

Proof: We have

$$\sum_{\mathbf{x} \in C_1, \mathbf{y} \in C_2} \pi(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{x} \in C_1} \sum_{\mathbf{y} \in C_1 \cup C_2} \pi(\mathbf{y}|\mathbf{x}) - \sum_{\mathbf{x} \in C_1} \sum_{\mathbf{y} \in C_1} \pi(\mathbf{y}|\mathbf{x}). \quad (6)$$

As $C_1 \cup C_2$ is distance-invariant, (5) implies that

$$\sum_{\mathbf{x} \in C_1} \sum_{\mathbf{y} \in C_1 \cup C_2} \pi(\mathbf{y}|\mathbf{x}) = M_1 [P_{ue}(C_1 \cup C_2, p) + (1-p)^n]. \quad (7)$$

Furthermore, from (4)

$$\sum_{\mathbf{x} \in C_1} \sum_{\mathbf{y} \in C_1} \pi(\mathbf{y}|\mathbf{x}) = M_1 [P_{ue}(C_1, p) + (1-p)^n]. \quad (8)$$

Substituting (7) and (8) into (6) yields

$$\sum_{\mathbf{x} \in C_1, \mathbf{y} \in C_2} \pi(\mathbf{y}|\mathbf{x}) = M_1 [P_{ue}(C_1 \cup C_2, p) - P_{ue}(C_1, p)].$$

Similarly, by interchanging the indexes 1 and 2

$$\sum_{\mathbf{x} \in C_2, \mathbf{y} \in C_1} \pi(\mathbf{y}|\mathbf{x}) = M_2 [P_{ue}(C_1 \cup C_2, p) - P_{ue}(C_2, p)].$$

Since (3) implies $\pi(\mathbf{y}|\mathbf{x}) = \pi(\mathbf{x}|\mathbf{y})$, we have

$$\sum_{\mathbf{x} \in C_1, \mathbf{y} \in C_2} \pi(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{x} \in C_2, \mathbf{y} \in C_1} \pi(\mathbf{y}|\mathbf{x}). \quad \square$$

Equations (1) and (2) which are essentially Theorems 1 and 11 in [1] readily follow from Theorem 1 by taking $C_1 \cup C_2$ to be equal to V_n and $V_{n,w}$, respectively. Clearly, we have $P_{ue}(V_n, p) = 1 - (1-p)^n$ which gives (1). Furthermore, it is easy to check that

$$P_{ue}(V_{n,w}, p) = \sum_{i=1}^w \binom{w}{i} \binom{n-w}{i} p^{2i} (1-p)^{n-2i}.$$

This expression, although more elementary than $f_{n,w}(p)$, can be shown to equal the latter to yield (2), see [2].

The condition that $C_1 \cup C_2$ being distance-invariant is necessary in general for Theorem 1 to hold. Indeed, let C_1 and C_2 be the $(5, 2)_2$ codes given by

$$C_1 = \{00000, 00011\} \quad \text{and} \quad C_2 = \{00101, 11011\}.$$

It is straightforward to check that

$$P_{ue}(C_1, p) = p^2(1-p)^3$$

$$P_{ue}(C_2, p) = p^4(1-p)$$

and

$$P_{ue}(C_1 \cup C_2, p) = 2p^2(1-p)^3 + p^4(1-p).$$

Theorem 1 does not hold in this case. Notice that $C_1 \cup C_2$ is not distance-invariant. Although it is very easy to come up with examples to show the nonvalidity of the theorem if $C_1 \cup C_2$ is not distance-invariant, this example is interesting since the distance distribution and the weight distribution of $C_1 \cup C_2$ coincide [4, p. 158].

In fact, if $C_1 \cup C_2$ is not distance-invariant, then one should not expect any relation between the undetected error probabilities of C_1 , C_2 , and $C_1 \cup C_2$, that depend on nothing else besides their sizes and lengths, to hold in general. We show this by an example that makes use of the codes C_1 and C_2 of the previous paragraph. Let C'_2 be the $(5, 2)_2$ code given by

$$C'_2 = \{01100, 01111\}.$$

Then

$$P_{ue}(C_1, p) = P_{ue}(C'_2, p) = p^2(1-p)^3$$

and

$$P_{ue}(C_1 \cup C'_2, p) = 2p^2(1-p)^3 + p^4(1-p).$$

Notice that $C_1 \cup C_2$ and $C_1 \cup C'_2$ have the same parameters and the same undetected error probabilities, while C_2 and C'_2 have the same parameters but different undetected error probabilities.

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Duality and Support Weight Distributions

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Abstract—We show how to compute the support weight distribution A_i^r for $r \geq k - d_2^\perp + 3$, where d_2^\perp is the second minimum support weight of a code, provided the weight enumerator of the dual code is known.

Index Terms—Dual code, support weight distribution.

I. INTRODUCTION

We have observed some recent interest in the support weight distributions, particularly those of self-dual codes [2], [7]. Possibly, these parameters may lead to nonexistence proofs, finally determining the highest minimum distance of self-dual codes with certain lengths. The original motivation for introducing the support weight distribution was to compute the weight enumerator for certain infinite classes of cyclic codes [3]. The weight enumerator, in turn, is used for the computation of error probabilities in error-control systems.

Kløve has previously shown how to compute the support weight distribution A_i^r , provided that we know $A_i^{r'}$ for $r' \leq r$ of the dual code. This result appeared first in [5] and was formulated as a generalized MacWilliams identity in [6]. A different proof of this result appeared in [9].

