

# 1 **Macroscopic N-halamine biocidal polymeric beads**

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12 **ABSTRACT:** The particle size of N-halamine biocidal polymers was methodically  
13 modified forming beads of different sizes by blending water-insoluble N-halamine  
14 polyurethane with sodium alginate as the matrix, and loading heterocyclic rings onto  
15 modified silica gels. The biological activity of the prepared beads and halogenated  
16 modified silica derivatives were evaluated against examples of Gram-positive  
17 (*Staphylococcus aureus*) and Gram-negative (*Escherichia coli*) bacteria. The recycling  
18 possibilities and the optimum preparation conditions of the blended beads were  
19 investigated; blending pre-halogenated polyurethane (5%, w/v) with sodium alginate  
20 (3%, w/v) followed by cross-linking with CaCl<sub>2</sub> (10%, w/v) at 40°C are the optimum  
21 preparation conditions for the alginate beads.

22 **Keywords:** N-halamine; beads; silica; bacteria.

23

## INTRODUCTION

1  
2 N-halamine polymeric materials have been studied extensively over the last two  
3 decades.<sup>1-20</sup> Prepared by constructing heterocyclic rings containing amide or imide groups  
4 on polymeric supports,<sup>1-20</sup> these polymers have to be halogenated to exert biocidal  
5 action.<sup>1-20</sup> For such applications, N-halamine polymers have been prepared as powders  
6 <sup>1,14,15</sup> and as defined particles;<sup>4-6</sup> their production in definite particle size is very important  
7 for certain applications, such as their use in water filters. Suitable particle sizes can be  
8 obtained by using polymeric beads in their preparation <sup>4-6</sup>, by preparing monomers and  
9 polymerizing them to form the beads, <sup>4-6</sup> and by grafting heterocyclic monomers onto  
10 silica particles,<sup>12</sup> Scheme 1a.

11 In this work N-halamine biocidal polymeric materials were produced in a definite particle  
12 size by preparing novel heterocyclic modified silica gels with particle sizes in the range  
13 200-400 mesh, designed to increase the number of sites for halogenation; allowing a  
14 higher halogen loading for biocidal action, compared to the current modified silica gels  
15 reported in the literature, Scheme 1a.<sup>12</sup> At the same time the design improved the stability  
16 of the halogen attached to the heterocyclic ring by incorporating stronger electron  
17 donating groups than those suggested in the literature.<sup>12</sup>

18 In a different approach, sodium alginate was used to generate N-halamine polymers of  
19 different size particles, rather than the restricted size described previously in the  
20 literature.<sup>4-6,12</sup> Sodium alginate has been used previously to generate a matrix for the  
21 controlled release of water-soluble drugs and has been modified to carry a positive charge  
22 to act as bioactive material by adsorbing bacteria onto its surface.<sup>21,22</sup> In this work sodium  
23 alginate beads were prepared by blending water-insoluble N-halamine biocidal polymers

1 with sodium alginate as a matrix followed by cross-linking with calcium chloride. An N-  
2 halamine biocidal polymer with 5 available positions for halogenation was chosen to  
3 exert the biological activity of the generated beads,<sup>1,17,18</sup> Scheme 1b.

4 As well as the optimum conditions for bead preparation, the possibility of re-halogenation  
5 was investigated to improve the biological properties and prolong the life-time of the  
6 particles.

7 Biological activity of the prepared silica and beads was evaluated against examples of  
8 Gram-positive (*S. aureus*) and Gram-negative bacteria (*E. coli*).

## 9 **EXPERIMENTAL**

### 10 **Materials**

11 Barbituric acid, granulated tin, resorcinol, fuming nitric acid, sodium nitrite, toluene-2,6-  
12 diisocyanate, sodium alginate, bromine, iodine, 2-Cyano-functionalized silica gel (200-  
13 400 mesh, extent of labelling: 1.5-2.0 mmol/g per 7% carbon loading), 3-  
14 (Isocyanato)propyl-functionalized silica gel (200-400 mesh, extent of labelling:  
15 1.2 mmol/g loading), calcium hypochlorite, gelatine, formaldehyde, gluteraldehyde and  
16 triethylamine were supplied by Sigma Aldrich Chemicals, UK. Sodium hydroxide,  
17 absolute ethanol, sulphuric acid, starch, sodium thiosulfate, potassium iodide, calcium  
18 chloride, N,N-dimethylformamide (DMF) (99.99%) and methanol (analytical grade  
19 reagents) were supplied by Fisher Chemicals, UK. Nutrient broth and nutrient agar were  
20 supplied by Oxoid. Cultures of *Staphylococcus aureus* and *Escherichia coli* were  
21 obtained from the faculty culture collection. Primary cultures on nutrient agar slopes and  
22 subcultures on nutrient agar plates were stored at 4°C. All chemicals were used as  
23 obtained from suppliers without extra purification.

1 **Preparation of 2-iminouramil-functionalized (2) and 3-(N-barbiturourea)propyl-**  
2 **functionalized (6) silica gels and their halogenation.**

3 2-Cyano-functionalized silica gel (1) (1.14 g, 0.01 mol based on carbon load) was  
4 suspended in N,N-dimethylformamide (DMF) (30 ml). Uramil (2.86g, 0.02 mol) and  
5 triethylamine (600  $\mu$ l) were added. The mixture was stirred at 120°C for 24 hours. The  
6 product was filtered hot, washed with hot DMF (100 ml) and dried, (Scheme 2).

7 Analysis: FTIR (KBr):  $\nu_{\max}$  ( $\text{cm}^{-1}$ ), 1701 and 1668 (C=O, heterocyclic ring), 1540 (C=N),  
8 2942 (CH), 3124 (NH), 3435 (OH), 1100 (C-O and C-N). Solid  $^{13}\text{C}$  NMR, 6-10 (aliphatic  
9 part carbons), 86 (CH of the ring), 151 (C=N), 152 and 162 (C=O). Elemental analysis;  
10 found (% , w/w): C, 12.3; H, 1.1; N, 6.4, calculated (% , w/w): C, 14.6; H, 1.7; N, 8.5.

11 The same procedure was followed to prepare 3-(N-barbiturourea)propyl-functionalized  
12 silica gel (7). 3-(Isocyanato)propyl-functionalized silica gel (6) (using 1.3 g, 0.01 mol  
13 based on the carbon load) and uramil (2.86 g, 0.02 mol) were refluxed together at 120°C  
14 in DMF (30 ml) in presence of triethylamine (600  $\mu$ l). The product was filtered hot,  
15 washed with hot DMF (100 ml) and dried, Scheme 3.

16 Analysis: FTIR (KBr):  $\nu_{\max}$  ( $\text{cm}^{-1}$ ), 1697, 1660 and 1611 (C=O), 2943 (CH), 3124 (NH),  
17 3432 (OH), 1100 (C-O and C-N). Solid  $^{13}\text{C}$  NMR, 7, 23, 40 (aliphatic part carbons), 86  
18 (CH of the ring), 153, 154, 161, 162 (C=O). Elemental analysis; found (% , w/w): C, 13.1;  
19 H, 1.4; N, 7.1, calculated (% , w/w): C, 14.3; H, 1.6; N, 8.4.

