wheat cultivation (91%) and bioethanol plant (8%) dominate the contribution
 366 to AP.



367

368 **Fig. 6** Environmental impact of wheat bioethanol and straw CHP to grid system.

369

370 Results presented here are comparable with those reported in literature for similar
371 systems. The GWP_{100} (as CO_2 -eq) value allocated to electricity ($0.541 \text{ kg kWh}^{-1}$, without
372 CO_2 balance consideration) from the CHP plant are comparable to those obtained from
373 electricity generation from gasification of short rotation coppice willow chips of 0.482 kg
374 kWh^{-1} for GWP_{100} with energy ratio of 19.3 [18]. The results of LCA of bioethanol and CHP
375 production systems from wheat straw showed that the use of crop residues in a biorefinery
376 reduces the GHG emissions by about 50% and fossil energy demand by more than 80% [16].

377 **4. Integrated system GWP_{100} and CPE saving analyses**

378 The effect of the integration of the various bioenergy systems (straw- or DDGS-based
379 CHP plant, heat production from DDGS and bioethanol plant) on the GWP_{100} reduction and
380 CPE saving from the production and usage of bioethanol in reference to gasoline was
381 analysed. The calculation of the combined net GWP_{100} and CPE was carried out following
382 the substitution method taking the credits from the equivalent fossil-based energy and soy
383 meal replacement by DDGS. The comparative reference systems for heat and electricity from
384 straw are natural gas boiler for the generation of heat and grid electricity (UK electricity
385 mix), respectively. **Table 9** summarises the results of GWP_{100} , CPE, bioenergy production
386 and the corresponding savings for each case. Additionally, an integrated system (5) wherein a
387 part of DDGS-based heat and straw-based CHP are used in the wheat bioethanol plant is
388 considered.

389 Analysing the wheat grain production and bioethanol plant operation using the heat
390 from the natural gas boiler and electricity from grid (1), the resulting GWP_{100} comes from the
391 cultivation of grain (economic allocation, **Table 3**), bioethanol plant operation and
392 construction, raw materials transportation (**Fig. 4**), bioethanol transportation calculated
393 assuming distribution within a radius of 120 km, ethanol combustion, and the corresponding

394 CO₂ binding to wheat grain (**Table 7**). The credit from replacing 236000 Mg y⁻¹ of soy meal
395 equivalent is -171000 Mg y⁻¹. The net GWP₁₀₀ of 422000 Mg y⁻¹ corresponds to 39.0 g MJ⁻¹
396 (**Table 9**), thus achieving a reduction by 54%. This GWP₁₀₀ from overall wheat bioethanol
397 and DDGS system is less than the impact allocated to cradle to bioethanol product utilisation
398 route by economic values in **Table 7**, due to the account of credit from the replacement of
399 soy meal by DDGS.

400 Nevertheless, both approaches (39% based on bioethanol allocation and 54% based on
401 bioethanol and DDGS co-production and utilisation, respectively) demonstrate that a stand-
402 alone UK wheat bioethanol subsystem may not meet the EU Renewable Energy Directive
403 constraint on GHG emission reduction by > 60% by 2020. Therefore, other alternatives to
404 improve environmental performance of the bioethanol production need to be studied. In case
405 of CPE, the saving is 56% with respect to the production of gasoline. The following cases
406 make reference to the values from the wheat bioethanol plant as in base case (1).

407 For alternative number (2), the production of 2.95 PJ y⁻¹ of heat from burning all the
408 DDGS (LHV of 18.2 MJ kg⁻¹, carbon mass content of 45%) [37] is utilised within the
409 bioethanol plant. The bioethanol plant heat requirement (as steam) from natural gas is
410 completely replaced by the heat from DDGS and 0.95 PJ y⁻¹ of excess heat is produced for
411 the grid. Therefore, the CPE of 2.03 PJ y⁻¹ and 1.36 PJ y⁻¹, respectively, are subtracted from
412 the base case and the net CPE of the system is reduced to 3.20 PJ y⁻¹. The net bioenergy
413 produced is now of 11.8 PJ y⁻¹ and the overall effect is an increase in the energy ratio to 3.67.
414 This gives savings of 77% in fossil CPE from the production of bioethanol with respect to the
415 production of gasoline. However, the net GWP₁₀₀ (as CO₂-eq) is increased to 874000 Mg y⁻¹
416 due to the addition of 487000 Mg y⁻¹ from DDGS combustion, even after the credits from the
417 replacement of 122000 Mg y⁻¹ and excess heat of 83300 Mg y⁻¹ (**Table 9**). No additional
418 CO₂ binding is credited since DDGS comes from the processing of wheat grain (and the

419 corresponding credit was already accounted in the base case (1)). Also, the electricity is
420 supplied from the grid. As a result, the balance over case (2) indicates higher GWP₁₀₀ impact
421 of 80.9 g MJ⁻¹ bioethanol produced yielding a marginal saving by 4%.