In [8], we explored a relation between a code and the projective multiset corresponding to the dual code. In the sequel, we will use this relation to determine support weight distributions of high orders. Whereas previous results rely on solving a large set of equations, the MacWilliams-type identities, we find formulas which are faster to compute.

We hope that this will take us one step closer toward the complete determination of support weight distributions of some self-dual codes, for instance, the $[72, 36, 16]$ Type II code. It is not known whether this code exists or not.

Manuscript received July 13, 2001; revised December 2, 2003. The work was supported in part by the Research Council of Norway.

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Communicated by S. Litsyn, Associate Editor for Coding Theory.
Digital Object Identifier 10.1109/TIT.2004.826673

II. PROJECTIVE MULTISSETS AND DUALITY

There is a well-studied correspondence between projective multisets and linear codes. In its easiest description, the projective multiset is obtained by taking the columns of some generator matrix of the code, counting multiplicities [4]. We will keep this description in mind, but still develop a more mathematically rigorous description, which will aid us in the study of duality. This description follows the one presented in [8].

A. Vectors, Codes, and Multisets

A multiset is a collection of elements which are not necessarily distinct. More formally, we define a multiset γ on a set S as a map $\gamma : S \rightarrow \{0, 1, 2, \dots\}$. The number $\gamma(s)$ is the number of occurrences of s in the collection γ . The map γ is always extended to the power set of S

$$\gamma(S') = \sum_{s \in S'} \gamma(s), \quad \forall S' \subseteq S.$$

The number $\gamma(s)$ or $\gamma(S')$ is called the value of s or S' . The size of γ is the value $\gamma(S)$. We will be concerned with multisets of vectors. We will always keep the informal view of γ as a collection in mind.

We consider a fixed finite field \mathbb{F}_q with q elements. A message word is a k -tuple over \mathbb{F}_q , while a codeword is an n -tuple over \mathbb{F}_q . Let \mathbb{M} be a vector space of dimension k (the message space), and \mathbb{V} a vector space of dimension n (the ambient space). The generator matrix G gives a linear, injective transformation $G : \mathbb{M} \rightarrow \mathbb{V}$, and the code C is simply the image under G .

The columns of G form a multiset γ_C on \mathbb{M} . Two codes are said to be permutation equivalent if one is obtained from the other by re-ordering the columns of the generator matrix, and thus γ_C defines C up to permutation equivalence. Two codes are also equivalent if one can be obtained from the other by replacing a column \mathbf{g} of G by $\alpha\mathbf{g}$ for some nonzero scalar α . Hence, the code C can alternatively be defined by the projective multiset γ'_C obtained by mapping γ_C into $\text{PG}(k-1, q)$, the projective geometry of dimension $k-1$ over \mathbb{F}_q .

We say that two multisets γ_0 and γ_1 on \mathbb{M} are equivalent if $\gamma_1 = \gamma_0 \circ \phi$ for some automorphism ϕ on \mathbb{M} . Such an automorphism is given by $\phi : \mathbf{g} \mapsto \mathbf{g}A$ where A is a square matrix of full rank. Replacing each column \mathbf{g}_i by $\mathbf{g}_i A$ in G is equivalent to replacing the message \mathbf{m} by $A\mathbf{m}$. In other words, equivalent multisets give different encoding, but they give the same code. This is an important observation, because it implies that the coordinate system on \mathbb{M} is not essential.

Let $\mathcal{B} := \{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ be the coordinate basis of \mathbb{V} . The vectors may be considered as linear forms on \mathbb{V} . There is a natural endomorphism $\mu : \mathbb{V} \rightarrow \mathbb{V}/C^\perp$, where $\mu(\mathbf{v}) = \mathbf{v} + C^\perp$. The elements of \mathbb{V}/C^\perp are linear forms on C , and $\mu(\mathbf{e}_i)(\mathbf{c}) = \mathbf{g}_i \mathbf{m}$ whenever $\mathbf{c} = \mathbf{m}G$. So when C is identified with \mathbb{M} , \mathbf{g}_i will correspond to $\mu(\mathbf{e}_i)$, establishing an isomorphism between \mathbb{V}/C^\perp and \mathbb{M} and proving the following lemma.

Lemma 1: A code $C \subseteq \mathbb{V}$ is given by the vector multiset $\gamma_C := \mu(\mathcal{B})$ on $\mathbb{V}/C^\perp \cong \mathbb{M}$.

Given a collection $\{s_1, s_2, \dots, s_m\}$ of vectors and/or subsets of a vector space \mathbb{V} , we write $\langle s_1, s_2, \dots, s_m \rangle$ for its span. In other words, $\langle s_1, s_2, \dots, s_m \rangle$ is the intersection of all subspaces containing s_1, s_2, \dots, s_m .

B. Weights

We define the support $\chi(\mathbf{c})$ of $\mathbf{c} \in C$ to be the set of coordinate positions not equal to zero, that is,

$$\chi(\mathbf{c}) := \{i \mid c_i \neq 0\}, \quad \text{where } \mathbf{c} = (c_1, c_2, \dots, c_n).$$

The support of a subset $S \subseteq C$ is

$$\chi(S) = \bigcup_{\mathbf{c} \in S} \chi(\mathbf{c}).$$

The weight (or support size) $w(S)$ is the cardinality of $\chi(S)$. The i th minimum support weight $d_i(C)$ is the smallest weight of an i -dimensional subcode $D_i \subseteq C$. The subcode D_i will be called a minimum i -subcode. The weight hierarchy of C is $(d_1(C), d_2(C), \dots, d_k(C))$.