20 Both of these reactions were performed equally successfully using sodium hydroxide  
21 instead of triethylamine and a mixture of DMF and absolute ethanol (2:1) as the solvent  
22 instead of pure DMF.

1 Halogenation of the novel modified silica gel was performed using NaOX (X = Cl, Br or  
2 I) and chlorination performed using commercial sodium hypochlorite (10%, w/v); by  
3 soaking the modified silica gel (1 g) in water (10 ml) and sodium hypochlorite (10 ml,  
4 10% w/v) with stirring at ambient temperature for 1 hour. Bromination and iodination  
5 were performed similarly using sodium hypobrominate and hypoiodate prepared by  
6 adding bromine or iodine to a sodium hydroxide solution (10%, w/v) gradually until pH  
7 7.

8 The halogenation process was followed by FTIR spectroscopy <sup>1</sup> and the halogen/g  
9 content was determined using iodometric titration.<sup>8</sup> The values are given in Table 1.

10 The surface of the N-halamine biocidal modified silica gel was examined using SEM  
11 (scanning electron microscopy), Figure 1.

## 12 **Preparation of N-halamine biocidal beads**

13 N-halamine polyurethane (**14**) was prepared according to the methodology reported  
14 earlier.<sup>1,17,18</sup>

## 15 **Diazotization of uramil**

16 Uramil <sup>23</sup> (**11**) (5-aminobarbituric acid) (1.40 g, 0.01 mol) was dissolved in concentrated  
17 sulphuric acid (5 ml). The temperature was kept at 0°C using an external ice bath. A cold  
18 solution of NaNO<sub>2</sub> (0.69 g of NaNO<sub>2</sub>, 0.01 mol + water, 10 ml) was added drop-wise to  
19 the uramil solution with stirring to form the uramil diazonium salt (**12**),<sup>1,17,18</sup> Scheme 4.

## 20 **Preparation of 1,3-dihydroxy-4(5-azobarbituric acid)benzene (13)**

21 Resorcinol (1.1 g, 0.01 mol) and NaOH (5.5 g, 0.14 mol) were dissolved in water (20 ml)  
22 and added gradually to cold uramil diazonium salt (**12**). The dark purple product that

1 precipitated was filtered, washed copiously with cold water, dried and weighed,  
2 producing 2.6 g (99% yield), <sup>1,17,18</sup> Scheme 4.

### 3 **Polyurethane polymer preparation**

4 Monomer (**13**) (2.6 g, 0.01 mol) and toluene-2,6-diisocyanate (0.01 mol) were heated in  
5 DMF (30 ml) for 5 hours at 90°C. The reaction was cooled and 50 ml of methanol added.  
6 The brown product was filtered, washed copiously with methanol, dried and  
7 weighed, <sup>1,17,18</sup> Scheme 4.

### 8 **Polyurethane chlorination**

9 Polymer (**14**) (1 g) was stirred in NaOCl (10%, w/v) for 2 hours at ambient temperature.  
10 The resulting product was filtered, washed with chlorine-free water and dried, <sup>17,18</sup>  
11 Scheme 4.

### 12 **Alginate bead Formation**

13 Sodium alginate was dissolved in water (10 ml) to 2.0 % w/v. A suitable polymer (see  
14 below) was added, (2.5%, w/v), and the mixture was stirred for 30 min. The blend was  
15 added drop-wise to a solution of calcium chloride (100 ml, 6% w/v CaCl<sub>2</sub>), Scheme 5.  
16 The beads were filtered and dried at 45°C for 24 hours.

17 The blended polymers with alginate were;

- 18 1- Pre-halogenated polymer (**15**) (Scheme 4) (**AB1**), Figure 2.
- 19 2- Non-halogenated polymer (**14**) (Scheme 4) (**AB2**), Figure 2. **AB2** halogenated  
20 after their formation by soaking the beads (1 g) in sodium hypochlorite (10% w/v,  
21 10 ml) for 30 min.

22 The alginate based beads were characterized using FTIR, SEM and TGA analysis. **AB1**,  
23 FTIR (KBr):  $\nu_{\max}$  (cm<sup>-1</sup>), 1593 (broad band, C=O), 2319-3634 (OH carboxylic), 3342

1 (OH), 3030 (CH aromatic), 2917 (CH aliphatic), 3141 (NH), 1071 (C-O), 1023 (C-N),  
2 1549 (C=N), 658 (N-Cl) and 1412 (N=N). TGA:  $T_o$  (dm/dT<sub>max</sub>), 60, 120, 210, 280, 300,  
3 460, 700 and 1000. **AB2** (before halogenation), FTIR (KBr):  $\nu_{max}$  (cm<sup>-1</sup>), 1612 (broad  
4 band, C=O), 2433-3678 (OH carboxylic), 3334 (OH), 3024 (CH aromatic), 2949 (CH  
5 aliphatic), 3251 (NH), 1126 (C-O), 1112 (C-N), 1550 (C=N) and 1469 (N=N). TGA:  $T_o$   
6 (dm/dT<sub>max</sub>), 80, 140, 220, 340, 400, 450, 550, 700 and 1000. SEM images for the dried  
7 beads are shown in Figure 3.

## 8 **Determination of the biological activity of the prepared beads matrix and modified** 9 **silica gels.**

### 10 **Agar plate method**

11 This experiment was performed only for the modified silica gels. Nutrient agar (Oxoid)  
12 was prepared (250 ml), held molten at 50<sup>0</sup>C and 1.0 ml of a 24hr nutrient broth culture of  
13 either *Staphylococcus aureus* or *Escherichia coli* was added as an inoculum. The seeded  
14 agar was poured into plates; two plates for each type of bacterium, Gram-positive and  
15 Gram-negative. Wells, 5 mm diameter were cut into the agar, and small amounts of each  
16 type of modified silica gel (0.03 g) were placed in the well in the middle of the plate. The  
17 experiment was performed in triplicate, three plates for each polymer.<sup>1</sup> Plates were  
18 incubated for 24 hours at 37<sup>0</sup>C and the inhibition zones around the well were recorded,  
19 Figure 4.

### 20 **Stirred flask method**

21 The biological activity of the modified silica gels and alginate beads was determined by  
22 studying their effect on bacterial viability. For the alginate beads: bacterial suspension  
23 (either *E. coli* or *S. aureus*) was prepared by inoculating 10 ml of nutrient broth in a

1 universal bottle. The culture was incubated at 37°C for 17 hours and 0.1 ml of this  
2 suspension used to inoculate 5 different universal bottles each containing 10 ml of fresh  
3 nutrient broth which were incubated at 37°C for 17 hours. Individual cultures were  
4 treated as follows; **AB1** (0.5 g), **AB2** (0.5 g), **AB2** halogenated form (0.5 g), sodium  
5 alginate beads (control, no blended polymer) and the fifth was used as a bacterial control.  
6 Viability was followed by the “Miles and Misra” method <sup>24</sup> at different time intervals.<sup>17</sup>  
7 For the modified silica gel the same method was applied. Three universal bottles were  
8 used; the first treated with halogenated modified silica gel (0.5 g), the second treated with  
9 non-halogenated modified silica gel (control, 0.5 g) and the third was used as a bacterial  
10 control. The viability was followed as above.

