

Frameworks for risk communication and disease management: the case of Lyme disease and countryside users

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Frameworks for risk communication and disease management: the case of Lyme disease and countryside users

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Abstract

Management of zoonotic disease is necessary if countryside users are to gain benefit rather than suffer harm from their activities, and to avoid disproportionate reaction to novel threats. We introduce a conceptual framework based on the Pressure-State-Response model with five broad responses to disease incidence. Influencing public behaviour is one response and requires risk communication based on an integration of knowledge about the disease with an understanding of how publics respond to precautionary advice. A second framework emphasises how risk communication involves more than information provision and should address dimensions including points-of-intervention over time, place and audience. The frameworks are developed by reference to tick-borne Lyme borreliosis (also known as Lyme disease), for which informed precautionary behaviour is particularly relevant. Interventions to influence behaviour can be directed by knowledge of spatial and temporal variation of tick abundance, what constitutes risky behaviour, how people respond to information of varying content, and an understanding of the social practices related to countryside use. The frameworks clarify the response options and help identify who is responsible for risk communication. These aspects are not consistently understood, and may result in an underestimation of the role of land-based organisations in facilitating appropriate precautionary behaviour.

Keywords

Outdoor recreation, influencing behaviour, risk perception, ticks, zoonosis, Lyme borreliosis

1. Introduction

The countryside of the United Kingdom is not only a place of work and a source of food and fibre, but is also a set of valued locations for a variety of leisure and recreation pursuits, and an important source of cultural identity [1]. Mechanisation and other labour-saving devices have led to a decline in outdoor labour, but there are still substantial workforces; for example, over half a million employed in agriculture, forestry and related industries [2, 3]. Each year a substantial proportion of the British population makes a visit to the countryside: for example, in 2001/2

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3 some 62% made an estimated 1.26 billion trips [4]. Woodland visits were reported
4 by 67% of UK respondents in 2003 and by 77% in 2009 [5].
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7 Countryside and health organisations are increasingly encouraging people to
8 experience and take exercise in the rural environment and urban greenspaces. A
9 proactive approach is being developed to engage more socially and ethnically
10 diverse groups to benefit from outdoor nature [6]. This involves tackling both
11 physical and structural barriers to access and accessibility, and also cultural and
12 perceptual barriers concerned with confidence, knowledge of where to go,
13 permission to use such spaces and concerns about the safety of doing so [7].
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16 There is a range of current high profile public health concerns around issues such
17 as obesity, diabetes, lack of physical activity, mental health and health inequalities.
18 The costs of these are large; for example, health problems associated with physical
19 inactivity have been estimated to cost £8.2 billion a year in England [8]. The World
20 Health Organization predicts that mental ill health will be the second biggest cause
21 of disease burden globally by 2020 [9]. An increasing body of evidence has shown
22 that visiting, and exercising in, natural environments provide a range of health
23 benefits, such as reductions in blood pressure and heart rate, improvements in
24 physical fitness [10], reductions in stress, and improved mood, well-being and self
25 esteem [11].
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28 Accordingly, a number of initiatives have been targeted at improving access to and
29 use of nature, both in urban areas and in the countryside. These include the 'Be
30 active, be healthy' strategy identifying nature as an important setting for physical
31 activity, and campaigns such as the Green Gym (exercise through conservation
32 activities), Blue Gym (exercising in the sea, rivers and waterways), Muckin4life
33 (environmental volunteering as a means to get fit) and Active Woods (use of
34 woodlands for exercise). Forest Schools (and Forest Kindergarten) have been
35 developed to broaden educational opportunities by incorporating physical activities
36 in outdoor settings.
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39 The countryside and urban greenspaces are commonly represented as a benign
40 risk-free environment ('naturally good for you'), and a place of freedom in contrast
41 to the built environment [12]. Nevertheless, there are hazards associated with
42 activities out of doors. These include physical (e.g. steep slopes, rock fall,
43 avalanche, deep water), activity based (e.g. mountain biking; orienteering; tree top
44 trails), climatic (e.g. hypothermia, sun stroke, sun burn), biological hazards (e.g.
45 stings, bites, allergic reactions to pollen) and abuse of spaces (e.g. anti-social
46 behaviour, fly-tipping, drug use, dog-fouling). Additional hazards are associated
47 with the post-productivist countryside being an environment for a range of amenity
48 and leisure activities and simultaneously a site of production [13]. Of course, the
49 public is protected from many countryside operations (e.g. harvesting sites,
50 chemical treatments), but the working environment still poses dangers (e.g., fallen
51 trees, getting lost in unmarked places).
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54 Such hazards may be less apparent to those unfamiliar with these environments,
55 who may therefore be ill-prepared to mitigate the risk and consequently reap harm
56 rather than health benefits from visiting the countryside. Here we regard risk as
57 "the probability of a particular adverse event occurring during a stated period of
58 time" [14], incorporating two key elements: probability and consequence. The
59 distinction between risks taken voluntarily and those that are not is an important
60 one and public concern is generally greater around risks experienced but not
chosen [15]. Organisations encouraging use of the countryside may consider that
the combination of naïve users and novel hazards indicates the need for