The support weight distribution of C is the set of parameters $\{A_i^r(C) : i = 1, \dots, n; r = 0, \dots, k\}$, where $A_i^r(C)$ is the number of r -dimensional subcodes of weight i .

The following lemma was proved in [4], and the remark is a simple consequence of the proof.

Lemma 2: There is a one-to-one correspondence between subcodes $D \subseteq C$ of dimension r and subspaces $U \subseteq \mathbb{M}$ of codimension r , such that $\gamma_C(U) = n - w(D)$.

Remark 1: Consider two subcodes D_1 and D_2 , and the corresponding subspaces U_1 and U_2 . We have that $D_1 \subset D_2$ is equivalent to $U_2 \subset U_1$.

We define $d_{k-r}(\gamma_C)$ such that $n - d_{k-r}(\gamma_C)$ is the largest value of an r -space $V_r \subseteq \mathbb{M}$. From Lemma 2, we get the following corollary.

Corollary 1: If C is a linear code and γ_C is the corresponding multiset, then $d_i(\gamma_C) = d_i(C)$.

C. Projective Spaces and Multisets

A submultiset $\gamma' \subseteq \gamma$ is a multiset with the property that $\gamma'(x) \leq \gamma(x)$ for all x . If γ is a multiset on some vector space \mathbb{V} , we define a cross section of γ to be the restriction $\gamma|_U$ to some subspace $U \subseteq \mathbb{V}$. Cross sections of projective multisets are defined in the same way.

In some cases, it is easier to deal with cross sections and their sizes than with subspaces and their values. In particular, we have that $n - d_{k-r}(\gamma_C)$ is the size of the largest r -dimensional cross section of γ_C .

Let

$$\begin{bmatrix} k \\ r \end{bmatrix} = \prod_{i=0}^{r-1} \frac{q^{k-i} - 1}{q^{r-i} - 1}$$

denote the number of distinct linear r -spaces containing the origin. The number of r -spaces containing a given m -space is given by

$$\begin{bmatrix} k-m \\ r-m \end{bmatrix}.$$

The r th generalized Singleton bound states that $d_r \leq d_k - k + r$. The code is r -maximum-distance separable (r -MDS) if it meets this bound with equality.

Consider an m -space $\Pi_m \subseteq \text{PG}(k-1, q)$. Let

$$\pi_{\Pi_m} : \text{PG}(k-1, q) \setminus \Pi_m \rightarrow \text{PG}(k-2-m, q)$$

be the projection map through Π_m . Let C' be the code corresponding to $\gamma_{C'} := \gamma_C \circ \pi^{-1}$. Note that C' has parameters $[n - \gamma_C(\Pi_m), k - 1 - m]$. Every r -space in $\text{PG}(k-2-m, q)$ is the image of an $(r+1)$ -space containing Π_m in $\text{PG}(k-1, q)$. Hence,

$$\Delta_r(C') \leq \Delta_{r+m+1}(C) - \gamma_C(\Pi_m).$$

Hence, if Π_m has maximum value, then C' is $(k-1-m_1+m-2)$ -MDS. Note that C' can be viewed as a subcode of C [1].

D. Duality

Write (d_1, \dots, d_k) for the weight hierarchy of C , and $(d_1^\perp, \dots, d_{n-k}^\perp)$ for the weight hierarchy of C^\perp . Let $B \subseteq \mathcal{B}$.

Then $\mu(B)$ is a submultiset of γ_C . Every submultiset of γ_C is obtained this way. Obviously, $\dim \langle B \rangle = \#B$. Let $D := \langle B \rangle \cap C^\perp$ be the largest subcode of C^\perp contained in $\langle B \rangle$. Then D is the kernel of $\mu|_{\langle B \rangle}$, the restriction of μ to $\langle B \rangle$. Hence,

$$\dim \langle \mu(B) \rangle = \dim \langle B \rangle - \dim D. \quad (1)$$

Clearly, $\#B \geq w(D)$.

We are particularly interested in the case when $\mu(B)$ is a cross section of $\mu(\mathcal{B})$. This is, of course, the case if and only if $\mu(B)$ equals the cross section $\mu(\mathcal{B})|_{\langle \mu(B) \rangle}$.

Let $U \subseteq \mathcal{V}/C^\perp$ be a subspace. We have $\mu(\mathcal{B})|_U = \mu(B)$, where $B = \{e \in \mathcal{B} \mid \mu(e) \in U\}$. Hence, we have $\mu(B) = \mu(\mathcal{B})|_{\langle \mu(B) \rangle}$ if and only if there exists no point $e \in \mathcal{B} \setminus B$ such that $\mu(e) \in \langle \mu(B) \rangle$.

It follows from (1) that a large cross section $\mu(B)$ of a given dimension must be such that $\langle B \rangle$ contains a large subcode of C^\perp of sufficiently small weight.

Define for any subcode $D \subseteq C^\perp$

$$\beta(D) := \{e_x \mid x \in \chi(D)\} \subseteq \mathcal{B}.$$

Obviously, $\beta(D)$ is the smallest subset of \mathcal{B} such that D is contained in its span. It follows from the preceding argument that if D is a minimum subcode and $\mu(\beta(D))$ is a cross section, then $\mu(\beta(D))$ is a maximum cross section for C . Thus, we are lead to the following two lemmas.

