

25 * Corresponding author. E-mail: jhumasadhukhan@gmail.com, Tel.: +44 1483 68 6642, Fax: +44 1483
26 68 6671.

27 **1. Introduction**

28 Starch crops (e.g. corn, wheat), sugar crops (sugar cane and sugar beet) and
29 lignocellulosic material (agricultural residues, wood, grass, etc.) are the main biomass
30 feedstocks employed for bioethanol production [1–4]. Even in the case of processes
31 using biomass feedstocks, such as algae [5, 6] and black liquor [7] to produce other
32 biofuels such as biodiesel or methanol, some valuable components in these feedstocks
33 represent a significant fraction that ends up in low value by-products. In the case of
34 starch crops, the by-product is the Distillers Dried Grains with Solubles (DDGS). As
35 supply of bioethanol increases, more DDGS is produced resulting in a lower market
36 value. Extraction of valuable biomass feedstock fractions in added value products along
37 with process integration is then necessary to enhance the economics of biorefinery
38 systems producing bioethanol [8–10]. In addition to its intended application as a
39 product to be used as transportation fuel, ethanol could also become an important
40 intermediate feedstock or utility that could be used within a biorefinery. For example,
41 ethanol can be used as a solvent for fractionation or extraction of added value products
42 from biomass [8]. This offers potential for effective integration of various processing
43 pathways to achieve efficient use of bioethanol within a biorefinery, especially where
44 there are various source streams containing bioethanol at different concentrations and
45 various demands requiring bioethanol.

46 Methodologies for biorefinery process design have emerged to address the
47 particular nature of biomass processing and the complexity of the task of biorefinery
48 integration at different levels. Feedstocks, processing technologies and products are the
49 three levels of complexity concerning the integration of biorefineries [11]. There are
50 methodologies based on process integration and assessment tools to improve internal

51 material and energy recovery within a site and reduce external resource requirements.
52 In the case of bioethanol production, heat pinch analysis, water pinch analysis and life
53 cycle assessment have been applied to several configurations including value added
54 production pathways and combined heat and power generation [11–23]. In addition,
55 there are methodologies that combine process synthesis and optimisation through
56 mathematical programming allowing screening of alternatives and creation of
57 innovative biorefinery configurations [24–27]. Pham and El-Halwagi have proposed a
58 “forward-backward” approach for biorefinery process synthesis and optimisation when
59 a feedstock and a target product are specified using matching and interception
60 procedures [25]. The method was applied for bio-alcohols production from
61 lignocellulosic feedstocks and provided a configuration with optimised pathways
62 between feedstock and end products along with possible open pathways for by-product
63 production. However, the pre-treatment of biomass is not included as a conversion step
64 and the biorefinery integration at the product level (i.e. potential utilisation of the
65 various products within the biorefinery processes) is not considered. The interactions
66 resulting from product integration could potentially reduce import of raw materials.

67 Whilst optimisation frameworks are worthwhile when well established
68 technologies and real plant data are available, their solutions can be computationally
69 demanding as more advanced and complex process technologies will emerge. Methods
70 giving knowledge about the behaviour of integrated biorefinery processing networks, by
71 intervention of the process engineers throughout the design task, can be of great value at
72 the current stage of the learning curve of the field of biorefineries. The knowledge
73 acquired then can be introduced within the mathematical formulations for better
74 representation of a process and improved optimisation results. Furthermore, the
75 potential for mass integration of biorefinery products within the processes has not been

76 explored in the mentioned methodologies. In this sense, conceptual developments using
77 the pinch analysis approach based on source–demand models of process integration can
78 prove to be valuable as in the case of energy sector planning [28,29].