#### 11 **Bead regeneration**

12 Optimum conditions were determined for “recycling” (re-halogenating) the beads.

#### 13 **Changing the nature of the cross-linker**

#### 14 **Changing the ratio of calcium chloride**

15 Using calcium chloride was the most successful preparation method; the beads were  
16 formed by dropping the polymer (2.5%, w/v)/alginate (2.0%, w/v) mixture in 10 ml  
17 distilled water into a solution of calcium chloride (100 ml). The experiment was repeated  
18 using different calcium chloride concentrations 2, 4, 6, 10, 20 and 40% (w/v). These  
19 concentrations were used both with and without curing. Curing was performed by heating  
20 the beads in the calcium chloride bath at 40°C for 12 hours while non-cured samples were  
21 stirred in calcium chloride for 1 hr at ambient temperature. The beads were filtered,  
22 washed with distilled water and dried.

23



## 1 **Using gelatine with calcium chloride**

2 Gelatine was used in different concentrations 1-3% (w/v) with calcium chloride (10%  
3 w/v). Polymer (5%, w/v)/alginate (3%, w/v) mixture (in 10 ml distilled water) was  
4 dropped into a bath containing the mixture of calcium chloride and gelatine. The  
5 experiment was repeated using different ratios of gelatine. The beads were filtered,  
6 washed with distilled water and dried.

## 7 **Aldehydes; formaldehyde and gluteraldehyde**

8 Aldehydes, formaldehyde and gluteraldehyde, with different ratios (1, 2, 4, 8 and 10%  
9 w/v) were added during bead preparation as cross-linkers and the preparation method  
10 modified as follows: Sodium alginate was dissolved in distilled water (2.0% w/v, 10 ml).  
11 The aldehyde (formaldehyde or gluteraldehyde) was added <sup>22</sup>. The mixture was stirred for  
12 1 hr, polymer (**14** or **15**) was added to 2.5 %, w/v and stirring continued for 30 min. The  
13 beads did not form so the polymer ratio was decreased from 2.5% to 1% and then to  
14 0.05% (w/v) and the experiment was repeated but still no beads formed.

## 15 **Changing the ratio of sodium alginate**

16 Sodium alginate ratio was changed (1, 2, 3 and 4% w/v) during mixing with the polymer  
17 (**14** or **15**) (2.5% w/v), in 10 ml distilled water, followed by dropping into a calcium  
18 chloride bath (10% w/v, 100 ml). The beads were filtered, washed with distilled water  
19 and dried.

## 20 **Changing the polymer ratio**

21 The polymer (halogenated and non-halogenated) (**14** and **15**) ratio was changed, (2, 3 and  
22 5% w/v), to achieve the maximum load of polymer on the beads. The blend of  
23 polymer/alginate (3%, w/v, in 10 ml distilled water) in each case was dropped into

1 calcium chloride bath (10%, w/v, 100 ml). The beads were filtered, washed with distilled  
2 water and dried.

3 The biological activity of the prepared beads under different conditions was quantified, as  
4 the effect of the beads on bacterial viability; determined as described before (using stirred  
5 flasks method)<sup>17</sup> for both *E. coli* and *S. aureus*.

### 6 **Swelling behaviour of the beads**

7 Beads, **AB1** and **AB2**, prepared under different conditions, (0.05 g) were soaked first in  
8 tap water and then distilled water in two different universal bottles for 24 hours each. The  
9 beads were filtered, the surface water absorbed with paper tissues, and the swelling ratio  
10 was calculated using equation 1.

11 Equation 1: Determination of swelling ratio.

12 % Swelling = [(Polymer weight after soaking – Polymer weight before soaking) /  
13 Polymer weight before soaking] X 100.

## 14 **RESULTS AND DISCUSSION**

15 In order to improve the particle size of N-halamine biocidal materials, uramil was reacted  
16 with two different types of modified silica gels; 2-Cyano-functionalized silica gel (200-  
17 400 mesh) and 3-(Isocyanato)propyl-functionalized silica gel (200-400 mesh). The  
18 reaction was an addition to the cyano or the isocyanate groups loaded on silica under  
19 basic conditions which was performed successfully using triethylamine or sodium  
20 hydroxide as catalysts. The resulting products retained the stability of the halogen on the  
21 polymer.

22 Structures of modified silica of the same type in the literature contain heterocyclic rings  
23 with substituted methyl groups (Dimethylhydantoins).<sup>12</sup> These methyl groups, as electron

1 donating groups, stabilize the halogen attached to the heterocyclic ring.<sup>12</sup> The novel  
2 modified silica in this study has stronger electron donating groups that have increased the  
3 stability of the halogen attached to the heterocyclic ring, such as the amino group in  
4 modified silica (2) and the amide group in modified silica (7).

5 The FTIR data of modified silica (2) shows the disappearance of the cyano group signal  
6 ( $2216\text{ cm}^{-1}$ ) of 2-cyano-functionalized silica gel and the appearance of the carbonyl group  
7 signals of the heterocyclic ring at 1701 and 1668 as well as the NH signal at  $3124\text{ cm}^{-1}$ .  
8 The  $^{13}\text{C}$  NMR indicates the appearance of the carbonyl carbon signals of the heterocyclic  
9 ring at 152 and 162 ppm while the CH carbon of the heterocyclic ring gives a signal at 86  
10 ppm.

11 Similar results were obtained for modified silica (7); from the FTIR, the carbonyl peaks  
12 of the heterocyclic ring and the urea side chain appear at 1697, 1660 and  $1611\text{ cm}^{-1}$  while  
13 the NH appears at  $3124\text{ cm}^{-1}$  and from  $^{13}\text{C}$  NMR the carbonyl peaks appear at 153, 154,  
14 161 and 162 ppm. Therefore, FTIR and  $^{13}\text{C}$  NMR showed that the loading of the uramil  
15 to the modified silica gels was successful.

16 SEM was performed to investigate the particle size diameter, and to demonstrate that the  
17 particles were not damaged during the reactions, Figure 1. It can be seen that the silica  
18 particles still keep their average diameter but smaller particles were scratched. However,  
19 the small fragments that separated from the silica particles will not restrict use of these  
20 particles in some applications, such as water filters.

21 Biological activity of the N-halamine modified silica gels was investigated using an agar  
22 plate technique, Table 2.

1 From table 2, it can be seen that all the halogenated derivatives of both modified silica  
2 gels (**2** and **7**) have an inhibitory effect on both Gram-positive (*S. aureus*) and Gram-  
3 negative (*E. coli*) bacteria. The effect on *S. aureus* is generally greater than that on *E. coli*  
4 and the biological activity of the N-halamine modified silica gel derivatives (**3-5**) is lower  
5 than those derived from (**7**) (i.e.: **8-10**).