Lemma 3: If $n - d_r = d_i^\perp$, $B \subseteq \mathcal{B}$, and $\#B = n - d_r$, then $\mu(B)$ is a cross section of maximum size and codimension r if and only if $B = \beta(D_i)$ for some minimum i -subcode $D_i \subseteq C^\perp$.

Lemma 4: Let r be an arbitrary number, $0 < r \leq n - k$. Let i be such that $d_i^\perp \leq n - d_r < d_{i+1}^\perp$, and let $D_i \subseteq C^\perp$ be a minimum i -subcode. Then $\mu(\langle B \rangle)$ is a maximum r -subspace for any $B \subseteq \mathcal{B}$ such that $D_i \subseteq \langle B \rangle$ and $\#B = n - d_r$.

E. Support Weight Distributions

Let $\mathfrak{W}_i^r(C)$ be the set of all r -spaces of value i , i.e.,

$$\mathfrak{W}_i^r(C) := \{\Pi \subseteq \text{PG}(k-1, q) \mid \gamma_C(\Pi) = i, \dim \Pi = r\}.$$

We define the *value distribution* of γ_C to be

$$V_i^r(\gamma_C) = V_i^r(C) := \#\mathfrak{W}_i^r(C). \quad (2)$$

By Lemma 2, each element of $\mathfrak{W}_i^r(C)$ corresponds to a $k-1-r$ -dimensional subcode of weight $n-i$. Hence, $V_i^r(C) = A_{n-i}^{k-1-r}(C)$.

We will mostly abbreviate and write $V_i^r = V_i^r(C)$, $A_i^r = A_i^r(C)$, $\tilde{A}_i^r = A_i^r(C^\perp)$, and $\tilde{V}_i^r = V_i^r(C^\perp)$. Define

$$m_i = m_i(C) := d_i(C^\perp) - i - 1.$$

Obviously, $m_0 = -1$ and $m_{n-k} = k-1$. We will determine V_i^r for $m_j \leq r < m_{j+1}$ for $j = 0$ and $j = 1$. We start with a relatively simple result.

Lemma 5: If $m_{j+1} > m_j$, then

$$\begin{aligned} V_{m_j+j+1}^{m_j} &= \tilde{A}_{m_j+j+1}^{m_j} \\ V_i^{m_j} &= 0, \quad i > m_j + j + 1. \end{aligned}$$

Proof: Consider an m_j -space Π for some j where $m_{j+1} > m_j$. From Lemma 3, we know that Π has value $d_j^\perp = m_j + j + 1$ if and only if it contains \mathbf{x}_i for all $i \in \chi(D)$ where $D \subseteq C^\perp$ is a j -dimensional subcode of weight d_j^\perp . This gives the first equation. The second equation is obvious. \square

The difference sequence $(\delta_0, \delta_1, \dots, \delta_{k-1})$ is defined by $\delta_i = d_{k-i} - d_{k-1-i}$, and is occasionally more convenient than the weight hierarchy. The maximum value of an r -dimensional, projective subspace is $\Delta_r = \delta_0 + \dots + \delta_r = n - d_{k-1-r}$.

III. THE NEW RESULTS

The following theorem was proved in [5].

Theorem 1: For $-1 \leq r < m_1$, and any code C , we have that $V_j^r(C) = \mathcal{V}_j^r(n, k)$ where

$$\mathcal{V}_j^r(n, k) = \binom{n}{j} \sum_{i=0}^{r-j+1} (-1)^i \begin{bmatrix} k-j-i \\ r-j+1-i \end{bmatrix} \binom{n-j}{i}$$

for any code C .

Our result is the determination of $V_r^i(C)$ when $m_1 \leq r < m_2$. We know that $V_i^r = 0$ for all $i > r+2$.

Consider an r -space Π of value $r+2$. The cross section $\gamma_C|_\Pi$ defines an $[r+2, r+1]$ code C' . Let $s := m_1(C')$. We say that Π has Type s . Clearly, $m_1 \leq s \leq r$. The set of r -spaces of Type s is denoted by $\mathfrak{S}(r, s)$.

Given an r -space Π' of value $i \leq r+1$, we say that Π' is Type I if it contains a $(i-2)$ -space Π'' of value i . This $(i-2)$ -space is unique when it exists. Clearly, Π'' has Type s for some s , and then we say that Π' is Type I(s).

If Π' is not Type I, we say that it is Type II, and then it contains a unique $(i-1)$ -space of value i . Let $\mathfrak{U}_i^r(X)$ be the set of r -spaces of value i and Type X , where X is I, II, or I(s) for some s . Write $U_i^r(X) := \#\mathfrak{U}_i^r(X)$.

A. Subspaces of Maximum Value

If C is an $[n, n-1]$ code, there is a unique s such that $\delta_s(C) = 2$, and $\delta_i(C) = 1$ for $i \neq s$. Clearly, $m_1(C) = s$. In this case, we call C an $[n, n-1]$ code of Type s .

Lemma 6: Let γ_C be a projective multiset defining an $[n, n-1]$ code C of Type s . Then there is a unique s -space Π_s of value $s+2$.