79 As discussed above, although the traditional process integration tools have been
80 successfully applied for reduction of energy and environmental impact and to maximize
81 profits, new tools are required to enable integrated processing of starch and
82 lignocellulosic feedstocks for bioethanol production, in which ethanol can be used as
83 utility for biomass fractionation or pretreatment as well as chemical reactant. A
84 systematic “bioethanol pinch” methodology for the design and analysis of bioethanol
85 exchange networks is proposed in this paper, adapted from hydrogen pinch analysis
86 [30]. The methodology is a particular case of mass pinch analysis for synthesis of mass
87 exchange networks [31]. According to the extended definition recently introduced by
88 Ponce-Ortega et al. [32], it is an example of process intensification which includes any
89 activity that reduces the use of material utilities and/or feedstock. The case study
90 elaborated in the current paper is arabinoxylan (AX) extraction integrated with
91 bioethanol production, in which ethanol streams of different purities are required for
92 arabinoxylan precipitation and for feedstock washing [8,33,34]. The proposed
93 methodology has been used to minimise the bioethanol requirement within the
94 biorefinery.

95 In **Fig. 1**, opportunities for bioethanol integration between sources and demands
96 (streams numbered 1 to 12) within a biorefinery producing bioethanol and
97 arabinoxylans from wheat have been identified. The route to extract arabinoxylans
98 (AX) using bioethanol to precipitate the extracted AX presented in this figure has
99 recently been explored [8,33,34]. In this process ethanol is used for bran purification (at
100 70% purity) and for AX precipitation and washing (at 96% purity). In a more complex

101 design, the Organosolv process could be used to fractionate lignocellulosic materials for
102 the production of bioethanol and other added value products. The Organosolv process
103 similarly uses ethanol within the process at 50-60% purity to separate lignocellulosic
104 feedstock into cellulose, hemicellulose and lignin [35]. The cellulose and hemicellulose
105 fractions are sent to hydrolysis to produce more bioethanol whilst the lignin fraction is
106 refined for further valorisation (in composites, wood-adhesives, fuel additives, etc.) or
107 as fuel. Some furfural is also produced which can be sold as a solvent. A third common
108 process pathway of bioethanol is its conversion into ethylene and subsequent
109 polymerization into polyethylene [36].

110 A preliminary set of demands and sources for the targeted product interacting in
111 the form of a *product exchange network* (PEN) can be constructed and analysed
112 following the approach from pinch analysis [37], water pinch analysis [38–40],
113 hydrogen pinch analysis [30,41], CO₂ emissions targeting [28] and mass pinch analysis
114 [24,31]. The sub-network generated would contain all the integration alternatives
115 between product sources and product demands also in relation to co-products from a
116 biorefinery. The sources and demands would produce intermediate streams containing
117 the targeted product at different purities. The PEN can be expanded to include all
118 intermediate unit operations and streams with more detailed process data and
119 constraints. New routes for biomass processing can be synthesised with emphasis on
120 efficient use of feedstocks, waste minimisation and polygeneration flexibility. Even
121 more, alternative or complementary feedstocks can be also identified. If the PEN
122 operates at or near the minimum supply and within the constraints set by the
123 requirements of the product demands (both in quality and quantity), then the system is
124 expected to operate in the most efficient manner. However, without a targeting method
125 for the minimum bioethanol supply, it is difficult to know how well the network is

126 performing. A systematic approach for targeting for minimum fresh marketable product
127 requirement in a PEN within a biorefinery is presented in this paper, taking the
128 particular case of bioethanol. **Fig. 1** illustrates a complex biorefinery with integrated AX
129 extraction in which ethanol features as a process stream of varying purity as well as a
130 product of the biorefinery.

131 **2. Methodology**

132 **2.1. Constraints for bioethanol pinch analysis**

133 The bioethanol pinch analysis tool is intended to establish the minimum flow
134 rate of bioethanol that can be used as a target for an integrated biorefinery design. In
135 principle, this target can be decided assuming that any source can supply any demand.
136 However, the minimum fresh bioethanol supply required by a system is driven by the
137 constraints imposed by the processes involved and material conservation principles.
138 Those constraints include the pressure, temperature, amount and nature of impurities,
139 flow rate, purity, etc. Furthermore, the constraints may specifically include: minimum
140 flow rate and/or purity of supply to a demand (e.g. 70% bioethanol for bran
141 purification), limiting bioethanol content for process unit operations (e.g. a bioethanol
142 concentration of 65% required for AX precipitation), limiting impurity content, etc. The
143 nature of the feedstock and the composition of the intermediate streams as well as the
144 purpose of the product are also important. Since the chemical species involved in
145 various bioethanol pathways may not be the same, the bioethanol-containing streams in
146 **Fig. 1** are not all necessarily exchangeable. For example, the AX pathway involves
147 components like protein, sugars and glucans, and the final product must meet certain
148 composition specification in order to be used as food additive or other potential
149 application. Thus, an ethanol recovery unit in the AX process might be required. The

150 purity and flow rate constraints imposed by the bioethanol demand streams are captured
 151 by formulating a material balance on the total streams and a material balance on
 152 bioethanol. This formulation constitutes the main underlying principle for the
 153 bioethanol integration technique presented here.