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3 communication of appropriate behaviour. Raising awareness of the hazard and
4 associated precautionary actions, however, could heighten concern [16] and may
5 lead to withdrawal from countryside pursuits. This is the essence of the health
6 conundrum that leads to two key challenges for the communication of risk. The
7 first is how to encourage precaution without alarm? The second is how to
8 encourage participation in, and engagement with, the countryside rather than
9 avoidance of the countryside?
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12 Zoonotic diseases present particular hazards to health and may well provoke
13 avoidance of locations of likely infection. The World Health Organisation defines
14 zoonoses as 'diseases and infections which are transmitted naturally between
15 vertebrate animals and man'. The simplicity of the definition belies the variety of
16 such diseases [17] and their sources (host/reservoir), agents of disease, and
17 modes of transmission. The source of infection may be domestic or wild animals;
18 the infection agent may be a virus, bacterium, protozoon, etc. Transmission may
19 be through direct contact with an infected animal, or by means of a vector, usually
20 an arthropod such as an insect or tick, or by contact with contaminated water, soil,
21 etc. Commonly, while the reservoir host may suffer no ill health, infection of any
22 organ system may be life-threatening to different degrees for humans. There is
23 concern that the global increase in interaction between humans and wildlife,
24 through population expansion and activities such as forest clearance, will fuel an
25 increased transfer of disease and the emergence of new diseases [18]. The
26 hazards result from humans entering an environment within which these diseases
27 are circulating, and the fear-inducing nature of some of the diseases means that
28 there is potential for social amplification of the risk [19, 20]. Such concern may
29 lead to calls for action but raises the questions of what action and by whom?
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33 Partial views of zoonotic diseases as an epidemiological or public health issue can
34 be misleading. The management of diseases that impact upon society requires a
35 broader and more integrated approach than has been undertaken in the past. For
36 example, Foot and Mouth Disease was portrayed as a problem for farmers, but the
37 subsequent control practices impacted upon a large cross-section of rural
38 enterprises and countryside users [21, 22]. Management of plant and animal
39 diseases are increasingly framed as a problem for both government and industry;
40 the former having a particular role in prevention of exotic (and emerging) diseases,
41 the latter in applying known measures to control the incidence and spread of
42 endemic diseases, with cost-sharing models proposed to join both in resourcing.
43 The appropriate management of zoonotic diseases would appear to be even more
44 challenging with potentially more actors in the system, and the issue not entirely
45 reducible to either 'human health' or 'animal health'.
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49 The value of an integrated view is exemplified by recent analyses of the rise in
50 hantavirus and Lyme borreliosis (also known as Lyme disease) in Belgium [23],
51 and in tick-borne encephalitis in central and eastern Europe. In the latter case, it
52 proved inadequate to see the problem as resulting from climate change enhancing
53 enzootic cycles. Instead, changes to the economy and related human activities
54 were found to play a major part in the increased incidence of the disease amongst
55 groups from across the social spectrum following the collapse of Communism [24].
56 Furthermore, spikes in disease incidence in a particular year, 2006, appeared to be
57 related to changes in human behaviour as a consequence of the weather, rather
58 than in tick abundance [25].
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In such complex circumstances, what actions can be taken by the various
protagonists, and where do the responsibilities lie? We suggest that a broad range

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3 of responses to the threat to society from zoonotic diseases should arise from a
4 broad view of the whole system. Therefore, in this paper we:

- 5 • Develop a framework which identifies the range of possible responses to
6 the threat of zoonotic disease;
- 7 • Provide a second framework that elaborates the response of influencing
8 behaviour and identifies the place of risk communication within this;
- 9 • Develop the application of these with respect to Lyme borreliosis.

10 11 12 13 **2. Methods**

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16 The frameworks have emerged from work within a research project 'Assessing and
17 communicating animal disease risks for countryside users', and are illustrated with
18 particular reference to Lyme borreliosis, the UK, and temperate climates. Three
19 case study sites ranging from peri-urban to remote upland settings were used for
20 field sampling (Richmond Park on the fringe of Greater London, the New Forest in
21 Southern England, and Exmoor in South West England) and in scenario exercises
22 that explored organisational responses to zoonoses. Risk analysis was informed
23 by new survey work of vector tick populations and a population model for the tick
24 *Ixodes ricinus* which quantified the relative seasonal abundance of questing ticks in
25 a range of habitats. Risk perception and risk communication were explored
26 through individual interviews, questionnaires and focus groups with land managers,
27 land-based workers, recreational visitors, residents in the case study areas, and
28 those diagnosed with Lyme borreliosis. The content of precautionary information
29 currently provided by organisations to employees and visitors was analysed.
30 Organisational responses to existing and plausible future threats were identified
31 through discussions with a Practitioner Panel of representatives from public,
32 charitable and private bodies that employ, encourage, or control countryside
33 activities. An Advisory Board provided expert opinion across a range of topics
34 including public health protection, wildlife health, visitor management and land
35 management. Response frameworks evolved from interactions with the
36 Practitioner Panel and Advisory Board, review of international literature, and
37 discussions within the project team based on the natural and social science
38 findings of the project.
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44 45 46 **3. Elaborating a response framework for zoonotic disease 47 management**

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49 A number of frameworks have been developed to facilitate an integrated view of
50 the interaction between human societies and the natural environment. Derivatives
51 of the Drivers-Pressure-State-Impacts-Response model have gained widespread
52 support when seeking appropriate societal responses to environmental change [26]
53 and considering causal links between the environment and human health. The
54 OECD and the European Environment Agency have developed a simpler
55 framework of Pressure-State-Response (P-S-R). Pressures are regarded as
56 drivers of change within a system of interest, such that the State of the system
57 alters, and the changes precipitate some form of Response. The response can
58 take the form of interventions to address one or more of the pressures, or to
59 change the state directly.
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Discussions with the Advisory Board and Practitioner Panel and consideration of literature on disease control informed the development of a framework for zoonotic disease management based on the P-S-R model (Figure 1). The framework is intended to support the identification of organisational actions that can be taken to manage the risk and the delineation of responsibilities between and within organisations.

[Figure 1 here].

Pressures – Changing potential for transmission of a zoonotic disease

In this case pressures arise from occurrence of disease in animal hosts in the environment, and changes in the susceptibility of human population consequent upon their activities and their behaviour in undertaking them. Numerous factors will govern the disease occurrence in the natural environment, including vertebrate (and vector) population dynamics, climatic effects, habitat quality and land management. Similarly, there are many socio-economic factors that govern the exposure of the human population in the environment, including employment, leisure time, and recreational activities.

State – the incidence of infection and disease

Health authorities monitor and report upon zoonoses, and changes in incidence of disease will attract attention and may trigger review of need for a response. The actual state of incidence may be sampled by a number of assessment methods; changes in diagnostic method may also lead to an apparent change in incidence and thus need for action. Even if there is no formal surveillance or reporting, the assessed state of incidence may be sufficient to prompt concern.

Response – five broad responses

The incidence and consequences of the disease may be sufficient to prompt consideration of the need for response to reduce disease incidence.