6 The biological activity of the halogenated derivatives of modified silica gel (**7**) was  
7 quantified by determining their effect on bacterial viability. Chlorinated modified silica  
8 (**8**) succeeded in achieving a 3 log reduction in viability in 7 hours for *E. coli* and a 4 log  
9 reduction for *S. aureus* in the same time period, Figures 5a and 5b respectively. The  
10 brominated modified silica (**9**) achieved a 4 log reduction in the viability of both *E. coli*  
11 and *S. aureus* in 7 hours, (Figures 5c and 5d respectively). The most powerful effect was  
12 achieved by iodinated modified silica. It achieved a 9 log reduction in 15 min for both *E.*  
13 *coli* and *S. aureus*, (Figures 5e and 5f respectively).

14 From the previous results it can be seen that halogenated modified silica succeeded in  
15 reducing the viability of both Gram-positive and Gram-negative bacteria. As expected  
16 from previous results,<sup>1,17</sup> the iodinated modified silica has the maximum biocidal power.  
17 These results also show it was possible to improve particle size whilst keeping moderate  
18 biological activity. Stability of the halogen attached to the heterocyclic ring of silica was  
19 improved by using a heterocyclic ring supported with stronger electron donating groups.  
20 In spite of the presence of these strong electron donating groups, attached to the  
21 heterocyclic ring, the modified silica showed moderate biological action which maintains  
22 the balance between stability and biological activity. In comparison with similar types  
23 reported in the literature<sup>4-6</sup> this stability may be reflected in reduced biological power of

1 the modified silica. However, it may prolong the active life of the modified silica without  
2 re-halogenation as the silica will not easily loose the halogen.

3 Biological activity of the modified silica is lower than that of the powdered N-halamine  
4 biocidal polymer, prepared by our group,<sup>1,17,18</sup> as the number of function groups on silica  
5 is lower, which affects the number of heterocyclic rings that can be loaded onto silica. In  
6 addition, the N-halamine biocidal polymers, in powder form, present a greater surface  
7 area which would improve contact with the bacteria.

### 8 **Sodium alginate beads matrix**

9 The final particle size of the N-halamine modified silica is dependant on the particle size  
10 of the silica used as a starting material (2-Cyano-functionalized and 3-  
11 (Isocyanato)propyl-functionalized silica gels). But for some applications there is a need  
12 for larger particles. Increasing the size of the starting silica however, may result in  
13 reducing the biological activity of the product because the number of the functional  
14 groups on the particle surface, which are used to react with uramil, will decrease. Most of  
15 the modified silicas, and other beads reported in the literature, have the same problem.<sup>4-</sup>  
16 <sup>6,14</sup>

17 A new method has been described here, based on blending N-halamine polymer powders  
18 (**14** or **15**) with sodium alginate followed by cross-linking with calcium chloride, to  
19 obtain larger-size insoluble particles. This method can be used to produce different sized  
20 particles because the particle size will depend on the dropper used; enabling production  
21 of a range of sizes depending on the required application. At the same time, the bioactive  
22 polymer content in the particle matrix can be increased to improve the biological activity.  
23 Similar matrices have been used previously as control-release systems for releasing

1 water-soluble antibiotics.<sup>21,22</sup> The method was modified to be used with insoluble  
2 polymers; the biocidal activity in this case depends on halogen ion release from the beads  
3 or contact with the outer surface of the beads.

4 Two methods of preparing beads were compared; mixing chlorinated polymer (**15**)  
5 directly with sodium alginate, (**AB1**) and mixing non-halogenated polymer (**14**) with  
6 sodium alginate followed by chlorination, (**AB2**).

7 The resulting beads were characterized using FTIR, TGA and SEM. FTIR and TGA  
8 confirmed the presence of both sodium alginate and N-halamine polymers in the blend.  
9 Characteristic signals for the heterocyclic polymers appeared in the FTIR such as the azo  
10 group ( $1425\text{ cm}^{-1}$ ), NH ( $3230\text{ cm}^{-1}$ ) and carbonyl group ( $1601\text{ cm}^{-1}$ ). The N-Cl signal  
11 appeared in the FTIR spectrum at  $658\text{ cm}^{-1}$ . TGA peaks for **AB1** showed a shift to lower  
12 values compared to **AB2** (non-halogenated) because the burning rate of the halogenated  
13 polymer is faster than that of the non-halogenated polymer due to the conversion of NH  
14 to N-Cl.<sup>25</sup> For example, the water signal which appears from  $80\text{-}100^\circ\text{C}$  has moved down  
15 to  $75\text{-}85^\circ\text{C}$ . Sodium alginate and the blended polymer showed peaks of decomposition of  
16 their main chain from  $200\text{-}300^\circ\text{C}$ .<sup>26</sup> These peaks appear for both of them when the TGA  
17 is performed for each one separately. The beads were examined by SEM, Figure 3, as  
18 well as photo imaging, Figure 2.

19 The effect of **AB1** and **AB2** on bacterial (*E. coli* and *S. aureus*) viability was quantified.  
20 During the biological activity three controls were used; **AB2** (non-halogenated), sodium  
21 alginate beads (without blended polymers) and bacterial control (no beads).

22 **AB1** achieved a 9 log reduction within 3 hours for *E. coli* while the halogenated form of  
23 **AB2** achieved 1 log reduction in 5 hours, Figure 6a. For *S. aureus*, **AB1** achieved a 9 log

1 reduction in 5 hours while **AB2** (halogenated form) only 1 log reduction in 5 hours,  
2 Figure 6b.

3 The results indicated that **AB2** (halogenated form) has low biological activity, due to the  
4 longer halogenation time required to enable halogen penetration to the polymer particles.  
5 These data suggest that the best way to prepare the beads is that used for preparing **AB1**  
6 i.e.: mixing chlorinated polymer directly with sodium alginate.

7 **AB1** showed better biological action than the modified silica but lower than the polymer  
8 powder itself <sup>17</sup> perhaps because the ions take longer to diffuse out of the beads. The  
9 beads release the same quantity of ions as calculated but over a longer time period - 6  
10 hours to release the same amount of halogen as released by the powder.<sup>17</sup>

11 At the same time, the contact effect between the polymer and the cells will be low as the  
12 cells can only contact the outer surface of the beads. Moreover, the effective amount of  
13 polymer in the beads is lower than that used directly in the case of polymer powder  
14 evaluation.

### 15 **Re-halogenation**

16 To enable bead re-halogenation, the optimum conditions for bead preparation were  
17 identified. Polymer was blended with sodium alginate and added drop-wise to baths  
18 containing different concentrations of calcium chloride: to yield beads with different  
19 ratios of calcium chloride content 2, 4, 6, 10, 20 and 40% (w/v), cured and non-cured.  
20 Curing was performed at 40°C for 12 hours while non-cured samples were stirred in  
21 calcium chloride for 1 hr after drop-formation at ambient temperature.