Proof: There exists at least one such s -space since $s = m_1 = \Delta_s(C) - 2$. Suppose there are two distinct s -spaces Θ_1 and Θ_2 of value $s+2$. Let i be the dimension of $\Theta := \Theta_1 \cap \Theta_2$. Clearly, $i < s$ and thus $\gamma_C(\Theta) \leq i+1$. We get

$$\gamma(\langle \Theta_1, \Theta_2 \rangle) \geq 2(s+2) - (i+1) = 2s - i + 3$$

but

$$\dim \langle \Theta_1, \Theta_2 \rangle = 2s - i = 2s - i$$

so

$$\gamma(\langle \Theta_1, \Theta_2 \rangle) \leq \Delta_{2s-i}(C) = 2s - i + 2.$$

The lemma follows by contradiction. \square

There is only one $[n, n-1]$ code of Type s up to equivalence. The corresponding projective multiset is obtained by taking a frame for a projective s -space and then adding projectively independent points to obtain an $(n-2)$ -space.

Lemma 7: For any code C , if $m_1 \leq s \leq r < m_2$, we have

$$\#\mathfrak{S}(r, s) = \tilde{A}_{s+2}^1 \binom{n-s-2}{r-s}.$$

Proof: The number of maximum r -spaces of Type $r = s$ is

$$\#\mathfrak{S}(s, s) = \tilde{A}_{s+2}^1, \quad (3)$$

by Lemma 5.

An r -space Π_r of Type s contains a unique s -space Π_s of value $s+2$ by Lemma 6. Hence, there is a one-to-one correspondence between r -spaces of Type s and pairs (Π_s, S) , where $\Pi_s \in \mathfrak{S}(s, s)$ and $S \subset \gamma_C \setminus \Pi_s$ is a set of $r-s$ points. There are \tilde{A}_{s+2}^1 ways to choose Π_s by (3) and

$$\binom{n-s-2}{r-s}$$

ways to choose S . Hence, we get the result. \square

Lemma 8: If $m_1 \leq r < m_2$, then

$$V_{r+2}^r = \sum_{s=m_1}^r \tilde{A}_{s+2}^1 \binom{n-s-2}{r-s}$$

$$V_i^r = 0, \quad i > r+2.$$

Proof: An r -space of value $r+2$ has Type s for some s where $m_1 \leq s \leq r$. Thus, we can take the sum of the equation in Lemma 7. Hence the result. \square

B. When $n = k+1$

In this subsection, we study an $[n, n-1]$ code C of Type s . We will need the number $\mathcal{F}(j, n, s) := U_j^{n-3}(\Pi)$ for C in the later sections.

We obviously have that $\mathcal{F}(j, n, s) = 0$ if $j \geq n-1$. When $n = s+2$, C is MDS, so

$$\mathcal{F}(j, s+2, s) = \mathcal{V}_j^{s-1}(s+2, s+1). \quad (4)$$

Lemma 9: For any $[n, n-1]$ code of Type s , if $j \leq n-2$, then $U_j^{n-3}(\Pi)$ is given by

$$\mathcal{F}(i, n, s) = \sum_{j=0}^i \mathcal{V}_j^{s-1}(s+2, s+1) \binom{m}{i-j} (q-1)^{m-i+j}$$

where $m = n-s-2$.

Proof: Note that if $n = s+2$, the lemma reduces to (4).

We consider the projective space $\text{PG}(n-2, q)$. We want to find the number $\mathcal{F}(i, n, s)$ of hyperplanes of value i and Type II. Consider an arbitrary such hyperplane Π . There is a unique s -space $\Theta \subseteq \text{PG}(n-2, q)$ of value $s+2$. Every hyperplane must meet Θ in a subspace of dimension $s-1$ or more. Since Π has Type II, $\Theta' := \Theta \cap \Pi$ is exactly an $(s-1)$ -space. Let $j = \gamma_C(\Theta')$.

Given j ($0 \leq j \leq s$), there are $\mathcal{F}(j, s+2, s)$ ways to choose Θ' . Let $\Pi' \subseteq \Pi$ be the smallest subspace of value i and containing Θ' . Given Θ' , we find Π' by choosing $i-j$ points among the $n-s-2$ points of positive value not contained in Θ . Given j , there are thus

$$\mathcal{F}(j, s+2, s) \binom{n-s-2}{i-j} = \mathcal{V}_j^{s-1}(s+2, s+1) \binom{n-s-2}{i-j}$$

ways to choose Π' .

Consider now the projection $\pi_{\Pi'}$. The multiset $\gamma'' := \gamma_C \circ \pi_{\Pi'}^{-1}$ defines an $[n-i, n-1-s-i+j]$ code. There is but one point x of value $\gamma''(x) = s+2-j$, namely, $x = \pi_{\Pi'}(\Theta)$. The remaining points have value 0 or 1. We define a new projective multiset γ' by $\gamma'(x) = 1$ and $\gamma'(y) = \gamma''(y)$ for $y \neq x$. The corresponding code is a projective $[n', n']$ code where $n' = n-i-s-1+j$.

Finding $\Pi \supseteq \Pi'$ of value i is the same as finding a hyperplane of zero value for γ' , which is the same as counting one-dimensional subcodes of weight n' for the $[n', n']$ code. This number is $(q-1)^{n'-1}$. The lemma follows by summing over all j . \square

C. Other Subspaces

Now we return to the general $[n, k]$ code C , in order to determine V_j^r for $j \leq r+1$.