- A. *Targeted control of hazard:* There may be options to control the disease by targeting the reservoir host; targeting the vector; or changing the habitat to reduce the prevalence of host or vector at a range of spatial scales.
- B. *Medical intervention:* Medical intervention may be seen as the solution to disease incidence. Most advantageous is where a disease can be prevented by treatment to increase resistance (vaccination) but development of cures to tackle infection is also desirable. Vaccines and treatments are available for relatively few zoonotic diseases.
- C. *Influencing behaviour:* The complexity of the zoonotic system may mean that direct control and medical intervention are not feasible and that precaution is a highly desirable alternative to be encouraged, informed by biological knowledge of the zoonoses. This may include maintenance of some existing behaviours but also introduction or modification of others.
- D. *Research and further surveillance:* Trends in the state of the disease may prompt responsible authorities to continue or develop enhanced surveillance of the human population or of the reservoir or vector, or to refine other control methods and interventions.

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4 E. *Lobbying for action*: Whether or not there is formal surveillance, the assessed
5 state of incidence may trigger divergent views of the need for and nature of
6 response. Action groups may form to demand response (e.g. increased
7 research), or oppose particular actions (e.g. widespread culling of host animals)
8 based upon a variety of personal experiences (e.g. knowledge within social
9 groups; delays in obtaining treatment). A variety of outcomes may result,
10 including increased communication around the disease and promotion of
11 unconventional treatments.

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13 Criteria governing the chosen response are likely to include the specifics of the
14 disease cycle, the geographical extent of the problem, and the nature of the threat
15 to human health. The framework does not identify who should initiate or undertake
16 particular responses, but it is possible to identify implicated candidate groups
17 (Table 1). This highlights the range of potential organisations, and the complexity
18 of achieving a co-ordinated response, particularly when responsibility for initiation is
19 unclear. For example, behavioural responses may be promoted by concerned
20 groups, those with responsibility for land or for those visiting land, or by public
21 bodies concerned to minimise burden on health services.

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24 [Table 1 here].
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28 ***4. Elaborating a risk communication framework for*** 29 ***intentional influencing of behaviour*** 30

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32 A belief that providing information will be sufficient to change behaviour is prevalent
33 amongst many organisations and together with education is certainly a key type of
34 policy instrument [27]. However, the idea (often referred to as the information
35 deficit model) that provision of information, either about the risk or recommended
36 responses to the risk, will lead to appropriate behaviour change has proved to be ill
37 conceived in relation to a whole range of issues [28, 29]. People may consider
38 that they have sufficient information, they may consider themselves not at risk and
39 exhibit 'optimistic bias' e.g. [30], they may not trust the source of the information,
40 and the target behaviour may be habitual or enjoyable [31, 32]. Considerable
41 attention has therefore been paid towards understanding the change and
42 maintenance of behaviour and how policy interventions can facilitate desired
43 changes, for example in promoting health and sustainable living [33, 34]. Rather
44 than focus on information provision, ways of influencing public behaviour should
45 take account, and 'go with the grain', of the contexts in which people act and the
46 networks within which they interact. This underlines the importance of developing
47 a more holistic approach, bringing together a whole suite of influence options. The
48 Diamond model is one way of capturing these insights and highlights that attention
49 should be paid to developing behaviour change initiatives that encourage (give the
50 right signals), exemplify (lead by example), engage (get people involved) and
51 enable (make it easier) [28].
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55 Arguably there are some distinctive elements in seeking to influence behaviour in
56 contexts where risk is a key focus of communication and where, for example, there
57 may be increased anxiety or concern and also considerable uncertainty [35]. The
58 way in which people make sense of risk takes account of many more factors than
59 are contained within the probability / consequence algorithm used by experts to
60 characterise risk. People may scrutinise communications and communicators for
signals of trustworthiness and be influenced by the 'personality profile' of the

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3 hazard – is it familiar, dreaded, new and so on [36, 37]. The benefits of stopping
4 and starting behaviours may be quite different when located within the broad
5 context of people's everyday experiences and practices. Furthermore,
6 communication in other response areas (such as publicising a vaccine or lack of
7 one, or sanctioning or disapproving certain types of land management) will provide
8 statements from which risk can be read and which may communicate risk even if
9 this is not the intention of the communicator.
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12 The complexity of zoonotic disease transmission, the unavailability or cost of other
13 responses, and in some cases the dynamic nature of the threat, may mean that
14 influencing public behaviours is the most viable response. We therefore propose a
15 further framework which situates communication of risk in relation to a broader
16 appreciation of mechanisms for influencing behaviour and firmly based on
17 biological understanding of a hazard. We focus on strategies to maximise the
18 likelihood of particular behaviours, or at least that allow people to make informed
19 choices knowing the likely links between particular behaviours and particular
20 consequences. There are five dimensions to the proposed risk communication
21 framework:
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- 23 • WHO? Do actions need to be tailored to particular audiences and their
24 activities?
- 25 • WHERE? Is the risk or the underlying hazards place/site-specific?
- 26 • WHEN? Is the risk specific to time of day or season, and should actions be
27 taken before, during and after a visit?
- 28 • WHAT? Are there behaviours that can minimise the risk of acquiring the
29 disease?
- 30 • HOW? Can behaviours be influenced by measures that encourage, enable,
31 exemplify and/or engage?
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38 **5. Framework analysis of the management of Lyme** 39 **borreliosis**

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41 We drew together biological and social understanding to explore the frameworks
42 developed above, with specific reference to Lyme borreliosis. The disease is
43 caused by bacteria (*Borrelia burgdorferi* s.l.) transmitted between the reservoir
44 hosts (a wide range of birds and mammals) and humans by an arthropod vector
45 (ticks, especially *Ixodes ricinus* in UK). Lyme borreliosis was first recognised in the
46 USA in the late 1970s [38], but there is evidence of early occurrence in a number of
47 European countries [39]. Early symptoms include a bull-eye rash and flu-like
48 symptoms, and at this stage the disease is readily treated with antibiotics. Without
49 treatment, there can be late stage complications involving many tissues, especially
50 the nervous, musculoskeletal and cardiovascular systems [40].
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54 **a. The biological and social pressures on Lyme borreliosis** 55 **transmission**

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58 A number of pressures, both biological and sociological, can influence disease
59 incidence:
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Tick populations and the proportion that carry the bacteria: Ticks hatch from eggs as larvae, and develop into nymphs, then adults, with a single, large blood meal taken by each stage. All stages of *I. ricinus* will feed on an extremely wide range of hosts, and their abundance varies with micro-climate (and hence habitat), host abundance, and time of year (Figure 2). Nymphal tick density, which may vary by up to two orders of magnitude, is the main determinant of the density of infected nymphs as this is more variable than the prevalence of *B. burgdorferi* s.l. infection in ticks. The latter is affected by the composition of the host assemblage, since some hosts are non-competent to act as reservoirs for infection. Results from our study sites indicated that 3-9% of nymphal ticks and 6-33% of adults were found to carry *B. burgdorferi* s.l., broadly in line with the few other surveys undertaken in the UK; much higher incidence has been found in particular circumstances elsewhere in Europe [41] and typically in the USA [39]. Several strands of evidence suggest that there has been an increase in tick numbers and range expansion in the UK e.g. [42] though a lack of suitable long-term monitoring precludes definitive conclusions.