154 From conservation principles, the total amount of ethanol available from the
 155 sources must be in excess or equal to the total amount of ethanol required by the
 156 demands as a first necessary condition for the network to be feasible. The condition for
 157 material balance of the whole bioethanol network can be expressed in Equation 1.

$$\sum_{i=1}^{n_S} F_{S,i} = \sum_{j=1}^{n_D} F_{D,j} + \sum_{k=1}^{n_W} F_{W,k} \quad (1)$$

158 where $F_{S,i}$ is the flow rate available from source i ; $F_{D,j}$ is the flow rate required by
 159 demand j ; $F_{W,k}$ is the flow rate of waste stream k sent to treatment (e.g. ethanol recovery
 160 or wastewater treatment); and n_S , n_D and n_W are the numbers of sources, demands and
 161 waste streams, respectively, in the network.
 162

163 2.2. Bioethanol composite curves

164 After the selection of appropriate bioethanol sources and demands, the source
 165 and demand streams are combined into the source composite curve (SCC) and the
 166 demand composite curve (DCC), respectively, on purity against flow rate plots,
 167 following the construction of composite curves for mass exchanger network designs
 168 [30,31,38–41]. The composite curve represents the total amount of mass flow rate to be
 169 removed in each purity interval. **Fig. 2a** shows a generic diagram with the SCC and
 170 DCC comprising three source streams and three demand streams. To construct the SCC,
 171 the source streams are plotted in the order of decreasing purity and cumulative flow
 172 rates forming a cascade of horizontal steps. Each step in the SCC indicates the total
 173 flow rate of bioethanol streams available at the corresponding purity level. The DCC is

174 constructed following the same procedure. Each step in the DCC indicates the total flow
175 rate of bioethanol streams required at the corresponding purity level. According to the
176 bioethanol conservation principle, a SCC shorter than the DCC would indicate that the
177 material balance on the total stream is violated for at least one of the demand streams. If
178 the area covered by the SCC is larger than that of the DCC, then there is excess
179 bioethanol in the system. When the excess bioethanol comes from a source stream that
180 is not exchangeable or has low ethanol content, some amount of bioethanol would be
181 lost into wastewater treatment (WWT).

182 The areas enclosed between the SCC and DCC represent the bioethanol pockets
183 in the system, indicated in **Fig. 2a**. If the SCC is above the DCC for a given range of
184 bioethanol purity, then the sources provide more bioethanol than is required by the
185 demands at that particular purity range. Here, a bioethanol excess or surplus (+)
186 appears. This surplus can be made available to compensate for a deficit in bioethanol
187 supply at a lower purity. If the SCC is below the DCC, the bioethanol from the sources
188 is not enough to cover the demands producing a deficit (-) of bioethanol. This means
189 that the demands require bioethanol at purity higher than the purity of the corresponding
190 sources. This deficit can be compensated only by the surplus bioethanol of a higher
191 purity which can be mixed with the lower purity sources to raise the bioethanol content
192 until the purity constraint of the demand is met. The balance of bioethanol pockets
193 (surplus and deficits) at the various purity levels is the key for systems integration and
194 debottlenecking.