422 An alternative 3, where the DDGS (moisture and ash mass contents of 8% and 3.9%
423 respectively) is used as a raw material for the CHP plant and the energy generated is
424 delivered to the bioethanol plant and the excess electricity is exported to grid, is considered.
425 The DDGS conversion process provides 0.78 PJ y⁻¹ of heat and 1.47 PJ y⁻¹ of electricity (**Fig.**
426 **7**). All the electricity requirements (0.12 PJ y⁻¹) by the bioethanol plant are thus completely
427 replaced by the renewable electricity. 39% of the heat required by the bioethanol plant is also
428 replaced. Thus, the corresponding credits can be subtracted from the impacts of the base case
429 as before. Thus, after using the heat and required electricity within the bioethanol plant, the
430 net bioenergy produced by the bioethanol (10.8 PJ y⁻¹) and DDGS CHP (1.4 PJ y⁻¹) system is
431 12.2 PJ y⁻¹. As in case (2), the net GWP₁₀₀ is increased from that of the base case due to the
432 fact that CO₂ emissions are added to the system from the DDGS CHP plant and there is no
433 credit for DDGS replacing soy meal. This system benefits from the production of renewable
434 electricity and can achieve GWP₁₀₀ savings by 17% after credits. 93% of the CPE is replaced
435 by an equivalent amount of bioenergy (bioethanol-DDGS based CHP).

436 In alternative (4), the straw-based CHP is integrated with the bioethanol plant. The
437 allocated GWP₁₀₀ to straw from the wheat cultivation system must be included into the base
438 case GWP₁₀₀. Additionally, the CPE and the GWP₁₀₀ from the straw-based CHP plant
439 operation and construction and straw transportation should be added. However, the
440 integration of straw-based CHP with bioethanol plant can take advantage of additional CO₂
441 binding by the straw. Besides, DDGS is sold for animal feed, replacing soy meal and gaining
442 the corresponding credits as in the base case (1). Similarly to case (3), the straw based CHP
443 plant replaces a part of the heat required by the bioethanol plant (37%) and there is excess

444 electricity exported to grid. The resulting net GWP₁₀₀ reduction is 85%, fulfilling the EU
445 Directive 60% emission reduction target. By taking all the credits, the CPE requirement by
446 the system reduces by 97%. The net bioenergy is 12.1 PJ y⁻¹ (**Table 9**) from 172370 ha of
447 grade 3 land use (**Table 3**) providing land energy yield of 70 GJ ha⁻¹ y⁻¹.

448 Case 5 was explored by integrating the bioethanol plant with the straw-based CHP
449 plant and DDGS combustion to supply the balance of heat (steam) required by the bioethanol
450 plant. This case is a combination between cases 2 and 4. The integration is about supplying
451 the entire heat requirement of 2.0 PJ y⁻¹ from the straw CHP plant (0.74 PJ y⁻¹) and from the
452 DDGS heat (1.26 PJ y⁻¹) that completely replace the fossil-based heat (steam). The electricity
453 requirement and the excess electricity generation are similar as in case 4. 126000 Mg y⁻¹ of
454 DDGS are required (assuming energy content as in case 2) to supply the balance of heat.
455 Therefore, the system can still gain credits from 168000 Mg y⁻¹ of DDGS replacing 135000
456 Mg y⁻¹ soy meal, thus resulting in GWP₁₀₀ and CPE savings. The overall GHG emission
457 reduction is 63% with respect to the use of gasoline. The CPE for the base case (1) is not only
458 replaced by the production of bioenergy, but additionally energy in the form of excess
459 electricity and bioethanol is saved. This system thus also achieves the EU Directive's 60%
460 GHG emission reduction target.