[Figure 2 here].

The amount of time spent in tick-bearing habitat: Increased leisure time, mobility and variety of outdoor pursuits may lead to increases in the amount of time people spend in tick-infested habitats. Interventions, whether site specific or as broader campaigns to encourage activities in nature, might also lead to more time spent outside. Provision of particular facilities can lead to rapid increases in visitor numbers; for example, the activities and infrastructure improvements at Bedgebury Forest (as part of the Active England programme) resulted in visit numbers increasing from approximately 51K in 2005/6 to 273K in 2007/8, while at Haldon numbers rose from 10K in 2003 to 224K in 2007/8 [43]. Less site specific are an increasing range of organised activities targeted at different age and groups, such as the 'Green Gym', 'Walking to Health', Nordic walking, and 'buggycise' for mothers with young children.

b. The changing state of Lyme borreliosis incidence

The incidence of Lyme borreliosis in the UK is monitored by the Health Protection Agency (HPA) and Health Protection Scotland (HPS). It is reportable to the Health and Safety Executive under RIDDOR (The Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995) but only in Scotland is Lyme borreliosis a notifiable disease (now following laboratory diagnosis). Published HPA data show an increase in incidence of the disease in England and Wales by 384% over the period 1997 to 2008 (to 813 cases in England and Wales in 2008) of which approximately one sixth are reported to have been acquired overseas. Even more dramatically in Scotland, cases numbers have increased by 1500% between 2000 (37 cases) and 2009 (605 cases), with most of that increase occurring since 2005 (when there were 96 cases). It is unclear to what extent the increase is in part attributable to improved diagnosis, but a number of observers have suggested that this infection is still under-reported. Nevertheless, the recorded prevalence is very much lower than in mainland Europe.

c. Current responses to Lyme borreliosis

Discussions with the Practitioner Panel and in interviews with a range of organisations provided information on the extent to which the range of potential responses are currently being considered.

Direct control of hazard and indirect control of environment: In the UK there is little attempt at direct control. Some reported targeted vegetation control close to provided footpaths in an attempt to reduce encounters with ticks. Management for some specific land uses, in particular grouse moors, has resulted in localised action to remove ticks through the use of culling (of mountain hares), and acaricides (on sheep flocks) in an attempt to reduce tick burden on young birds and the transmission of Louping Ill [44, 45]. However, change in the mandatory use of sheep dips has reportedly limited the deployment of acaricides. In North America, environmental control to reduce tick burdens includes area-wide application of acaricides, exclusion of deer, treatment of tick hosts, and landscape practices (primarily vegetation management) [46, 47]. However, such measures are typically applied in regions where large deer populations exist in close proximity to residential areas, a situation with only limited parallels in the UK. Extensive habitat modification and ground-based acaricide application, for example, is unlikely ever to be appropriate in areas used for public recreation, such as National Parks or Forestry Commission woodlands, given that Lyme borreliosis prevention is only one of many varied considerations faced by land managers. The prevalence of ticks and the diversity of reservoir hosts also militate against such action on a widespread scale.

Medical Intervention: There is currently no vaccine for Lyme borreliosis, since the one once available in the USA was withdrawn [48], so pre-infection interventions are not available. In the USA there is only one strain of Lyme disease spirochaetes, *B. burgdorferi* s.s., while in the UK there are at least three (the others being *B. afzelii* and *B. garinii*), requiring multi-valent vaccines that are particularly challenging, and likely to take 15 to 20 years to develop. Post-infection treatment is available (either on the basis of clinical signs or following serological tests). It is most effective when made available promptly, which requires an understanding of when to seek medical assistance amongst countryside users and awareness of the symptoms of Lyme borreliosis amongst medical professionals.

Influencing behaviour: Some clear preventative measures (also termed personal protective behaviours [46]) involve avoidance of tick bites, and prompt removal of ticks. The former can be achieved in a variety of ways including covering skin with clothing, use of repellents, or avoidance of tick-bearing habitat. Prompt removal will minimize the risk of transmission of the bacteria from the tick to humans; transmission is rare during the first 24 hours of attachment, but may be more common with some European strains, e.g. *Borrelia afzelii* [39]. However, both avoidance and removal presupposes awareness and adoption of precautionary behaviour. In Connecticut, Northeastern USA, district-wide health education campaigns have been successful in raising awareness and adoption of precautions; individual precautions were adopted more readily than steps to modify the environment, such as vegetation control.

We found that some countryside user groups organise awareness-raising at periods of higher risk (e.g. Mountaineering Council of Scotland), and many employers provide information to promote precaution as part of Health and Safety at Work commitments (e.g. Health and Safety at Work Act 1974). However, provision of information to different sectors of the public is currently uncoordinated,