195 **2.3. Bioethanol surplus diagram**

196 In addition to the amount required, bioethanol must also be supplied at
197 appropriate purities required by the demand streams. Thus, the bioethanol excess/deficit

198 must be identified at various purity levels from the composite curves. The bioethanol
 199 excess or deficit can be determined for each flow interval of the combined SCC and
 200 DCC. The diagram in **Fig 2a** is divided into six flow intervals (I to VI). The number of
 201 intervals in the system is equal to the total number of flow rate segments. The area
 202 between the SCC and DCC in a particular interval i represents the material balance and
 203 it is equal to the bioethanol flow rate b_i , as shown in Equation 2:

$$b_i = (x_{Si} - x_{Di}) \times (F_{Ui} - F_{Li}) \quad (2)$$

204 where x_{Si} and x_{Di} are the purities of the source and demand in the interval, respectively;
 205 F_{Ui} and F_{Li} are the upper and lower bounds of the flow interval, respectively. The net
 206 cumulative surplus (or deficit) bioethanol at each given flow rate, when plotted in purity
 207 vs. flow rate, forms the *bioethanol surplus diagram*. The bioethanol surplus diagram
 208 generated from the bioethanol composite curves in **Fig. 2a** is presented in **Fig. 2b**,
 209 showing the pocket areas represented as horizontal segments. In this representation, the
 210 maximum value between x_S and x_D is taken for the y-axis, in order to set a common
 211 scale.
 212

213 The *bioethanol surplus diagram* displays the net flow rate characteristics of a
 214 network versus the purity of bioethanol. The significance of the surplus diagram is that
 215 it indicates if the flow rates of bioethanol utilities can be reduced, lowering the fresh
 216 bioethanol requirement, within given constraints. If the surplus is negative at any flow
 217 interval between F_{Ui} and F_{Li} (i.e. surplus curve crosses y-axis), then the system is not
 218 receiving the required amount of ethanol at the adequate purity. In that case, at least one
 219 of the constraints on bioethanol flow rate imposed by the demands cannot be satisfied
 220 by the sources, rendering the system unfeasible. This situation would lead to using
 221 additional amounts of fresh ethanol or higher-purity ethanol. Therefore, the second
 222 necessary condition for system feasibility is that the material balance on ethanol in the

223 overall system (i.e. the cumulative ethanol flow rate) must always be positive. This
224 means that if the entire bioethanol surplus curve lies at or above zero bioethanol flow
225 rate, then the second condition for a feasible system is achieved. If both the first
226 (Equation 1) and second necessary conditions are met, then the bioethanol integration
227 problem has at least one feasible solution.

228 One of the possible solutions is when the bioethanol network is constrained on
229 bioethanol supply. In this case the bioethanol requirements are just met so that any
230 reduction in the supply creates a negative surplus making the network unfeasible. A
231 bioethanol network featuring such a constraint would present at least one place in the
232 bioethanol surplus diagram where the bioethanol surplus is equal to zero. At this point,
233 a pinch can be appreciated where the bioethanol surplus curve touches, but does not
234 cross, the y-axis. This “bioethanol pinch” sets the minimum bioethanol consumption in
235 the network.

236 **2.4. Bioethanol pinch and targeting**

237 The bioethanol pinch corresponds to the point at which the bioethanol network
238 has neither excess nor deficit. As in other process integration techniques, identification
239 of the pinch point helps establishing the minimum bioethanol utility targets,
240 corresponding to the maximum bioethanol reuse in view of an integrated and efficient
241 biorefinery flowsheet design. If a network has excess ethanol sources even after
242 maximum reuse indicated by the bioethanol pinch point, opportunities for system
243 improvement or debottlenecking can be further explored by adding bioethanol
244 production or purification units or by fresh ethanol imports.

245 **Fig. 2c** illustrates how the flow rate of the first source is varied until a pinch
246 occurs in the bioethanol surplus diagram (**Fig. 2d**). The purity of the bioethanol source