22 It was noticed that increasing calcium chloride content, with or without curing, decreases  
23 the swelling behaviour of the beads (Tables 3 and 4), which in turn affects the biological

1 activity; however, it increases the possibilities of beads re-halogenation. Raising calcium  
2 chloride ratio over 10% (w/v), with or without curing, reduces the biological activity of  
3 the beads (**AB1** and **AB2**) while using this ratio (10% calcium chloride) with curing  
4 maintains a good balance between biological activity and re-halogenation, Figures 7a and  
5 7b. This ratio (10% w/v) with curing, enables re-halogenation up to 3 times without any  
6 damage to the beads which had been noticed with re-halogenation if the beads had been  
7 prepared using a low calcium chloride ratio and without curing. From Figure 7a, the  
8 beads prepared with 10-20% (w/v) calcium chloride (with curing) achieved a 3 log  
9 reduction in 5 hours for *E. coli* while beads formed with 40% (w/v) calcium chloride with  
10 curing did not show good biological activity. Similar behaviour was noticed with *S.*  
11 *aureus* and with 40% (w/v) calcium chloride the beads achieved 1 log reduction, Figure  
12 7b.

13 Similar results were obtained when comparing the biological activity of cured and non  
14 cured beads prepared using 10% (w/v) calcium chloride, Figures 8a and b. The non-cured  
15 beads show more biological activity perhaps because without curing, the beads swell  
16 more enabling ion release.

17 Other types of cross-linkers, such as Aldehydes,<sup>22</sup> were investigated instead of salts.  
18 Unfortunately beads did not form. Several trials were carried out using different ratios of  
19 aldehydes (1, 2, 4, 8 and 10% w/v) but no insoluble material was formed.

20 Sodium alginate concentration was varied (1, 2, 3 and 4 % w/v) to investigate the effect  
21 on the formation of beads. Increasing the ratio of sodium alginate increases the viscosity,  
22 making the formation of “drops” difficult, but enhances the re-halogenation character of  
23 the beads by increasing the cross-linking possibilities. These different concentrations of



1 alginate were mixed with the N-halamine polymer (2.5% w/v) in water (10 ml) and  
2 dropped into a calcium chloride bath (10% w/v) with and without curing.

3 Increasing the ratio of the polymers (2, 3 and 5% w/v) increases the biological activity of  
4 the beads (both **AB1** and the halogenated form of **AB2**). The beads were prepared by  
5 dropping into a bath containing 40% (w/v) calcium chloride as a cross-linker with curing  
6 overnight at 40°C and the sodium alginate ratio was also increased to 3%. It was seen that  
7 **AB1** achieved in 5 hours a 3 log reduction against *E. coli* while **AB2** (halogenated form)  
8 achieved a 2 log reduction against the same bacterium, Figure 9a. For *S. aureus* it was  
9 noticed that **AB1** achieved a 4 log reduction in 5 hours while **AB2** (halogenated form)  
10 achieved a 2 log reduction in the same time period, Figure 9b. Increasing the polymer  
11 ratio increased the biological activity of the beads despite cross-linking at high  
12 concentration of calcium chloride (40%) compared to results reported using the same  
13 calcium chloride ratio with low polymer concentration (2.5%), Figures 9a and 9b.

14 Gelatine was also investigated at concentrations of 1-3% (w/v) with calcium chloride  
15 (10% w/v). Using gelatine enabled a more spherical shaped bead to develop and  
16 supported re-halogenation process as it can share in the complexation with the calcium  
17 ion. However, using gelatine with **AB1** may result in losing halogen through exchange  
18 between the halogenated polymer and gelatine during bead formation - which may reduce  
19 the biological activity of the beads.

20 From the previous data, the best blend for bead formation is: 3% (w/v) sodium alginate  
21 and 5% (w/v) N-halamine biocidal polymer (**15**), cross-linked with calcium chloride 10%  
22 (w/v) followed by curing at 40°C overnight.

23

## 1 **The swelling behaviour of the beads**

2 The swelling behaviour of the beads was determined in tap and distilled water for cured  
3 and non-cured beads, Tables 3 and 4. From tables 3 and 4, non-cured beads swell more  
4 than cured and increasing the concentration of calcium chloride decreases swelling. At  
5 the same time increasing the polymer ratio increases swelling behaviour, even with  
6 curing, Table 5.

7 The previous data indicate the possibility of producing blended beads of different sizes  
8 and good biological activity which can support many applications requiring flexible flow  
9 rate. Although the beads are larger than the prepared modified silica gel, they showed  
10 better biological action due to the presence of the blended N-halamine polymer powder.  
11 Optimising bead preparation supports bead re-cycling. Producing beads as a blend with  
12 water insoluble polymers provides a new method of bead production containing water  
13 insoluble polymers and of different sizes that can release bioactive ions (halogen).  
14 Additional effects may be explained on the basis of contact between the bacterial cells  
15 and the outer surface of the beads. Bioactive beads of large size and good swelling  
16 behaviour may encourage employing this type of bead in water filters in the future  
17 without restricting the water flow-rate.

## 18 **CONCLUSIONS**

19 Particle size was improved by loading bioactive heterocyclic rings onto modified silica  
20 gels and preparing beads containing bioactive polymer powder. Bead formation generated  
21 bioactive beads of different sizes that release bioactive ions rather than water soluble  
22 molecules. The best conditions for bead formation was mixing 3% (w/v) sodium alginate  
23 with 5% (w/v) N-halamine biocidal polymer (**15**) and cross-linking them by dropping

1 into calcium chloride solution (10% w/v) followed by curing at 40°C overnight. Blending  
2 pre-halogenated polymer with alginate (**AB1**) is better than blending the beads with non-  
3 halogenated polymer followed by halogenation (**AB2**).