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3 with some suggestion that organisations are reticent about raising the visibility of
4 Lyme borreliosis. This could be for reasons associated with the conundrum
5 discussed at the outset; alerting people to possible risks may compromise
6 messages promoting the benefits of recreational spaces for health and restoration.
7 Organisations may also be reticent because by assuming a responsibility to inform
8 it may be inferred that they are acknowledging a legal responsibility which they do
9 not accept. In the case of workers, the duty of care and legal liability may be
10 unambiguous, but this may not necessarily be so in relation to visitors to the
11 countryside who may voluntarily put themselves into positions of risk. This is
12 reflected in differences in risk communication with a focussed dissemination of
13 information such as risk assessments and briefing notes to staff; but a variety of
14 leaflets and other sources made available for visitors seeking information. Of the
15 large number and wide variety of messages obtained on Lyme borreliosis and
16 precaution, most were types of Health and Safety guidance for staff members.
17 With respect to external communication, many organisations relied upon the
18 provision of leaflets, whose content varied with organisational type and whether
19 they were prepared primarily for employees or for others. Much information was
20 recycled, with many organisations adopting information from others without an
21 appreciation or indication of its origin.
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25 Surveys at our case study sites indicated that understanding of the existence of
26 Lyme borreliosis and precautions was sometimes confused, but generally low and
27 variable both across visitors to a particular site and between sites. For example, at
28 the Exmoor study site, approximately two-thirds of those interviewed were unaware
29 of what precautions to take. Additionally some visitors, and even recent patients,
30 although aware of the risk of Lyme borreliosis, were reluctant to take precautionary
31 measures during their visit to the countryside. There were also disparate views
32 amongst visitors and members of our Practitioner Panel around who was
33 considered responsible for providing information or managing the disease.
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36 *Research and monitoring:* The national Health Protection Agencies monitor and
37 disseminate numbers of reported cases of Lyme disease, but the reporting process
38 itself is not standardised, and there is no systematic monitoring of reservoirs,
39 vectors (or vector hosts), or infection prevalence within vectors. Lyme borreliosis is
40 not viewed as a priority for medical research, and the polyvalent nature of the
41 disease (multiple strains) makes vaccine development costly and slow. The lack of
42 impact upon animal health (and domestic animal health) means that it is not a
43 priority for those concerned.
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45 *Pressures for action:* In the USA, there is substantial controversy over the
46 prevalence, diagnosis and treatment of Lyme borreliosis [49, 50]. In Europe, a
47 number of groups have formed to encourage more action by public bodies, and
48 promote awareness; including Borreliosis and Associated Diseases Awareness
49 (BADA), Lyme Disease Action (LDA), and EuroLyme. Views of the prevalence and
50 nature of clinical disease diverge between some of these groups, scientists and
51 public health bodies. Internet searches for information on Lyme borreliosis often
52 return links to information provided by such groups ahead of those provided by the
53 public health bodies. Some managers reported the occurrence of 'guerrilla
54 signage' whereby warning notices were placed on their land anonymously. There
55 have been calls for the disease to be publicised further and made reportable
56 throughout UK, including lobbying of the Scottish and UK Parliaments and petitions
57 to Downing Street. A number of pressure groups have suggested that more
58 attention be given to GP awareness of the diagnosis of the disease to overcome
59 what they see as failures to recognise it and a consequent underreporting of cases.
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d. Influencing behaviour as part of Lyme borreliosis disease management

The application of the P-S-R framework above, based on an explicit integration of biological and socio-psychological information, suggests that influencing behaviour is the most viable line of response to Lyme borreliosis. This reflects the lack of protective vaccination, the lack of post-exposure protective immunity, and the extent of tick-bearing habitat and the range of potential hosts that together make direct control impractical. Furthermore, while field data show the presence of ticks in most habitats within recreational woodlands (Dobson unpublished), behavioural observations indicate that humans already predominantly stick to paths, thereby limiting the degree to which their contact with ticks can be further diminished without severely limiting their enjoyment of the countryside. There is, however, a range of options available for influencing behaviours (Table 2).

[Table 2].

To what extent do existing risk communication practices reflect the proposed framework of influencing behaviour?

Who? Many land managers do not consider Lyme borreliosis to be a major risk, compared to other hazards on their land. Despite this, the policies, procedures and practices for communicating risks to staff are formalised and adhered to closely, such that there is a strong safety culture within, for example, the Forestry Commission. In contrast, policies, procedures and practices with respect to the public vary across the country with much less consistency than the approach for staff. Despite evident concern for risk and safety, warning leaflets reveal a tendency to consider the public as lacking knowledge and being unresponsive to information, and are not tailored to those involved in particular activities. This may be a consequence of the local staff's perception of the incidence of Lyme borreliosis, but also reflect some reluctance for fear of the implications of accepting ownership of the public problem as discussed above. Although the environment affords some similar risks to the workforce and visitors, the duty of care which land based organisations have towards each is different and is reflected in risk communication.

Where? At a small number of locations, signs are reportedly placed at key access points to habitats known to harbour ticks, and some leaflets refer to vegetation types that might have high tick abundance (although these did not accord precisely with those shown by our surveys to have high tick abundance). From our interviews with forestry staff, the presence of ticks and Lyme borreliosis was often seen to be 'elsewhere' (i.e., 'not here') and in particular places, such as the New Forest. As a consequence these places were stigmatised with reputations as 'hot spots' for Lyme borreliosis. However, the notion of hot spots has to be challenged. If the density of infected ticks is no greater in a particular place, the risk per individual is no higher than average, even though total numbers of Lyme disease cases may be high due to intense human recreational use. The notion of hot spots is problematic because not only does it over-represent the prevalence of infected ticks and the incidence of Lyme borreliosis in some places, but also under-represents it in others. Thus when considered against the backdrop of expert biological assessments of risk, public concerns may be intensified in some instances and attenuated in others.

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When? Much of the information currently provided does not distinguish particular timing of risk, nor is it made available selectively through the risk season. In contrast to the action groups that focus on tick-awareness week as a way of highlighting the spring increase in tick numbers, very few locations provide seasonal signage to alert the public to the seasonal onset of the risk of ticks.

What? There was little enthusiasm for wholesale change in behaviour from members of the general public. Even amongst those who had previously acquired the disease, more than 90% disagreed with the notion of avoiding the countryside in the future. Some of the recommended mitigation behaviours may be at variance with the behaviours that people expect and want to engage in when in the countryside. Although patients and visitors with a range of links to the countryside indicated positive support for both those precautions to be taken during the visit (such as wearing long sleeves and tucking trousers in socks) and those taken afterwards (such as checking the skin for ticks), there was significantly greater preference for post-visit precautions.