247 at the pinch corresponds to the bioethanol pinch purity (x_P). The bioethanol pinch
248 appears in the surplus diagram by a discontinuity segment at surplus equal to zero
249 between x_P and the corresponding x_D . In the pinched diagram (**Fig. 2d**), the surplus
250 curve is shifted towards the y -axis showing a reduction in the bioethanol utility
251 requirement. Similar to the hydrogen pinch, the bioethanol pinch divides the overall
252 bioethanol network into a subsystem with net zero ethanol surplus (region above the
253 pinch) and a subsystem with net positive ethanol surplus (region below the pinch).
254 Above the pinch, there is a portion of the flow rate from the source stream at the pinch
255 purity indicated as F_{PR} (**Fig 2c**). This flow rate corresponds to the amount that must be
256 reused by the demand streams above the pinch to meet the bioethanol supply target. In
257 intervals where a net flow rate surplus exists, the net flow rate can be cascaded to lower
258 purity intervals. Once the demand for bioethanol at lower purity intervals is entirely
259 satisfied, any other excess bioethanol available can be sold to the market. In intervals
260 where a net deficit of bioethanol flow rate exists, the excess bioethanol from higher
261 purity intervals must be used first. Only after exhausting flow rate surpluses from higher
262 purity intervals, external bioethanol utilities can be applied. Other implications from the
263 bioethanol pinch for the integration of a bioethanol exchange network are discussed
264 below.

265 As mentioned before, the network is divided into a region above and below the
266 pinch as shown in the pinched surplus diagram (continuous line in **Fig. 2d**). Since the
267 subsystem above the pinch is balanced, reusing a bioethanol stream from below the
268 pinch implies the transference of the same amount from a source above the pinch (at
269 higher purity) across the pinch to preserve the material balance. This produces a
270 reduction in ethanol surplus above the pinch, and additional utility must be supplied as a
271 penalty to keep the system balanced. Finally, the requirement of fresh ethanol would

272 exceed the minimum target identified by the bioethanol pinch method. Thus, the
273 bioethanol streams must never be directly exchanged across the pinch. As with pinch
274 analysis in other contexts, this is the first fundamental principle for the design of a
275 bioethanol exchange network at minimum supply.

276 A second bioethanol integration principle is deduced for the purifier placement
277 from the implications of the pinch point. A purifier placed below the pinch purity would
278 make purer ethanol in a region of surplus that will end up as waste stream since it can
279 not be exchanged to supply a demand above the pinch. Thus, a purifier should always
280 be placed across the pinch purity in order to exchange ethanol from a region of surplus
281 to a region of limited supply. This can lead to a further minimization of the fresh
282 bioethanol utility. The application of the bioethanol pinch targeting method to minimise
283 the fresh bioethanol utility supply and the use of the integration principles for the design
284 of a bioethanol exchange network are demonstrated in the following section using a
285 case study. The general strategy for network analysis, design and integration is depicted
286 in **Fig. 3**.

287 **2.5. Case study**

288 For an effective demonstration of the bioethanol integration method, the
289 processing pathways co-producing AX in **Fig. 1** were analysed. The initial PEN
290 showing the bioethanol demands and sources is depicted in **Fig. 4**. The bases are: a
291 biorefinery processing capacity of 340000 t/y of wheat from which 13600 t/y of bran is
292 separated to produce 2460 t/y of 70% purity AX [8].

293 **2.5.1. Demands and sources**

294 The main source is the fresh bioethanol produced at 99.6% purity, which is
295 diluted to supply 96% ethanol to the precipitation unit (PPU-1) and washing unit 2
296 (WSU-2). The AX precipitation requires enough ethanol for a final concentration of
297 about 65%. The waste streams rich in bioethanol resulting from PPU-1, WSU-2 and
298 centrifugation (CFG-2) are recycled and supplemented with a fresh bioethanol top-up
299 stream. Those streams are mixed to supply the 70% ethanol required by the treatment
300 unit 1 (TMU-1) and sieving and washing unit 1 (SWU-1). The stream resulting from
301 SWU-1 contains the ethanol extractable components from the bran which are not
302 desirable for the AX product. Therefore, this stream can not be directly exchanged in
303 the system. The sieving and washing unit 2 (SWU-2) produces a waste stream with high
304 flow rate but poor ethanol content. Streams from SWU-1 and SWU-2 can be sent to the
305 recovery section or wastewater treatment (WWT). The vapour stream from the rotary
306 dryer (RDY-2) is lost as waste but, if it is condensed, an exchangeable bioethanol rich
307 stream could be generated. Source and demand streams in the order of decreasing purity
308 are presented in **Table 1** for the data extracted from the example bioethanol network in
309 **Fig. 4**.