#### 4 **ACKNOWLEDGMENT**

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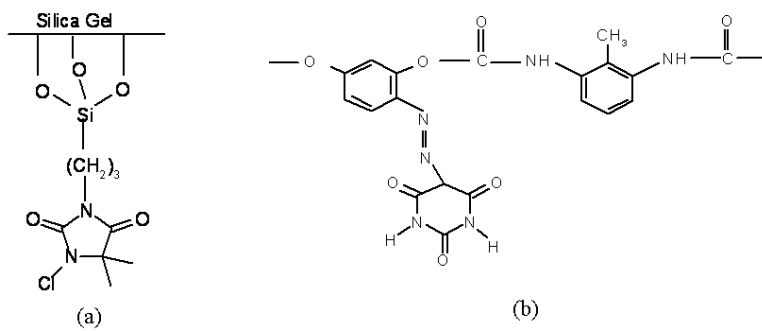
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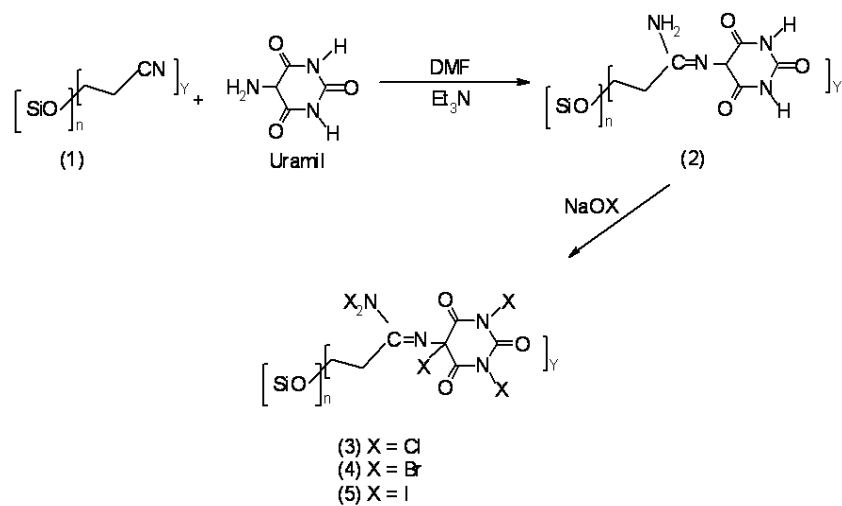
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- 1 **Scheme 1** a) An example of modified silica with heterocyclic rings, b) N-halamine  
2 polymer to be blended with sodium alginate.



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1 **Scheme 2** Preparation of 2-iminouramil-functionalized silica gel and its halogenation.



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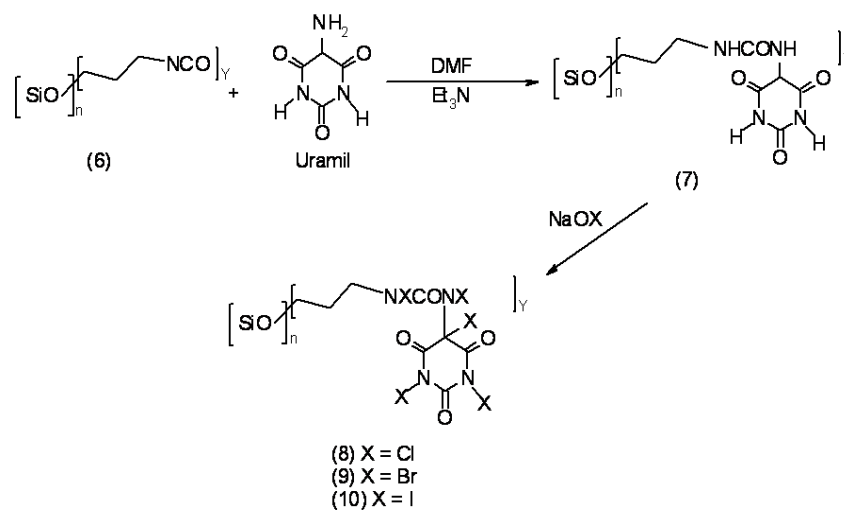
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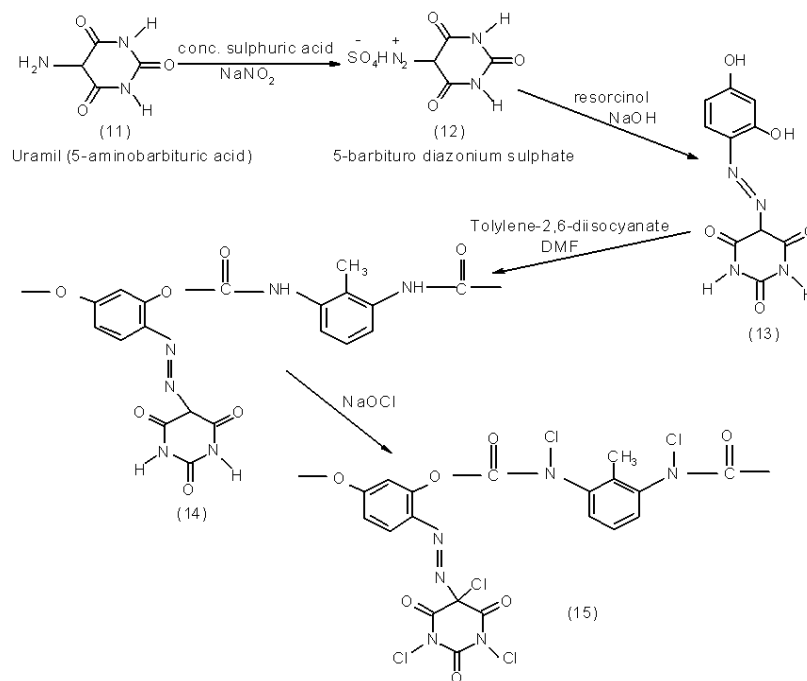
- 1 **Scheme 3** Preparation of 3-(N-barbitourorea)propyl-functionalized silica gel and its  
2 halogenation.



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1 **Scheme 4** Preparation of the N-halamine polyurethane and its chlorination.



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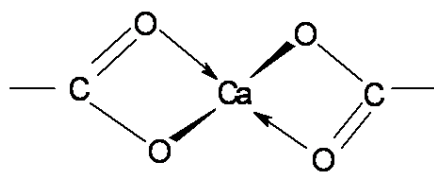
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1 **Scheme 5** Expected complexation product between the alginate and calcium ions.



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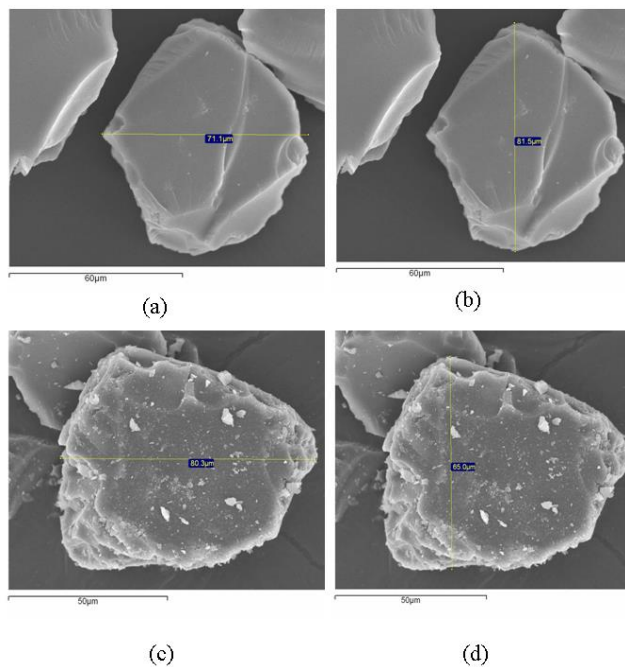
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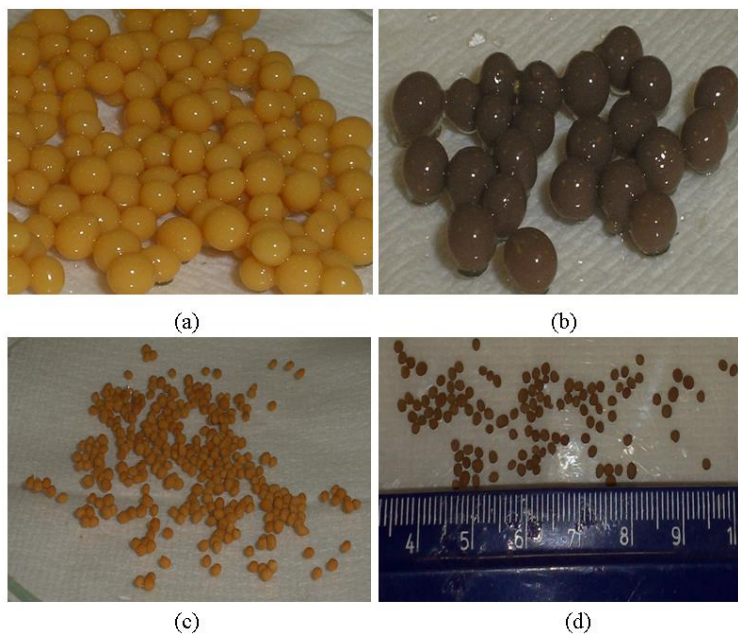
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- 1 **Figure 1** Modified silica gel particles SEM before and after halogenation; (a) and (b):  
2 silica gel particles before reaction while (c) and (d): silica gel particles after halogenation.