How? The focus of current practice is overwhelmingly on information provision (a form of 'encourage') typically in the form of leaflets at visitor centres, risk assessments and some signage; at least one land manager had taken steps to inform local doctors of the occurrence of Lyme borreliosis. Several potential methods (Table 2) were rarely reported: including enabling measures (provision of tick removal devices to staff, routing of paths or activities away from habitats with high tick abundance); engaging (working with stakeholders); and exemplifying (actions by staff e.g. in leading visits).

6. Discussion

a. Integration of natural and social sciences

The recent upsurge of interdisciplinary research has led to new ways of framing problems and examining issues of public importance [51]. A number of frameworks have been developed to examine the interactions between ecological and social systems [52]. Many of these explore the negative impacts of human activities upon ecosystem condition and integrity [53, 54], or the dependence of human well-being upon the goods and services of ecosystems [55]. Ecosystem services are considered to be 'the benefits people obtain from ecosystems' and health an important component of human well-being [55]. The Millennium Ecosystem Assessment considered that degradation of ecosystems could compromise regulating services, and that deterioration of disease regulation could lead to impacts upon human health. Responses were identified across a range of scales and institutions, with constraints of lack of knowledge and of failure to use adequately the information that does exist in support of management decisions; supporting frameworks included cost-benefit analysis, risk assessment and multi-criteria analysis. Our study also considers the interactions between humans and nature, but focuses more upon a negative aspect of the interaction, the risk of zoonotic disease, and places emphasis on social and behavioural factors in response options. It develops decision frameworks necessary to identify and progress responses to disease incidence, accepting that countryside users enter habitats containing complex enzootic cycles, and that increases in disease

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3 transmission may be due to changes of behaviour as well as any loss of regulating
4 service. Our second framework emphasises how responses may need to be found
5 within society (i.e. via influencing behaviour), when a focus on ecosystem
6 management is less appropriate. Arguably this emphasis on human behaviour to
7 some extent reflects the focus on the managed landscapes of the UK rather than
8 more pristine ecosystems, but also the focus on land management rather than
9 those responsible for global or regional strategies.
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12 The development of the two frameworks and their application to Lyme borreliosis
13 has been possible by, and emphasises the value of, integration of natural and
14 social sciences. Zoonoses present particular problems to those seeking to reduce
15 their impact, or potential impact, upon human health due to their diverse character
16 and the complex cycles within which they circulate in the environment. The
17 elaboration of the P-S-R model emphasises that the responses to disease threat
18 are not exclusively those concerned with medical intervention or biological control
19 of host, vector and reservoir (Figure 1). The example of Lyme borreliosis illustrates
20 that neither response may be adequate or feasible to protect human health.
21 Influencing behaviour of those who might be at risk may well be the most feasible
22 and likely response, yet it is far from straightforward.
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25 Successful influencing will depend upon sound understanding of the biology of the
26 disease but also, as our risk communication framework highlights, the social
27 practices of the human population at risk and an understanding of how various
28 groups react to a variety of risk communication actions. Despite widespread
29 rejection of an information deficit model in academic studies, the view that
30 information provision will bring about appropriate behaviour was common in our
31 discussions with a range of responsible organisations. Provision of accurate and
32 comprehensive biological information will not guarantee that precautionary
33 behaviour is adopted. Nor is comprehensive information always necessary or
34 timely. Greater attention is required around the timing, place, audience and
35 content of communication – for example, a recommendation to apply repellent is
36 unhelpful if only displayed at the car park and the visitor does not carry the product
37 with them. Consideration of risk communication by point of intervention (Table 2)
38 suggests that as yet targeting by time, place or audience is relatively
39 unsophisticated. Attempts to target risk communication to particular locations and
40 seasons is infrequently observed, and, with the exception of a distinction between
41 employee and non-employee (where the motivation may in part be legalistic), there
42 is little sign of audience segmentation. Land managers and action groups hold
43 divergent views on the extent of risk, yet information from the groups is provided to
44 the public by the land-based organisations.
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48 There is scope for considering a wider range of techniques in risk communication;
49 again our framework (Table 2) emphasises that it is not simply by providing
50 information, but also by enabling, exemplifying and engaging. Applying the specific
51 knowledge of a disease within the framework will identify which of the suite of
52 actions is possible. In the case of Lyme borreliosis, post-visit precautions were
53 preferred by visitors and are effective if carried out thoroughly and promptly.
54 However, other zoonoses may demand a different balance of action – if transfer of
55 the zoonoses happens more rapidly, if it is incurable, if the transmission occurs via
56 contact with water or soil. For example, Weil's disease (Leptospirosis) is a
57 bacterial infection contracted through skin abrasions or ingestion, typically following
58 exposure to water contaminated by urine from infected animals such as rats [56].
59 Desirable responses to the threat of Weil's disease might include encouraging the
60 covering of cuts and wounds prior to a visit, enabling the washing of equipment at

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3 visit sites, engaging terrier clubs to control rodents in the vicinity, and exemplifying
4 appropriate behaviours by actions of the guides of conducted visits.
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7 8 **b. Responses and responsibility** 9