Proposition 1: For $m_1 \leq r < m_2$ and $r \geq i-2$, we have

$$U_i^r(\mathbf{I}(s)) = \mathcal{V}_0^{r+1-i}(n-i, k+1-i) \tilde{A}_{s+2}^1 \binom{n-s-2}{i-s-2}$$

$$U_i^r(\mathbf{I}) = \mathcal{V}_0^{r+1-i}(n-i, k+1-i) V_i^{i-2}.$$

For $r < i-2$, we have $U_i^r(\mathbf{I}) = U_i^r(\mathbf{I}(s)) = 0$.

Proof: We have from Lemma 7, that

$$U_i^{i-2}(\mathbf{I}(s)) = \tilde{A}_{s+2}^1 \binom{n-s-2}{i-2-s}.$$

An r -space of value i and Type s contains a unique $(i-2)$ -space Π' of value i and Type s . There are $U_i^{i-2}(\mathbf{I}(s))$ ways to choose Π' .

Consider then the multiset $\gamma' := \gamma_C \circ \pi_{\Pi'}^{-1}$ obtained by projection through Π' . We know that γ' defines an $[n-i, k+1-i]$ code C' . Finding an r -space $\Pi \supseteq \Pi'$ of value i corresponds to finding an $(r+1-i)$ -space of value 0 for γ' . Furthermore, γ' defines a code with

$$\Delta_{m_2-i}(C') \leq \Delta_{m_2-1}(C) - i = m_2 + 1 - i.$$

Hence, C' is $(k-1-m_2+i)$ -MDS, and since $r+1-i \leq m_2-i$, there are $\mathcal{V}_0^{r+1-i}(n-i, k+1-i)$ ways to choose $\Pi \supseteq \Pi'$. This proves the first equation, and the second one follows by summing over all s . \square

Proposition 2: If $m_1 < j \leq m_2$, we have

$$U_j^{j-1}(\Pi) = \binom{n}{j} - U_j^{j-2}(\mathbf{I}) - \sum_{s=m_1}^{j-1} (s+2) U_{j+1}^{j-1}(\mathbf{I}(s)).$$

For $i > j$, we have $U_i^{j-1}(\Pi) = 0$.

Proof: We consider all the $\binom{n}{j}$ possible ways to choose a set S of j points of positive value. To find $U_j^{j-1}(\Pi)$, we must subtract the number of cases where these j points generate a subspace of Type I.

Since $j-1 < m_2$, we have three cases:

- 1) $\dim \langle S \rangle = j-1$ and $\gamma_C(\langle S \rangle) = j$ (Type II);
- 2) $\dim \langle S \rangle = j-2$ and $\gamma_C(\langle S \rangle) = j$ (Type I);
- 3) $\dim \langle S \rangle = j-1$ and $\gamma_C(\langle S \rangle) = j+1$ (Type I).

The number of sets S giving the first case is $U_j^{j-1}(\Pi)$, while for the second case, it is $U_j^{j-2}(\mathbf{I})$. The third case is more difficult, because S does not contain all points of positive value in $\langle S \rangle$. Suppose $\langle S \rangle$ has Type s . Then $\langle S \rangle$ can be chosen in $U_{j+1}^{j-1}(\mathbf{I}(s))$ different ways. There is one point $x \notin S$ of positive value in $\langle S \rangle$, and x must be contained in the unique s -space $\Pi_s \subseteq \langle S \rangle$ of value $s+2$. Moreover, x can be any point of positive value in Π_s , hence, there are $s+2$ different choices for S giving the same $\langle S \rangle$ of the third case. This gives the lemma. \square

Let

$$\mathfrak{U}(r_1, v_1, X_1; r_2, v_2, X_2)$$

$$= \{(\Pi_1, \Pi_2) \mid \Pi_1 \subseteq \Pi_2, \Pi_j \in \mathfrak{U}_{v_j}^{r_j}(X_j), j = 1, 2\}.$$

We will write $v_j = *$ (resp., $X_j = *$) when we allow any value of v_j (resp., X_j).

Lemma 10: If $m_1 \leq r < m_2$ and $0 \leq j \leq r$, then

$$U_j^r(\Pi) = \frac{q-1}{q^{r+1-j}-1} \left(U_j^{r-1}(\Pi) \frac{q^{k-r}-1}{q-1} - \sum_{v=j+1}^{r+2} \#\mathfrak{U}(r-1, j, \Pi; r, v, *) \right).$$

Proof: We will count the number of elements of $\mathfrak{U}(r-1, j, \Pi; r, j, \Pi)$ in two different ways. Consider a pair

$$(\Pi', \Pi) \in \mathfrak{U}(r-1, j, \Pi; r, j, \Pi).$$

There are $U_j^r(\Pi)$ ways to choose Π . For Π' , we can choose any $(r-1)$ -space containing the unique $(j-1)$ -space of value j in Π . Hence,

$$\begin{aligned} \#\mathfrak{U}(r-1, j, \Pi; r, j, \Pi) &= U_j^r(\Pi) \begin{bmatrix} r+1-j \\ r-j \end{bmatrix} \\ &= U_j^r(\Pi) \frac{q^{r+1-j} - 1}{q-1}. \end{aligned} \quad (5)$$

This gives the first of the two expressions we seek.