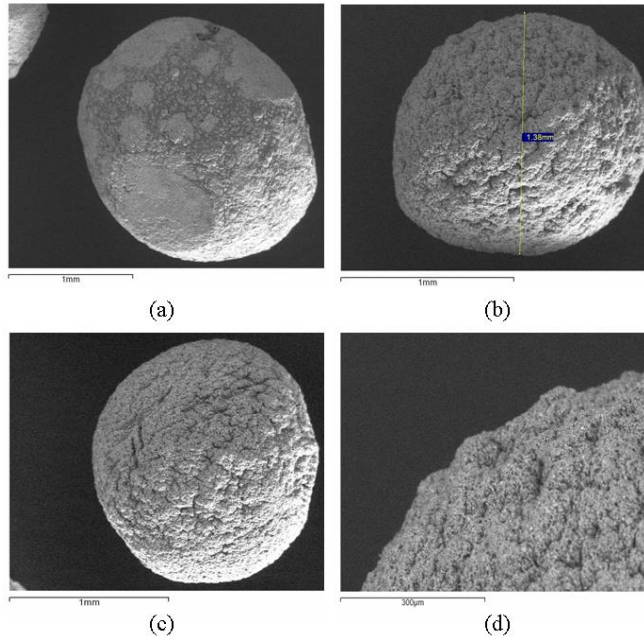
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1 **Figure 2** Photographs of the alginate beads in their hydrated and dried status; (a) **AB1**  
2 before drying, (b) **AB2** before drying and halogenation, (c) **AB1** after drying and (d) **AB1**  
3 after drying (scale in mm).



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- 1 **Figure 3** SEM of the beads before and after curing; (a) **AB1** non-cured, (b) **AB1** cured,
- 2 (c) **AB2** cured and (d) Close-up of **AB1** cured.
- 3 Note: Beads were cured by heating in calcium chloride at 40°C after formation.



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2 **Figure 4** Inhibition zones resulting from one of the N-halamine biocidal modified silica  
3 gels, in triplicate.



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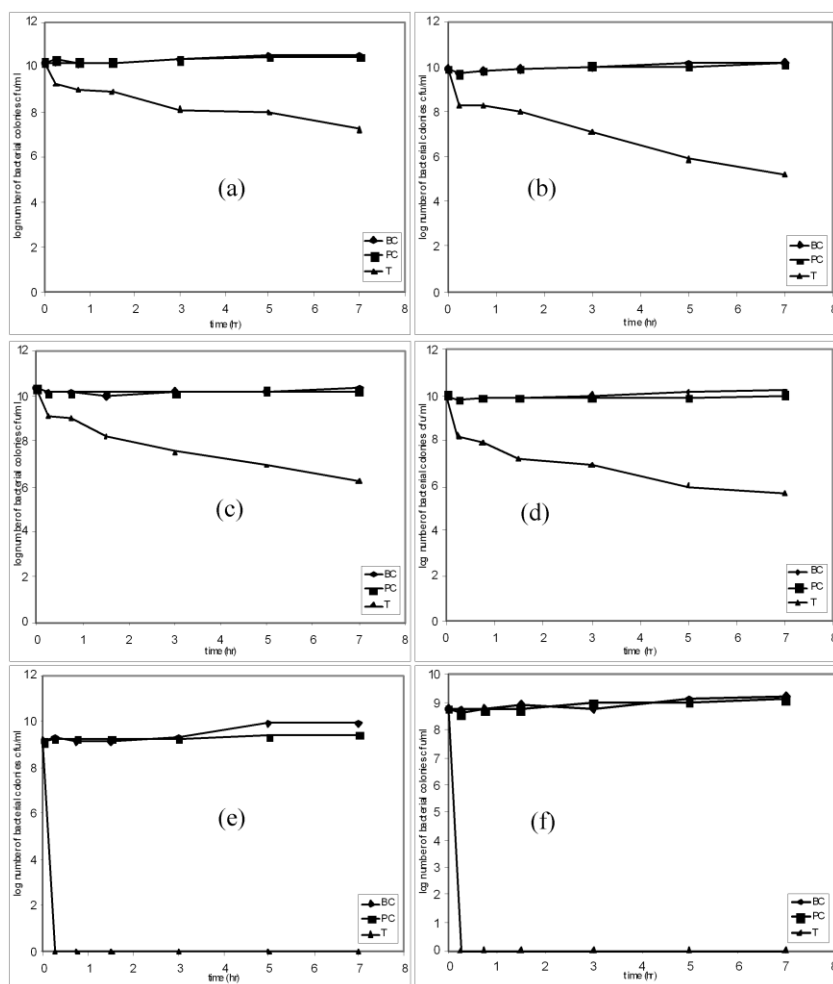
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1 **Figure 5** Effect of halogenated [chlorinated (a and b), brominated (c and d) and iodinated

2 (e and f)] heterocyclic modified silica gels (**8-10**) on *E. coli* and *S. aureus* viability,

3 respectively. BC is the bacterial control, PC is the non-halogenated heterocyclic modified

4 silica gel (control) and T is the halogenated heterocyclic modified silica gel.