10 Our P-S-R framework (Figure 1) does not seek to allocate responsibility for
11 responding, though it is possible to identify organisations likely to be involved in the
12 initiation or execution of a response (Table 1). In managing a disease of domestic
13 livestock, the responsibilities are relatively well understood (e.g. Animal Health
14 Strategy), even if the costs of action are not as uniformly accepted. Plant health is
15 also relatively clear. The recent observation that the management of wildlife
16 diseases is not joined up [57] has been echoed in the complexity of responsibility
17 for the management of wild animals [58]. In the same way, no one actor appears
18 to have an overview or overall responsibility for zoonotic disease management.
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21 Our analysis identified lack of clarity over who is responsible for management of
22 ticks and Lyme borreliosis and who should be involved in influencing appropriate
23 precautionary behaviours. There was little connection between public health
24 bodies, which might be considered to be responsible for and benefit from
25 preventing disease, and land-based organisations, which have more direct contact
26 with the population of countryside users at risk. The latter organisations may
27 provide information, but this may be partial or incorrect. The motivation for
28 provision of information was diverse, with suggestions that some was linked to
29 'duty of care' legislation, whereas others (but not all) felt a more general
30 responsibility to provide information. In our interviews with the public and in focus
31 group discussions, there was no clear or consistent view of responsibility for
32 communicating the risk of Lyme borreliosis. Sources of advice that were
33 considered were not necessarily those of the public bodies; information from family
34 and friends and via the internet (where searches do not necessarily return official
35 sites), were mentioned frequently. Some information may have been recycled, with
36 the best of intentions from a diverse range of sources in a way that misses
37 opportunities for targeting particular behaviours and may also propagate myths and
38 mis-information.
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42 The P-S-R framework (Figure 1) identified here may have merit in structuring
43 thinking and fostering dialogue with a range of stakeholders. Although there
44 appears to be a potential role for government to stimulate such responses, and in
45 particular to consider the wider range of actors potentially involved in implementing
46 the full range of responses, this is at odds with the prevailing political climate.
47 Instead, the framework could be used by fora (e.g. loose affiliations of several
48 organisations from governmental and charitable sectors around a common
49 interest), such as the Visitor Safety in the Countryside Group, the Outdoor Health
50 Forum, Countryside Recreation Network to structure deliberation and identify
51 responses in the absence of central direction. The framework could be used to
52 identify explicitly which actors have a role in managing a disease, thereby forming a
53 collaborative group or confirming the need for central support. A further use of the
54 framework could be to structure thinking for an individual landowner who assesses
55 the local disease incidence (or threat) to be unacceptable, and wishes to target
56 action to best effect.
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59 It would be instructive to explore its use for a number of other diseases where the
60 particular circumstances (biological, situational) may place emphasis on different
elements of the framework. Preliminary discussions with our Practitioner Panel

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3 indicate that the framework has merit and could be adapted to other threats,
4 thereby identifying different outcomes depending upon the organisational linkages,
5 political profile, and gravity of the situation.
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8 9 **c. Participants in risk communication**

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11 Our risk communication framework emphasises the need to customise information
12 and other communication to the circumstances and the audience, and engage
13 stakeholders in dialogue. Arguably, habituation and desensitisation can arise from
14 being given undifferentiated information that does not recognise variability in
15 exposure to risk that relates place, time and people and their practices. Gathering
16 evidence for making meaningful differentiations is not, of course, straightforward.
17 The biological basis may require detailed and labour-intensive site specific studies,
18 as has been achieved in this project (Figure 2), but the sociological basis may
19 demand wide-ranging considerations of audiences comprising visitors and potential
20 visitors. For example, if we consider this in relation to the 'who' dimension, while
21 market segmentation has proved useful in some domains, it tends to be associated
22 with either socio-demographic profile or purchasing power. This may have some
23 applicability; for example, vaccination is available for some diseases such as tick-
24 borne encephalitis in mainland Europe, but uptake is variable and, in poorer
25 regions, related to economic status and education [59]. However, these may not
26 be the most appropriate dimensions on which to segment audiences for influencing
27 visitor behaviour. Visitors to forests and other places where ticks are present may
28 be looking for particular kinds of experiences so that matching risk communication
29 to different experience-seeking profiles may be more efficacious. Work to segment
30 the audience for climate change risk information could be useful [60] as these
31 capture aspects of values and attitudes, enabling a more nuanced guide to
32 communication. Thus, there is considerable scope for improving the response
33 focussed on influencing behaviour. This could also include refining the place and
34 timing of risk communication and taking the attitudes, preferences and practices of
35 the intended audiences into account in encouraging proportionate precautionary
36 action. For example, there may be scope for the use of social groups (e.g. the
37 Mountaineering Council of Scotland) to communicate such precautions and greater
38 involvement of scientists and public health professionals in engaging in dialogue
39 with them.
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45 **d. Concluding remarks**

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47 In conclusion we return to the two challenges which were presented at the outset:
48 The first challenge is how to encourage precaution without alarm? The second
49 challenge is how to encourage participation in the countryside rather than
50 avoidance of the countryside? Our two frameworks should assist the development
51 of appropriate responses to the risk of zoonotic diseases by giving greater clarity
52 over organisational roles. A more sophisticated appreciation of mechanisms for
53 influencing behaviour should make it possible to foster appropriate precaution and
54 encourage countryside use by many who would benefit. Framework analysis of
55 specific situations, disease or place-based, could provide an object for dialogue by
56 responsible or concerned parties and, over time, the basis for agreement over
57 consistent and collective actions. This would engender confidence that the
58 countryside, like the built environment, contains hazards with unpleasant
59 consequences but for which the likelihood can be reduced to acceptable levels by
60 precautionary actions rather than avoidance.

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Figure Captions and Table Captions

Figure 1. Zoonotic disease management – Framework of pressure, state and responses

Figure 2. The influence of seasonality and vegetation on abundance of *Ixodes ricinus* nymphs at Exmoor, as sampled at 3-week intervals by standardised blanket dragging.

Table 1. Candidate organisations who may be involved in responses to changing state of zoonotic disease incidence.

Table 2. Framework for situated risk communication populated with respect to precautions around tick bites and Lyme borreliosis.

Short title for page headings:

Risk communication and Lyme disease

Table 1.

Type of response	Candidate organisations
A. Control of agent or host	Government - public health bodies & animal health bodies Government - landowners & land managers Business – landowners & land managers Community-based – landowners & land managers Non-governmental organisations – landowners & land managers
B. Medical intervention	Government - public health bodies Business - pharmaceutical developers & suppliers Business – healthcare providers
C. Influencing behaviour	Government - public health bodies Government – countryside access bodies Government - landowners & land managers Business – landowners & land managers Business – recreation & accommodation providers Community-based – landowners & land managers Non-governmental organisations – landowners & land managers Non-governmental organisations - special interest groups
D. Research and monitoring	International – world health & disease bodies Government - public health services Research institutions
E. Lobbying for action	Non-governmental organisations - special interest groups, staff groups