Now we observe that

$$\#\mathfrak{U}(r-1, j, \Pi; r, *, *) = \sum_{v=j}^{r+2} \#\mathfrak{U}(r-1, j, \Pi; r, v, *). \quad (6)$$

This number can equivalently be obtained by counting the number of $(r-1)$ -spaces of value j and Type II, and the number of r -spaces containing each such space. This gives

$$\begin{aligned} \#\mathfrak{U}(r-1, j, \Pi; r, *, *) &= U_j^{r-1}(\Pi) \begin{bmatrix} k-r \\ 1 \end{bmatrix} \\ &= U_j^{r-1}(\Pi) \frac{q^{k-r} - 1}{q-1}. \end{aligned} \quad (7)$$

Clearly, we have that

$$\#\mathfrak{U}(r-1, j, \Pi; r, j, \mathbf{I}) = 0,$$

and if we combine this with (6) and (7), we get

$$\begin{aligned} \#\mathfrak{U}(r-1, j, \Pi; r, j, \Pi) &= U_j^{r-1}(\Pi) \frac{q^{k-r} - 1}{q-1} \\ &\quad - \sum_{v=j+1}^{r+2} \#\mathfrak{U}(r-1, j, \Pi; r, v, *) \end{aligned}$$

which is our second expression for $\#\mathfrak{U}(r-1, j, \Pi; r, j, \Pi)$. Combining this with (5), we get the lemma. \square

Lemma 11: If $j < v-1$, then

$$\#\mathfrak{U}(r-1, j, \Pi; r, v, \mathbf{I}(s)) = U_v^r(\mathbf{I}(s))\mathcal{F}(j, v, s)q^{r+2-v}.$$

Proof: Consider a pair

$$(\Pi', \Pi) \in \mathfrak{U}(r-1, j, \Pi; r, v, \mathbf{I}(s)).$$

There are $U_v^r(\mathbf{I}(s))$ ways to choose Π . There is a unique $(v-2)$ -space $\Theta \subseteq \Pi$ of value v and Type s . The intersection $\Theta' := \Pi' \cap \Theta$ is a $(v-3)$ -space of value j . There are $\mathcal{F}(j, v, s)$ ways to choose Θ' .

Consider the projection $\pi_{\Theta'}$. Finding Π' is the same as finding a hyperplane in $\text{im } \pi_{\Theta'}$ not meeting $\pi_{\Theta'}(\Theta)$, which is a point. There are $(q^{r+3-v} - 1)/(q-1)$ hyperplanes in $\text{im } \pi_{\Theta'}$, of which $(q^{r+2-v} - 1)/(q-1)$ meet $\pi_{\Theta'}(\Theta)$. Hence, there are q^{r+2-v} hyperplanes not meeting $\pi_{\Theta'}(\Theta)$. \square

Lemma 12: If $j < v$, then

$$\#\mathfrak{U}(r-1, j, \Pi; r, v, \Pi) = U_v^r(\Pi)\mathcal{V}_j^{v-2}(v, v)q^{r+1-v}.$$

Proof: Consider a pair

$$(\Pi', \Pi) \in \mathfrak{U}(r-1, j, \Pi; r, v, \Pi).$$

There are $U_v^r(\Pi)$ ways to choose Π . There is a unique $(v-1)$ -space $\Theta \subseteq \Pi$ of value v , and $\gamma_C|_{\Theta}$ defines a $[v, v]$ code. The intersection $\Theta' := \Pi' \cap \Theta$ is a $(v-2)$ -space of value j . There are $\mathcal{V}_j^{v-2}(v, v)$ ways to choose Θ' .

Consider the projection $\pi_{\Theta'}$. Finding Π' is the same as finding a hyperplane in $\text{im } \pi_{\Theta'}$ not meeting $\pi_{\Theta'}(\Theta)$, which is a point. There are q^{r+1-v} such hyperplanes. \square

We define for brevity

$$\mathfrak{F}(r, j) := \sum_{v=j+1}^{r+2} \#\mathfrak{U}(r-1, j, \Pi; r, v, *).$$

Proposition 3: We have

$$\begin{aligned} \mathfrak{F}(r, j) &= \sum_{v=j+2}^{r+2} q^{r+2-v} \left[U_{v-1}^r(\Pi)\mathcal{V}_j^{v-3}(v-1, v-1) \right. \\ &\quad \left. + \sum_{s=m_1}^r U_v^r(\mathbf{I}(s))\mathcal{F}(j, v, s) \right]. \end{aligned}$$

Proof: First note that

$$\#\mathfrak{U}(r-1, j, \Pi; r, r+2, \Pi) = 0,$$

because $U_{r+2}^r(\Pi) = 0$, and that

$$\#\mathfrak{U}(r-1, j, \Pi; r, j+1, \mathbf{I}) = 0$$

because there is no subspace of value j in a subspace of value $j+1$ and Type I. Now the result follows from Lemmas 11 and 12. \square

Proposition 4: If $m_1 \leq r < m_2$ and $0 \leq j \leq r$, then

$$U_j^r(\Pi) = \frac{q^{k-r} - 1}{q^{r+1-j} - 1} U_j^{r-1}(\Pi) - \frac{q-1}{q^{r+1-j} - 1} \mathfrak{F}(r, j)$$

where $\mathfrak{F}(r, j)$ is given by Proposition 3.

Proof: This is simply a rephrase of Lemma 10. \square

If we combine all the results of this correspondence, we get the following theorem as a conclusion.