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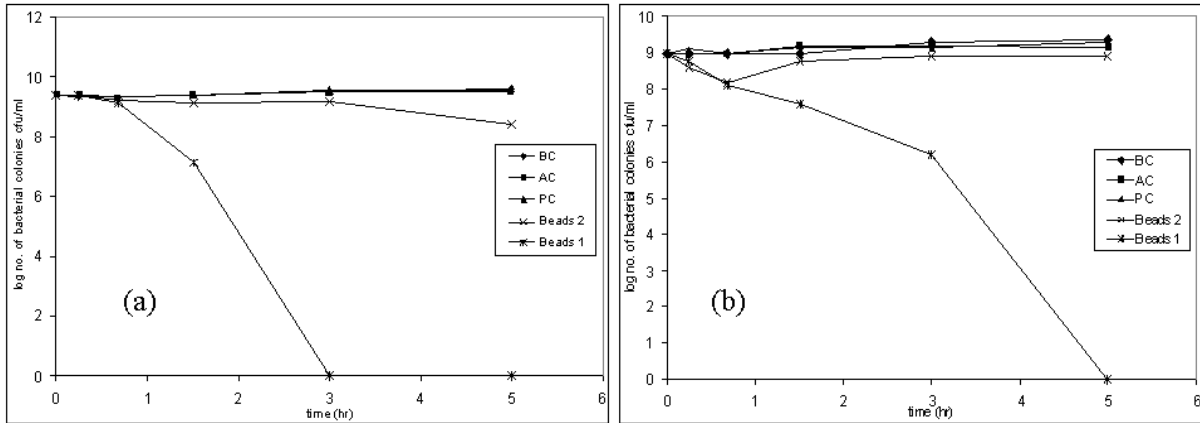
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1 **Figure 6** biological effect of the beads matrix on (a) *E. coli* and (b) *S. aureus*. BC  
2 bacterial control, AC: sodium alginate beads as a control, PC: **AB2** (non-halogenation  
3 form) as a control, Beads 1: **AB1** and Beads 2: **AB2** (halogenated form).



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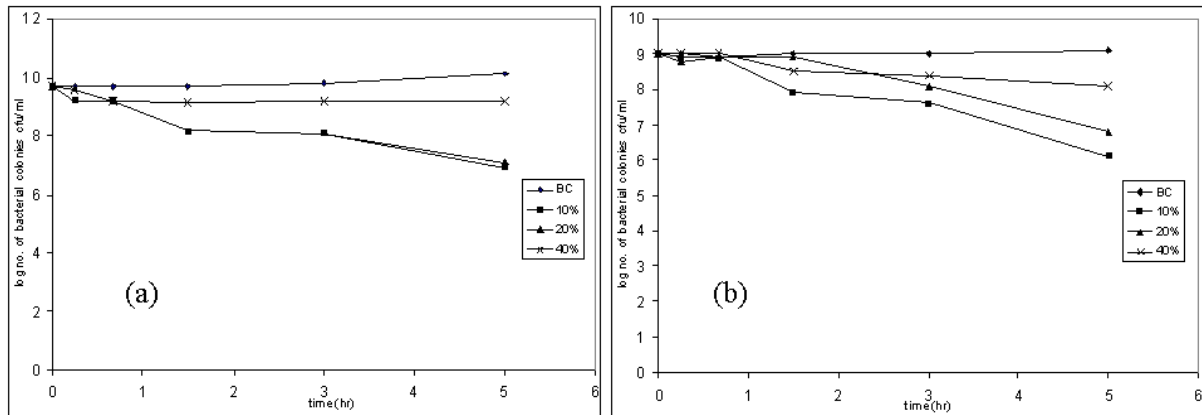
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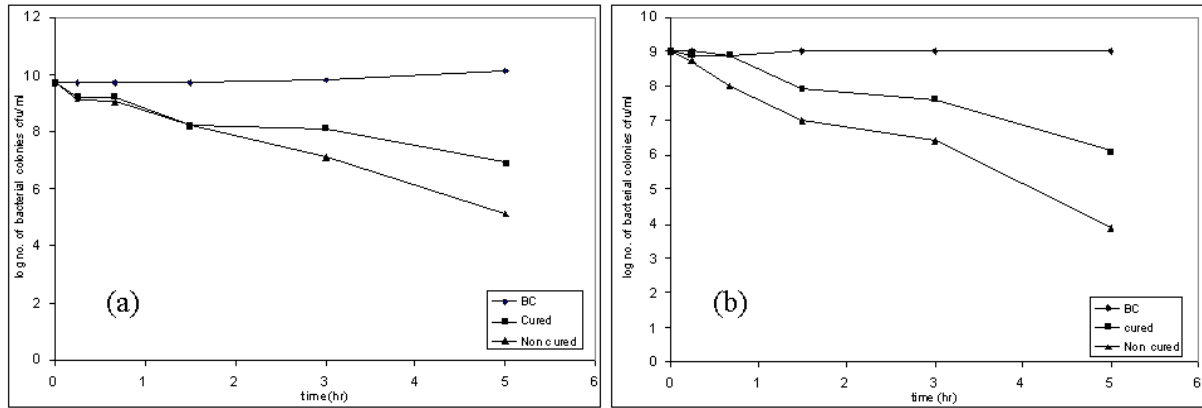


1 **Figure 7** Effect of changing the cross-linking agent (calcium chloride) (with curing) on  
2 the biological activity of the beads (**AB1**) against (a) *E. coli* and (b) *S. aureus*. BC is the  
3 bacterial control.



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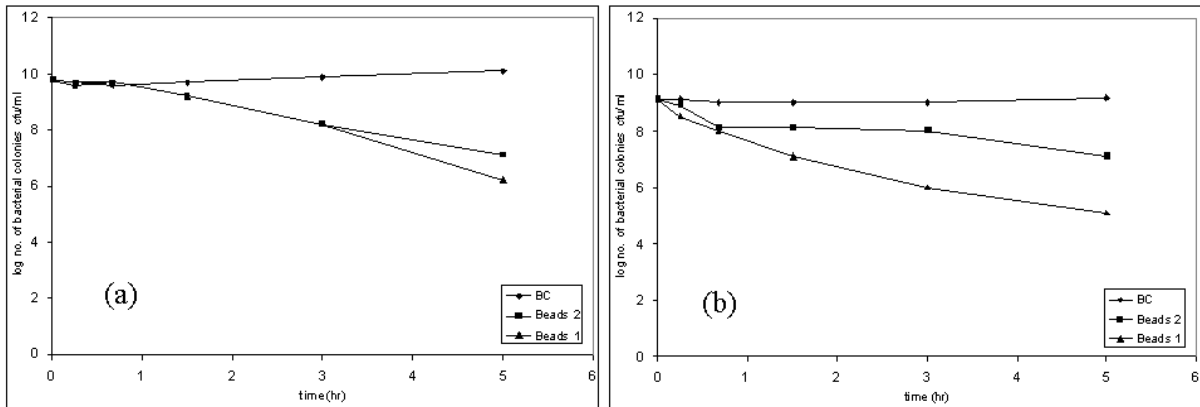
- 1 **Figure 8** Comparing the biological activity of the cured and non cured forms of **AB1**  
2 against (a) *E. coli* and (b) *S. aureus*. BC is the bacterial control.



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2 **Figure 9** Effect of increasing the polymer ratio, up to 5% (w/w), on the biological  
3 activity of the beads against (a) *E. coli* and (b) *S. aureus*. BC is the bacterial control,  
4 Beads 1 is **AB1** and Beads 2 is **AB2** (halogenated form).



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