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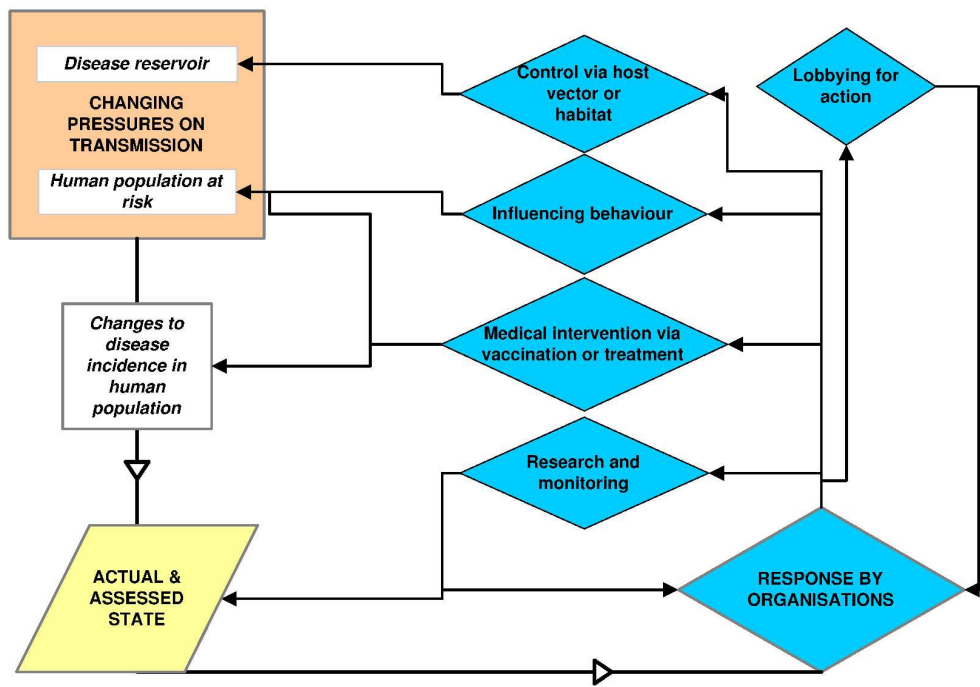
Table 2.

		WHEN?				
		The points in time at which behaviour may be influenced and specific actions taken				
		Pre visit	Visit	Post visit	Post bite	Post infection
WHAT? The possible behaviours that can minimise risk of acquiring the disease		<i>Obtain clothing and repellent</i>	<i>Wear clothing and repellent; consider route and activity</i>	<i>Check for ticks</i>	<i>Prompt removal and subsequent monitoring of bite location</i>	<i>Prompt help-seeking and appropriate treatment</i>
WHO? Who might need to consider precautionary behaviours		<i>Potential visitor (public or employee); those encouraging or supervising visits or activities</i>	<i>Visitor engaged in specific activities (on path/off path) (public or employee)</i>	<i>Visitor engaged in specific activities (on path/off path) (public or employee)</i>	<i>Visitor engaged in specific activities (on path/off path) (public or employee)</i>	<i>Patient (public or employee) Medical staff</i>
WHERE? The extent to which the risk and risk communication is place specific		<i>Hot-spots (if they exist) and particular routes and vegetation types</i>	<i>On path/off path; different vegetation types</i>	<i>Place of stay</i>	<i>Place of stay</i>	<i>GP surgery</i>
HOW? The way in which the influencing actions are configured to encourage precautionary behaviours	Encourage [Give the right signals]	<i>Information on web</i>	<i>Notices in car park</i>	<i>De-briefing of conducted visits</i>	<i>Information at Health sites</i>	<i>Ensure GP awareness</i>
	Exemplify [Lead by example]		<i>Staff clothing</i>			
	Engage [Get people involved]	<i>Share best practice information with key stakeholders; involve publics in communication design and evaluation</i>	<i>Share best practice information with key stakeholders</i>	<i>Share best practice information with key stakeholders</i>	<i>Share best practice information with key stakeholders</i>	<i>Share best practice information with key stakeholders</i>
	Enable [Make it easier]	<i>Routing of path; location of picnic sites</i>	<i>Provision of tick removal device</i>	<i>Provision of tick removal device</i>	<i>Provision of tick removal device</i>	<i>Improve diagnostic tools and keys</i>

For Review Only

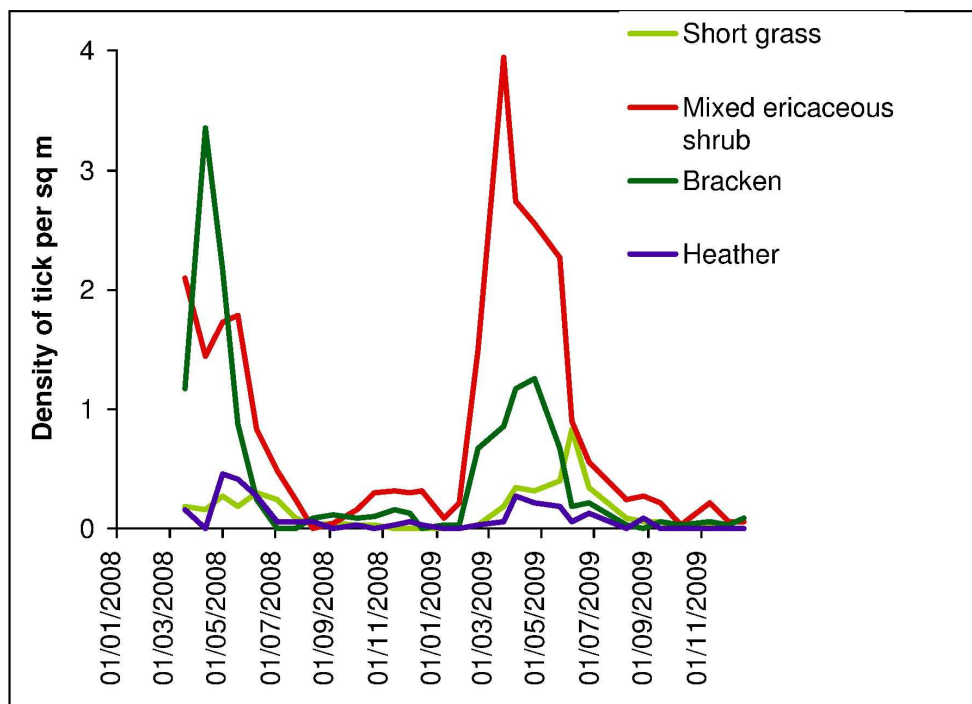
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Pre-proof Only



The influence of seasonality and vegetation on abundance of *Ixodes ricinus* nymphs at Exmoor, as sampled at 3-week intervals by standardised blanket dragging.
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