310 **2.5.2. Finding the bioethanol pinch and target**

311 The SCC (initial) and DCC generated for the data in **Table 1** are presented in
312 **Fig. 5a**. Two pockets of high amounts of ethanol in excess and one small pocket of
313 ethanol in deficit can be observed. This indicates that the system may not be using the
314 bioethanol available in an efficient manner. To determine how well the system is
315 performing in terms of efficient reuse of bioethanol, the bioethanol targeting approach
316 discussed in section 2.4 is applied. In order to find the bioethanol supply target, the
317 surplus curve must firstly be pinched by varying the flow rate of bioethanol supplied to
318 the network. Not all the sources can accept the flow rate to be changed or reduced since

319 these flow rates may be required for the normal operation of the processes. The
320 bioethanol sources that are flexible with respect to flow rate are thus the utility imports
321 to the network from external suppliers or other processes within the biorefinery. In case
322 of AX co-production the interest is to reduce the amount of fresh bioethanol product to
323 be used, thus the flow rate of this utility can be varied. This corresponds to the
324 bioethanol stream with the highest purity which would also have the highest cost. The
325 targeting procedure thus can be applied to reduce the bioethanol utility supply with the
326 highest flow rate and/or with the highest cost or purity.

327 The fresh bioethanol supply (at 99.6% purity) was reduced (**Fig. 5a**) until a
328 bioethanol pinch occurred at a purity of 91.52% (**Fig. 5b**) for a flow rate of 12512 t/y.
329 This corresponds to the target for the minimum ethanol import for a feasible exchange
330 network. The length of the displacement of the first step in the SCC indicates the
331 amount of fresh bioethanol product (at 99.6% purity) that can be saved. Thus, the
332 amount of ethanol utility import can be reduced by 28650 t/y from the initial 41162 t/y
333 (**Table 1**). This means almost 70% less bioethanol product would be spent in AX co-
334 production. Since the integrated design needs to be economically viable, the bioethanol
335 network integration options need to achieve an increase in profitability. The analysis
336 may require several iterations and a spreadsheet tool would avoid the tedious calculation
337 and graphical construction. Thus, the bioethanol pinch method has been adapted to a
338 user friendly software tool using Excel-VBA that can be made available upon request.

339 **2.5.3. Bioethanol network design and integration**

340 **Fig. 5b** reveals that the ethanol supplied to the initial bioethanol network is not
341 being used efficiently. The arrows showing the displacement between the original and
342 the pinched diagram indicate that there are substantial amounts of ethanol that can be

343 saved for other purposes. This sets the scope for improvement of the network design
344 following the bioethanol integration strategy in **Fig. 3**. The pinch point indicates that all
345 units producing and requiring ethanol at purity equal or higher than x_P must be
346 exchanged above the pinch. Then, simultaneous mass balances must be solved to
347 determine the flow rates exchanged between them.

348 **Figure 6** shows the resulting bioethanol exchange network above the pinch.
349 Notice that some of the bioethanol from WSU-2 is sent below the pinch, but not across
350 the pinch since the stream is at the pinch purity $x_P=91.52\%$. Thus, the first criterion for
351 bioethanol exchange network design is satisfied. This in turn also shows that the initial
352 network was violating this criterion by using great amounts of fresh bioethanol (at
353 99.6%) from above the pinch to supply a demand (at 70%) below the pinch, crossing x_P .
354 Notice how the recycle flow rate F_{PR} (**Fig. 5a**) from WSU-2 is used efficiently.
355 Although **Fig. 5a** indicates that the remaining stream from WSU-2 at 91.52% can be
356 mixed with the stream from SWU-1 at 68.22% to supply ethanol for TMU-1 and SWU-
357 1 at 70%, due to the impurity content (ethanol extractable components) of the stream
358 from SWU-1, this option can not be considered. In this case, other debottlenecking
359 options must be explored to improve the network design and performance. One of the
360 options is to import a utility with higher purity in order to increase the exchangeable
361 surplus. However, in the example network the ethanol is supplied at the highest possible
362 purity, which corresponds to the pure bioethanol product. Another option is to purify a
363 stream in order to make more ethanol available to the system at a higher purity. The
364 integration of a purification unit is thus evaluated in this case study by using the
365 bioethanol pinch analysis method.