461 In summary, the integration of wheat straw CHP and bioethanol plant proved to be an
462 effective way to achieve the EU Directive GWP₁₀₀ reduction target, while saving fossil CPE.
463 The complete replacement of heat (steam) and electricity by straw CHP and DDGS in
464 alternative 5 is another option in which GWP₁₀₀ is lower but more fossil CPE can be saved.
465 The incentives for the reduction of GWP₁₀₀ beyond the target and the capital costs involved
466 in the two integrated systems would finally determine the selection of one of these
467 alternatives. The LCA approach presented took detailed account of CO₂ binding by wheat

468 plant and the emissions from fermentation and combustion (ethanol, DDGS and/or straw).

469 The saving results found for alternatives 4 and 5 are similar to those reported in [16].

470 **Table 9** Summary of overall results on GWP₁₀₀ (CO₂-eq) in Mg y⁻¹, CPE in PJ y⁻¹ and

471 corresponding savings.

Alternative	(1)	(2)	(3)	(4)	(5)
GWP₁₀₀ from different subsystems and combustion					
Cultivation	591000	591000	591000	604000	604000
Bioethanol plant	555000	555000	555000	555000	555000
CO ₂ binding	-1330000	-1330000	-1330000	-1810000	-1810000
Ethanol combustión	774000	774000	774000	774000	774000
DDGS combustión	–	487000	–	–	208000
CHP plant	–	–	488000	487000	487000
Subtotal GWP₁₀₀	590000	1080000	1080000	610000	818000
CPE and GWP₁₀₀ credits					
From DDGS replacing soy meal					
GWP ₁₀₀ credit	171000	0	0	171000	98000
CPE credit	0.98	0	0	0.98	0.56
From fossil based energy replaced					
Heat		2.95	0.78	0.74	2
GWP ₁₀₀ credit		206000	48100	45100	122000
CPE credit		3.39	0.79	0.75	2.03
Electricity		0	1.47	1.38	1.38
GWP ₁₀₀		0	273000	256000	256000
CPE		0	4.73	4.44	4.44
Net GWP₁₀₀ after credits	422000	874000	760000	137000	341000
Net CPE after credits	5.62	3.20	1.09	0.47	-0.43
Values from reference fuel (gasoline)					
GWP₁₀₀	914000				
CPE	12.9				
Final results					
Net bioenergy	10.8	11.8	12.2	12.1	12.1
GWP₁₀₀ (g MJ⁻¹)	39.02	80.91	70.37	12.63	31.55
GWP₁₀₀ reduction	54%	4%	17%	85%	63%
E_{ratio}	1.92	3.67	11.10	27.62	–
CPE saving	56%	77%	92%	97%	103%

472

473 5. Conclusions

474 A cradle to grave LCA of the UK whole wheat-based bioethanol and straw-based

475 CHP system has been performed considering the various IC including GWP₁₀₀ and CPE. It is

476 demonstrated that the wheat cultivation, wheat bioethanol plant and straw CHP plant, if
477 deployed in an integrated manner, can be more environmentally sustainable than the
478 reference fossil-based system.

479 A transparent and comprehensive approach that included LCA of the UK whole-
480 wheat cultivation, transportation and construction and operation of plants and utilisation of
481 end products has been demonstrated. The analysis showed that the state-of-the-art bioethanol
482 systems may not achieve the EU Directives' minimum GHG emission reduction target of
483 60%. Therefore, five integrated systems, wherein bioethanol energy requirements were met
484 by lignocellulosic energy, were proposed. Cases 2 and 3, with DDGS used as a source of heat
485 and CHP, respectively, improve the energy use of the system thereby saving CPE, but incur
486 no emission reduction. The other two integration alternatives with bioethanol energy
487 requirements met by straw CHP (alternative 4) and straw CHP and DDGS heat (alternative 5)
488 respectively, achieve the EU Directive's target GHG reductions. The system in alternative 4
489 offers GWP₁₀₀ reduction by 85% and CPE savings by 97%, whilst the system in alternative 5
490 achieves the EU Directive's target GWP₁₀₀ reduction (63%) and CPE saving of more than
491 100%. The system assessed has also the advantage that no land use change is involved and
492 impact on water is also negligible. A high yield of total bioenergy per ha must be attained
493 implying an efficient use of land, a factor that is important considering that the land is a
494 limiting resource. Concluding from various integration synergies within bioenergy systems
495 and integrated energy system alternatives this study clearly demonstrates an urgent need for
496 greater exploitation of lignocellulosic energy systems into biorefineries.

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