Theorem 2: For $k \geq r > k+2-d_2(C^\perp)$, it is possible to compute $A_i^r(C)$ for all i provided we know the (first) weight enumerator of C^\perp . We have for $k+1-d_1(C^\perp) < r \leq k$, that

$$A_i^r(C) = \binom{n}{n-i} \sum_{j=0}^{k+i-r-n} (-1)^j \begin{bmatrix} k-n+i-j \\ k-r-n+i-j \end{bmatrix} \binom{i}{j}$$

and for $k+2-d_2(C^\perp) < r \leq k+1-d_1(C^\perp)$, that

$$A_i^r(C) = U_{n-i}^{k-1-r}(\Pi) + U_{n-i}^{k-1-r}(\mathbf{I})$$

where $U_{n-i}^{k-1-r}(\Pi)$ and $U_{n-i}^{k-1-r}(\mathbf{I})$ are given by Propositions 1, 2, and 4.

IV. DISCUSSION OF FUTURE WORK

We have found formulas for computing some high-order support weight distributions. The formulas are good for electronic computation of the parameters, and, for instance, computing the third through the 24th support weight distribution of the [24, 12] Golay code is a matter of seconds. On the other hand, simplified formulas more comprehensible to human readers would definitely be an improvement.

It will not be too difficult to continue and compute $A_i^r(C)$ for

$$k - d_2^\perp + 2 \geq r > k + 3 - \min\{d_3^\perp, 2d_1^\perp\}$$

provided the second support weight distribution of C^\perp is known. We have omitted these results, because they would be too tedious, without adding significantly to the understanding of the subject.

To go below $k+3-2d_1^\perp$ is more difficult, because if $i \geq 2d_1^\perp$, we may have a codeword $\mathbf{c} \in C^\perp$ and a subcode $D \subseteq C^\perp$ of dimension more than one, such that $\chi(\mathbf{c}) = \chi(D)$. This codeword \mathbf{c} will be counted in \tilde{A}_i^1 , but for computing A_j^r only D should be counted. It is a long way to making a general statement for $r \leq k+r-2d_1^\perp$, but in special cases there may be possibilities.

We have tried to compute support weight distributions of the tentative [72, 36] Type II self-dual code. By combining Theorems 1 and 2

with the MacWilliams–Kløve identities, we are left with about 100 unknowns. There is a chance that this system may be solved completely by extending the techniques presented here, and combining it with all the techniques found in the literature. That will be extensive labour in itself, so we leave it to future work.

ACKNOWLEDGMENT

This work was inspired by Steven Dougherty's talk at WCC in Paris, France, in January 2001. Private correspondence with Aaron Gulliver, coauthor of the mentioned talk, has been very valuable during the research. Finally, I wish to thank Torleiv Kløve for his continuous advice as a supervisor, concerning presentation and publication, and also for his help in finding and understanding [5].

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Design and Decoding of Optimal High-Rate Convolutional Codes

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Abstract—This correspondence deals with the design and decoding of high-rate convolutional codes. After proving that every $(n, n - 1)$ convolutional code can be reduced to a structure that concatenates a block encoder associated to the parallel edges with a convolutional encoder defining the trellis section, the results of an exhaustive search for the optimal $(n, n - 1)$ convolutional codes is presented through various tables of best high-rate codes. The search is also extended to find the "best" recursive systematic convolutional encoders to be used as component encoders of parallel concatenated "turbo" codes. A decoding algorithm working on the dual code is introduced (in both multiplicative and additive form), by showing that changing in a proper way the representation of the soft information passed between constituent decoders in the iterative decoding process, the soft-input soft-output (SISO) modules of the decoder based on the dual code become equal to those used for the original code. A new technique to terminate the code trellis that significantly reduces the rate loss induced by the addition of terminating bits is described. Finally, an inverse puncturing technique applied to the highest rate "mother" code to yield a sequence of almost optimal codes with decreasing rates is proposed. Simulation results applied to the case of parallel concatenated codes show the significant advantages of the newly found codes in terms of performance and decoding complexity.

Index Terms—Block codes, convolutional codes, dual codes, high-rate codes, inverse puncturing, iterative decoding, puncturing, trellis termination, turbo-like codes.

I. INTRODUCTION

As the need for increasingly high data rate communications intensifies, the resources, like bandwidth and energy, become scarce and precious. For instance, magnetic recording and fiber-optic applications require both very high data rates (from one to several tens of gigabits per second) and very low code redundancies, thus, calling for high coding gains and very high code rates simultaneously.

Traditionally, algebraic block codes have been preferred for very high coding rates because of the better performance/complexity comparison with respect to convolutional codes. Indeed, to keep the decoding complexity reasonably low for high-rate convolutional codes, one needs to resort to *punctured* codes [1]–[3], which become rather weak in terms of distance spectrum (or just free distance) for the heavy puncturing required to get very high rates. On the other hand, punctured convolutional codes yield the advantage of *flexibility*, i.e., they offer a wide range of code rates without modifying the co-decoding algorithm, which remains essentially the same needed to decode the rate-1/2 *mother* code.

With the advent of concatenated codes with interleavers (or *turbo-like* codes), hard-in hard-out (like those used for algebraic block codes) and soft-in hard-out (as the Viterbi algorithm) decoding algorithms must be replaced by soft-input soft-output (SISO in the following) symbol decoding algorithms to be embedded into the

Manuscript received December 10, 2002; revised November 20, 2003. This work was supported in part by ST Microelectronics and Qualcomm Inc. The material in this correspondence was presented in part at the IEEE Information Theory Workshop, Bangalore, India, October 2002, and at the IEEE Global Communications Conference, San Francisco, CA, December 2003.

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Communicated by S. Litsyn, Associate Editor for Coding Theory.
Digital Object Identifier 10.1109/TIT.2004.826669