366 Although the bioethanol pinch does not indicate which stream to purify, the
367 technique is useful to determine whether the integration of a purification unit to the

368 system has a potential for additional savings. The first stream of interest is the waste
369 from SWU-1. This stream has a high flow rate (55633 t/y) at medium level purity of
370 68.22% (**Table 1**), but the stream contains impurities not desirable in the downstream
371 processing. Since most of the impurities are solids, they can be easily separated.
372 Although the ethanol content is appropriate for the rectifier column from the
373 purification section of the biorefinery, adding a stream with high solids content (more
374 than 20%) could not be desirable for the operation of the column since at this stage
375 almost all the solids from fermentation have been removed. Furthermore, the
376 installation of an additional unit would provide operational flexibility for ethanol
377 purification. Assuming 98% ethanol recovery, the new purification unit produces 38745
378 t/y at 96% purity and 16888 t/y of a solids-containing stream with an ethanol content of
379 4.49%. The bottom stream of the purifier is not exchangeable because it contains the
380 impurities removed. Thus, this source stream is excluded from further analysis. The
381 purity profiles and the surplus diagram, after the introduction of a purifier in the
382 network and before finding the pinch, are depicted in **Fig. 5c** and **Fig. 5d**, respectively.
383 The new step in the SCC represents the new stream source in the system.

384 **Fig. 5c** shows how the SCC moves towards the right between the purity of the
385 purified stream and the pinch purity interval, indicating that an ethanol surplus has been
386 introduced to this region. However, for other purity intervals, the SCC moves towards
387 the left since part of the initial surpluses has been moved to a higher purity by the new
388 ethanol purification unit. The area reduction between the SCC and DCC in the region
389 below the pinch is equal to the area increase above the pinch. The above observations
390 indicate that the conditions for network feasibility stated in Section 3 are not violated.
391 The effect of the changes made to the network is illustrated in **Fig. 5d**. There is a
392 change from a system constraint on ethanol supply above the pinch to a system with

393 ethanol surplus indicated by the large arrow in the second step (the new source at
394 ethanol fraction of 0.96) of the diagram. The surplus generated above the pinch can be
395 exchanged with the ethanol demands to decrease the need for fresh bioethanol product,
396 resulting in a lower target. The new minimum bioethanol makeup flow rate is found by
397 following the targeting procedure described above. **Fig. 5e** and **Fig. 5f** depict the
398 pinched bioethanol networks with and without the purification unit, respectively.

399 The reduction in the total bioethanol makeup flow rate and the consequent
400 reduction in the flow rate of the waste stream are clearly indicated by the arrows in **Fig.**
401 **5e**. The great reduction in the ethanol waste is obvious in the pinched surplus diagram
402 in **Fig. 5f**, indicating the system is now utilising the available ethanol more efficiently.
403 A remarkable effect of the purification unit is that the pinch is lowered to an ethanol
404 content of $x_p=0.0249$. This opens the opportunity to use source streams at ethanol
405 content from as high as 0.9960 to as low as 0.0249 to supply a demand at any purity in
406 between, which was not allowed in the initial pinched system according to the first
407 bioethanol integration criterion. The source streams from PPU-1 (892 t/y at 64.00%
408 purity), CFG-2 (1437 t/y at 15.09% purity), WSU-2 (21670 t/y at 91.52% purity) and
409 RDY-2 (2049 t/y at 50.95% purity) can be exchanged without impurity concerns since
410 they come from the last steps of the AX purification. However, it must be
411 acknowledged that in principle, impurities in certain ethanol-containing streams could
412 constrain their use. In this particular example of bioethanol and arabinoxylan co-
413 production, the processes are not particularly sensitive such that the nature of the
414 impurities is unlikely to impose significant constraints of this sort (although this may
415 need to be verified experimentally for certain operations). The “impurities” (principally
416 bran and protein) are similar in the various process streams and are relatively innocuous,
417 and the intention is that all of them should end up ultimately in the DDGS.

418 3. Results and discussion

419 The final network design along with the flow rates and purities of the exchanged
420 and waste streams are depicted in **Fig. 7**. These values represent the mass balance on
421 ethanol also indicating that the fresh ethanol utility imported to the system is equal to
422 the amount of ethanol going to wastewater treatment. Since the introduction of the
423 purifier modifies the network significantly, the final design is different to that in **Fig. 6**.
424 Note that the streams imported and from the sources, PPU-1, CFG-2, WSU-2, RDY-2
425 and SWU-2 at purity levels between 0.0249 and 0.9960 are combined to supply the
426 demands TMU-1 and SWU-1 at the intermediate purity level of 70%. The source stream
427 from SWU-2 is a poor ethanol stream and it is mainly used for dilution of other source
428 streams with higher purity, thus saving fresh water. Recycling part of that stream
429 containing AX would increase recovery in the bran purification steps (TMU-1, SWU-1).
430 The bioethanol makeup required for the co-production of AX is now 2459 t/y. Thus, the
431 integration of the purification unit can save 10053 t/y of bioethanol product additional
432 to the savings from the first pinched system to make a total saving of 38703 t/y. This
433 means that the fresh bioethanol makeup required can be reduced by up to 94% from the
434 41162 t/y in the initial network (**Fig. 4**).

435 **Table 2** summarises the economic effects of the modifications to the initial
436 network from the bioethanol integration using pinch targeting method. The revenue
437 losses from bioethanol product utilisation are estimated assuming a bioethanol price of
438 590 £/t [8]. The distillation columns were simulated in Aspen Plus for preliminary
439 sizing and their bare module capital cost was estimated by typical correlations available
440 in the literature [42]. The capital cost was annualised using the same capital charge of
441 28% as in [8]. After the pinch targeting method is applied to the initial network, the
442 biorefinery could avoid revenue losses of 16.9 M£/y without any change in the capital

443 cost. However, purification of streams has been recommended from the pinch analysis
444 as discussed before.

445 The system including the integration of a new purifier column (**Fig. 7**) was
446 compared to the alternative system where the waste streams from SWU-1 and SWU-2
447 are sent back to the recovery and purification sections of the main bioethanol production
448 process (**Fig. 1**). **Table 2** shows that the total avoided losses in biorefinery revenues
449 after bioethanol pinch analysis is 22.83 M£/y for the system with a new purifier column.
450 The impact of installing a purification unit additional to the rectifier column in the main
451 production process is a 15% increase in capital costs. This is less than the 24% cost
452 increase for the installation of distillation columns designed for the increased capacity
453 due to the processing of the waste streams from SWU-1 and SWU-2. In this alternative,
454 the mass balance indicates that the fresh bioethanol surplus is reduced to 2282 t/y.
455 Although the reduction is higher and therefore more revenue losses are avoided, the
456 capital cost is also higher leading to a minimal difference in increased profitability
457 between the two purification alternatives shown in Table 2. The impact on the capital
458 costs is favourable for the installation of a new purification unit which also offers more
459 process flexibility.

460 Another advantage of the final integrated network design in **Fig. 7** is that the
461 condensation of the stream from RDY-2 makes some heat available that can be used to
462 preheat the AX stream to be dried to save drying heat duty. Therefore, the bioethanol
463 pinch method illustrated here is not only helpful to devise integration strategies for
464 increasing the ethanol use efficiency but can further be complemented with water and
465 heat integration approaches for the production of a biorefinery design that is more
466 efficient with respect to usage of bioethanol, water and heat.

467 **4. Conclusions**

468 A bioethanol pinch analysis method has been presented here as an effective tool
469 for the design of bioethanol-based biorefineries utilising feedstock more efficiently
470 through integrated bioethanol exchange networks. The tool allows targeting for
471 minimum fresh bioethanol consumption, thus preventing product and revenue losses. It
472 also proved useful for evaluation of debottlenecking or improvement options.
473 Integration principles and strategies helped to achieve an efficient, highly integrated
474 bioethanol network. Combination of analytical-graphical and cost-benefit analysis can
475 facilitate the whole bioethanol based biorefinery process synthesis and retrofit designs.
476 The bioethanol pinch analysis approach could be adopted by other comparable product-
477 based biorefineries.

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